

Gray Code

Engineer Bell labs '30s

Binary Code

Encode numbers as strings of bits, i.e. digits 0 or 1.

	8	4	2	1	C
0	0	0	0	0	
1	0	0	0	1	1
2	0	0	1	0	2
3	0	0	1	1	1
4	0	1	0	0	3
5	0	1	0	1	1
6	0	1	1	0	2
7	0	1	1	1	1

	8	4	2	1	C
8	1	0	0	0	4
9	1	0	0	1	1
10	1	0	1	0	2
11	1	0	1	1	1
12	1	1	0	0	3
13	1	1	0	1	1
14	1	1	1	0	2
15	1	1	1	1	1

m = 13

Algorithm 1

Euclidean algorithm

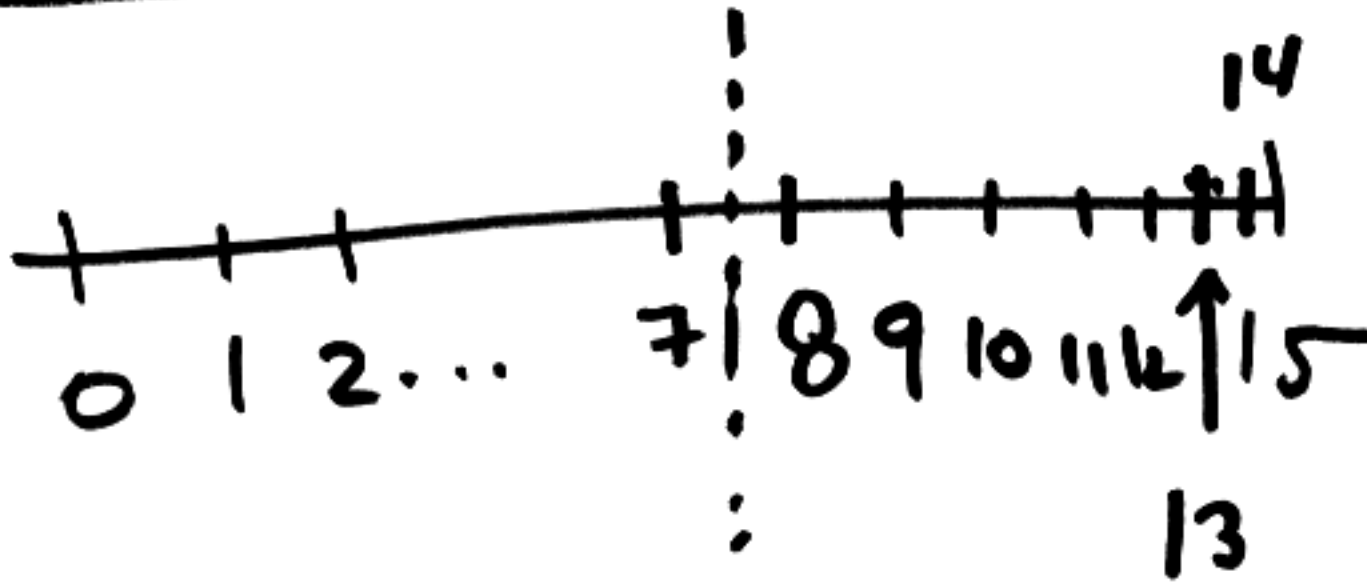
$$\begin{array}{r}
 13 = \underline{6} \times 2 + \boxed{1} \\
 6 = \underline{3} \times 2 + \boxed{0} \\
 3 = \underline{1} \times 2 + \boxed{1} \\
 1 = 0 \times 2 + \boxed{1}
 \end{array}
 \begin{array}{c}
 \downarrow
 \end{array}$$

~~12 в двоичной~~

13 = (1101)₂

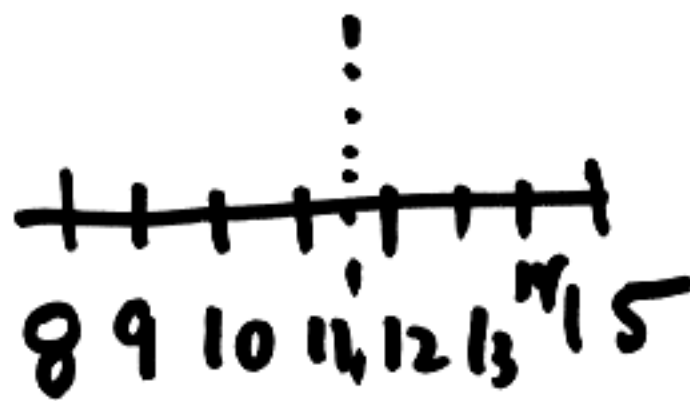
Algorithm 2

Dissection method

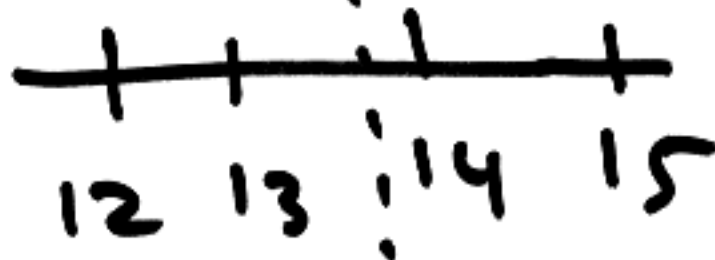


Q: Is x in top half? 1

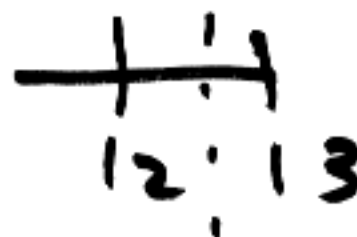
1



Q: 1



Q: 0



Q: 1

$(1101)_2 = 13$

(4)

$$m = 2^r \cdot k \quad r = 0, 1, \dots$$

$$2 \times k \quad (k \text{ is odd})$$

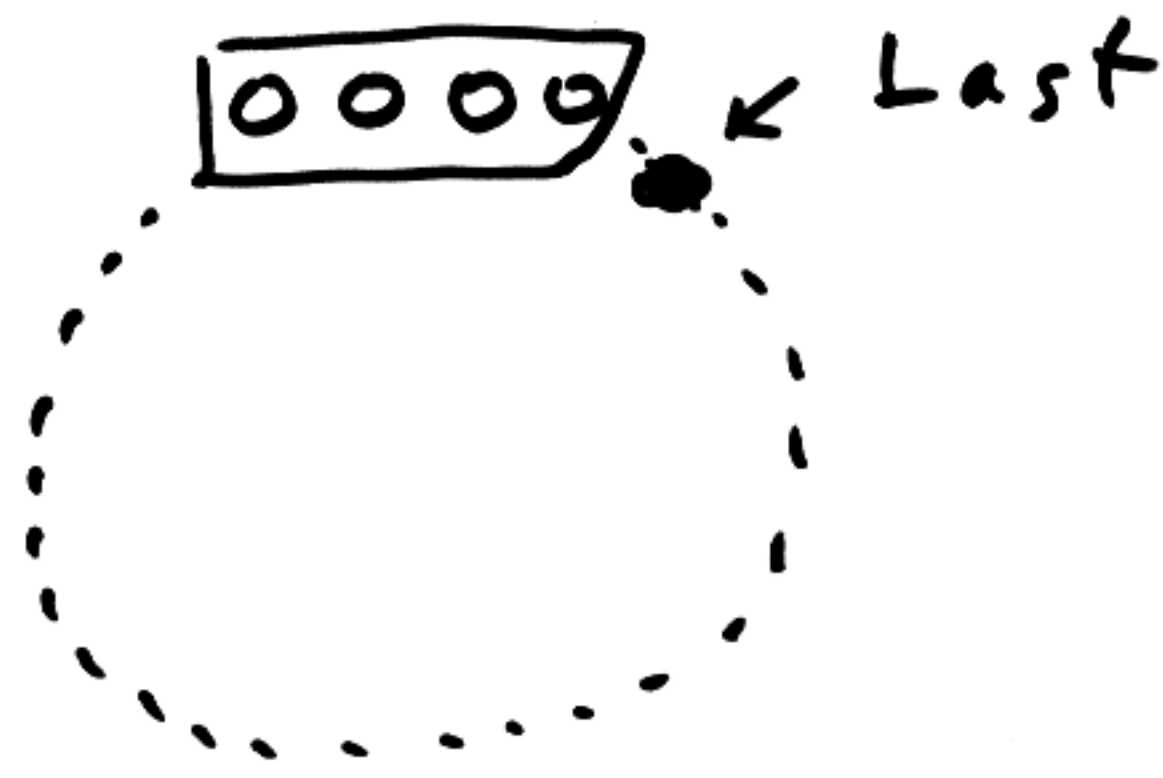
$$v_2(m) := r$$

valuation of m at 2

m	$v_2(m)$
1	0
2	1
3	0
4	2
5	0
6	1
7	0
8	3
9	0

Gray Code

Way to encode numbers such that the code for m and for $m+1$ differ in exactly one bit.



Reflected Gray Code

Binary Code

⑥

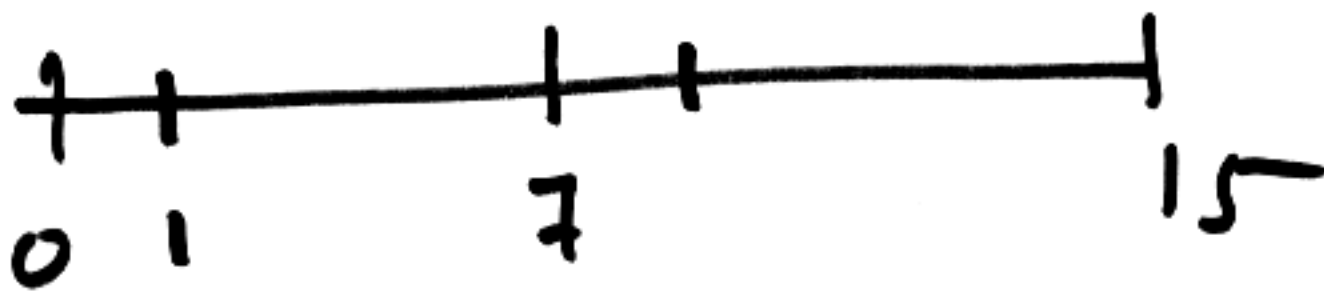
Recursively.

$$B_0 = 0$$

$$B_1 = 0, 1$$

$$B_{n+1} := 0 B_n \cup 1 B_n$$

001



$$1 \mapsto 0001$$

$$8 \mapsto 1001$$

Reflected
Gray Code

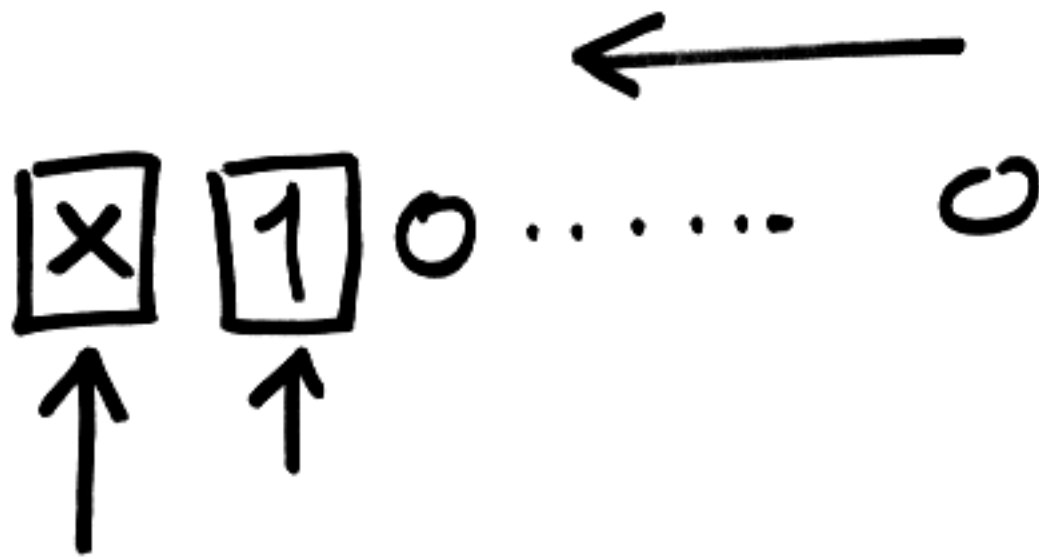
$$C_1 = 0, 1$$

$$C_{n+1} := 0 C_n \cup 1 C'_n$$

$$C'_n = C_n \text{ backwards}$$

0	0 0 0 0
1	0 0 0 1
2	0 0 1 1
3	0 0 1 0
4	0 1 1 0
5	0 1 1 1
6	0 1 0 1
7	0 1 0 0
8	1 1 0 0
9	1 1 0 1
10	1 1 1 1
11	1 1 1 0
12	1 0 1 0
13	1 0 1 1
14	1 0 0 1
15	1 0 0 0

- Chinese rings
- Slide

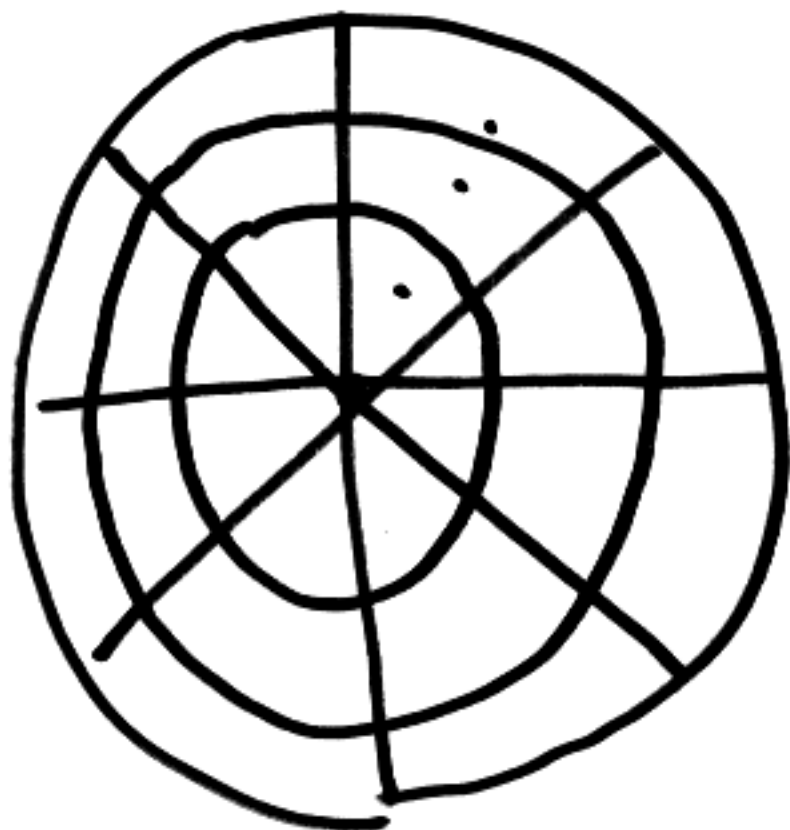


can change.

R Gray code

has good ways to
encode / decode.

Robot arm



K	M	BINARY				GRAY				II	①
1	0	0	0	0	0	0	0	0	0		
2	1	0	0	0	1	0	0	0	1		
1	2	0	0	1	0	0	0	1	1		
2	3	0	0	1	1	0	0	1	0		
1	4	0	1	0	0	0	1	1	0		
2	5	0	1	0	1	0	1	1	1		
1	6	0	1	1	0	0	1	0	1		
2	7	0	1	1	1	0	1	0	0		
1	8	1	0	0	0	1	1	0	0		
2	9	1	0	0	1	1	1	0	1		
1	10	1	0	1	0	1	1	1	1		
2	11	1	0	1	1	1	1	1	0		
1	12	1	1	0	0	1	0	1	0		
2	13	1	1	0	1	1	0	1	1		
1	14	1	1	1	0	1	0	0	1		
2	15	1	1	1	1	1	0	0	0		



(2)

Bit that changes in Gray code is either the first or the bit number k where

$k = \#$ bits that change in the binary code.

$$k = v_2(m) + 1$$

$$v_2(m) = r$$

$$2^r \cdot l = m, \quad 2 \nmid l$$

$$m = (b_{m-1}, \dots, b_1, b_0)_2$$

③

Binary code

$$m = b_{m-1} 2^{m-1} + \dots + b_1 2^1 + b_0 2^0$$

$$r = \nu_2(m)$$

	7	6	5	4	3	2	1	0		
$m =$	(1	0	1	1	1	0	0	0) ₂
		128	64	32	16	8	4	2	1	

$$m = 128 + 32 + 16 + 8 = \underbrace{(16 + 2 + 1)}_{\text{odd}} \cdot 8$$

$$8 | 16$$

$$8 | 32$$

$$8 | 128$$

$$\nu_2(m) = 3$$

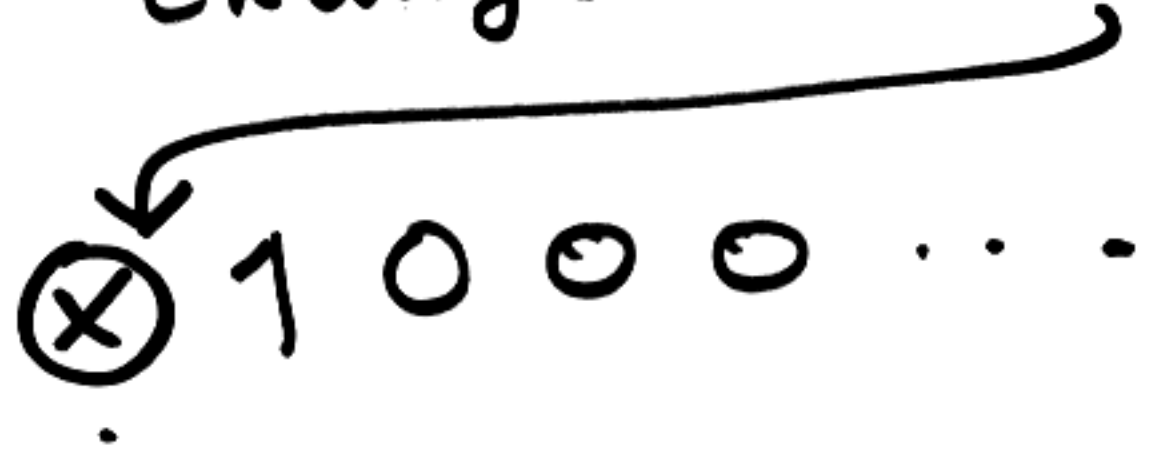
In Gray code

Either

1) change first bit

OR

2) change this bit



Moving the first ring has this effect

$$m \xrightarrow{\quad} m + (-1)^{b_0}$$

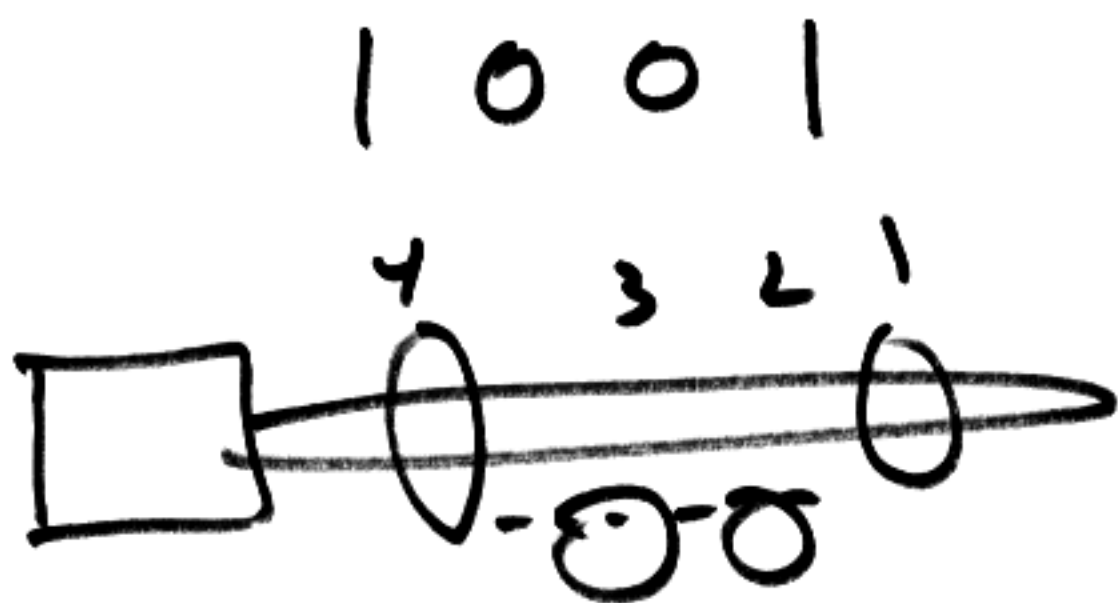
$b_0 = \# \text{ rings on } m$

$$m = (b_{m-1} b_{m-2} \dots b_1 b_0)_2$$

$$b_0 = \begin{cases} 0 & m \text{ even} \\ 1 & m \text{ odd} \end{cases}$$

position in chinese
ring

⑥

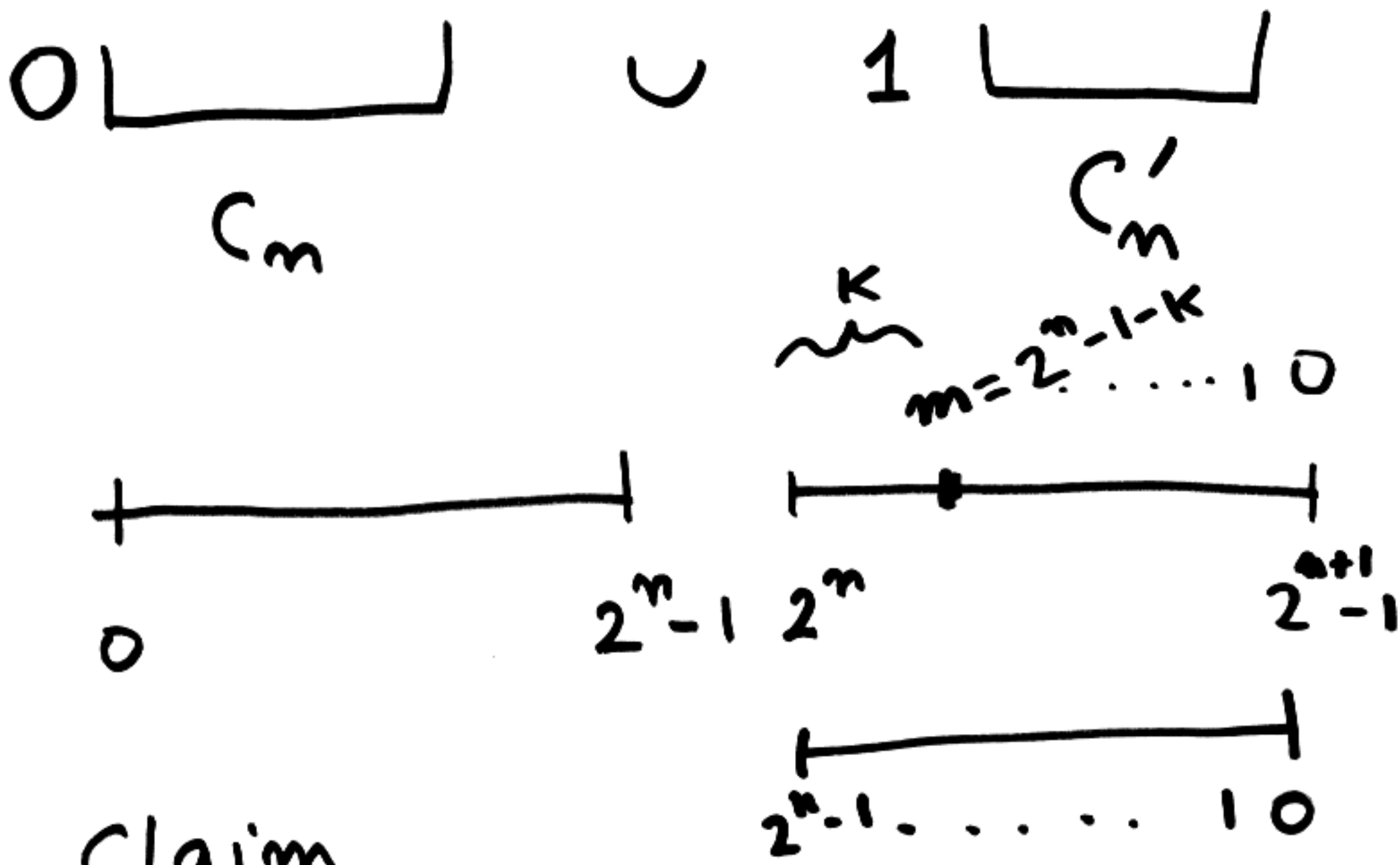


we should put ring
2 back on

given m denote by
 $g(m)$ the gray code word
thought of as binary.

e.g. $g(4) = 6$

$$(0100)_2 \mapsto (0110)$$



Claim

$$g(m) = 2^n + g(2^n - 1 - k)$$

if $m = 2^n + k$
 $0 \leq k < 2^n$

What is the binary code for $2^n - 1 - k$?

$n = 3$

$K = 2$

$2^3 - 1 - 2 = 5$

0	000
1	001
2	010
3	011
4	100
5	101
6	111
7	111



reversed

2



5

010

101

Reversing order
 just means flip every
 bit (in binary).

$$m = (b_{n-1} b_{n-2} \dots b_1 b_0)_2 \quad \textcircled{9}$$

$$g(m) = (c_{n-1} c_{n-2} \dots c_1 c_0)_2$$

Claim

$$c_j \equiv b_j + b_{j+1} \pmod{2}$$

$$1 + 1 \equiv 0 \pmod{2}$$

$$1 + 0 \equiv 1 \pmod{2}$$

$$0 + 1 \equiv 1 \pmod{2}$$

$$0 + 0 \equiv 0 \pmod{2}$$

Binary \longrightarrow Gray

Gray \rightarrow Binary

(10)

$$b_j \equiv c_j + c_{j+1} + c_{j+2} + \dots \pmod{2}$$

$$c_j = b_j + b_{j+1}$$

$$c_{j+1} = b_{j+1} + b_{j+2}$$

$$+ c_{j+2} = \phantom{b_{j+1} + } b_{j+2} + b_{j+3}$$

$$\vdots \phantom{b_{j+1} + } \phantom{b_{j+2} + } \vdots$$

$$b_j + 0 + 0 + 0 +$$

How many steps

it takes to solve the

Chinese rings? with n rings

n	Gray	Binary	1
1	1	1	2
2	11	101	5
3	111	1010	10
4	1111	10101	21
5	11111	⋮	⋮
⋮	⋮	⋮	⋮
⋮	⋮	⋮	⋮

n odd

... 1 0 1 0 1

$$1 + 4 + 4^2 + 4^3 + \dots + 4^{\frac{n-1}{2}}$$

$$= \frac{4^{\frac{n+1}{2}} - 1}{4 - 1} = \frac{1}{3} (2^{n+1} - 1)$$

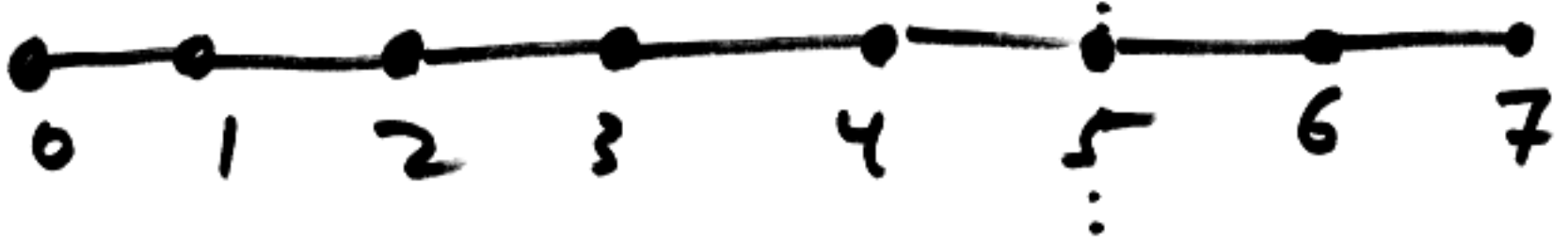
$$1 + a + a^2 + \dots + a^n = \frac{a^{n+1} - 1}{a - 1}$$

$a \neq 1$

$$\frac{1}{3} (2^{n+1} - 1) = \frac{2}{3} \cdot 2^n - \frac{1}{3}$$

↑
total # of positions

n=3

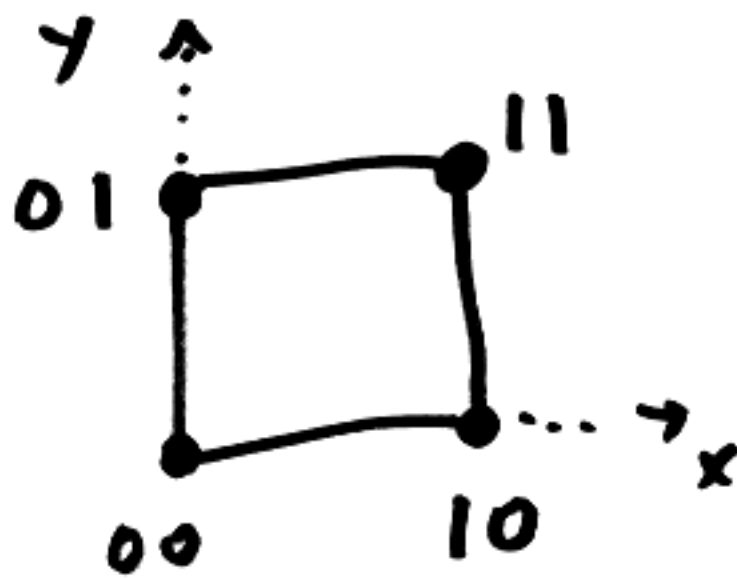


Hamilton paths cycles

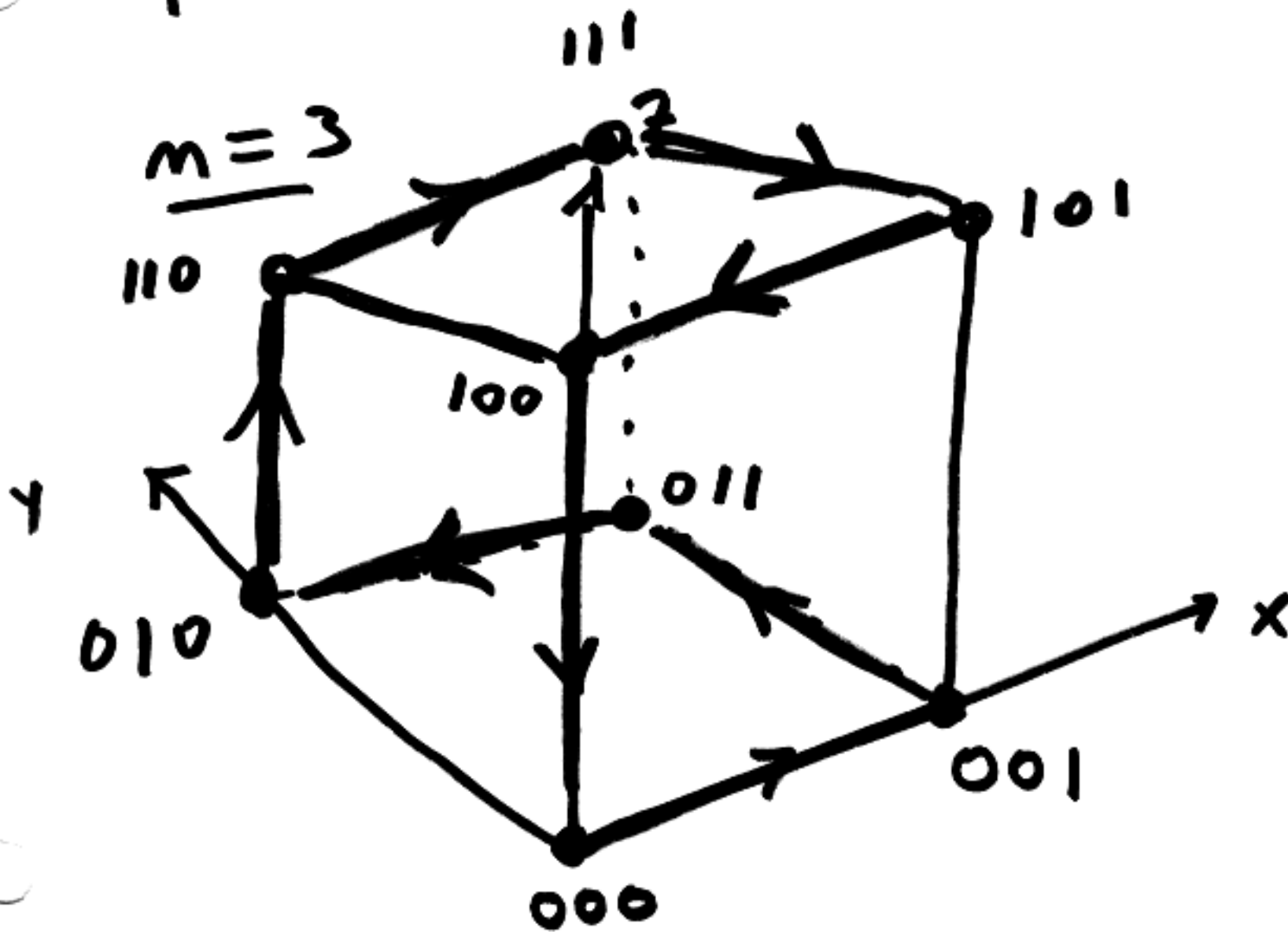


Put binary words on
the vertices of a n -diml
cube

0	0	0
1	0	1
2	1	0
3	1	1



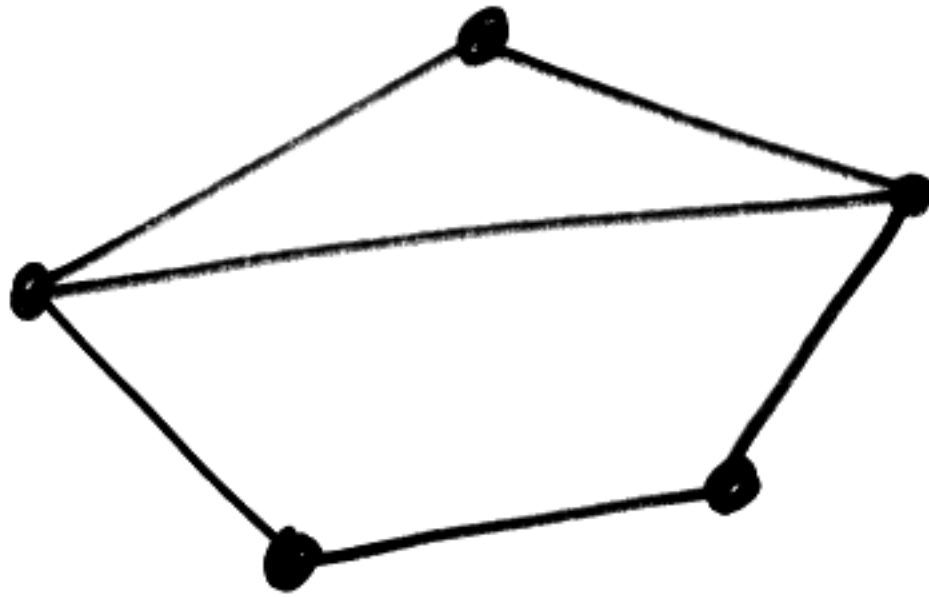
2-diml



	z	y	x
0	0	0	0
1	0	0	1
2	0	1	0
3	0	1	1
4	1	0	0
5	1	0	1
6	1	1	0
7	1	1	1

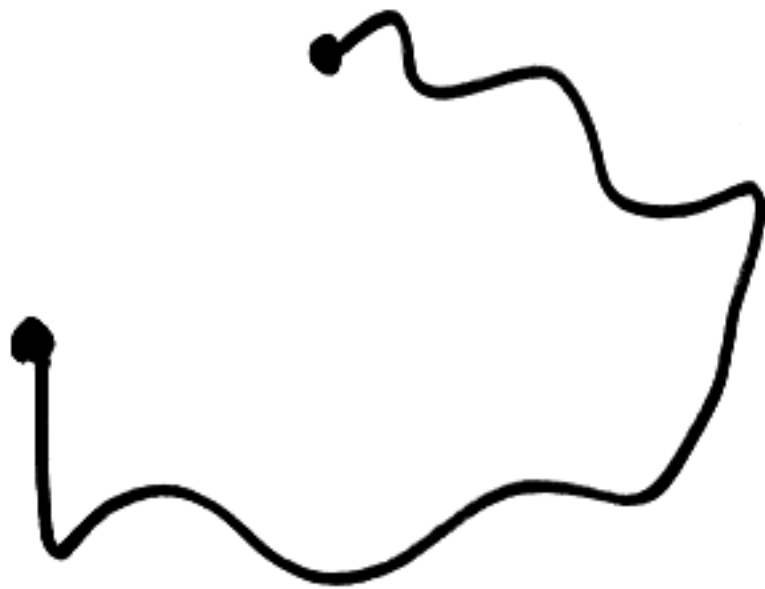
on a graph

②



Hamiltonian path

moves on edges traversing
the graph visiting all vertices
without repeating an edge
or vertex



path

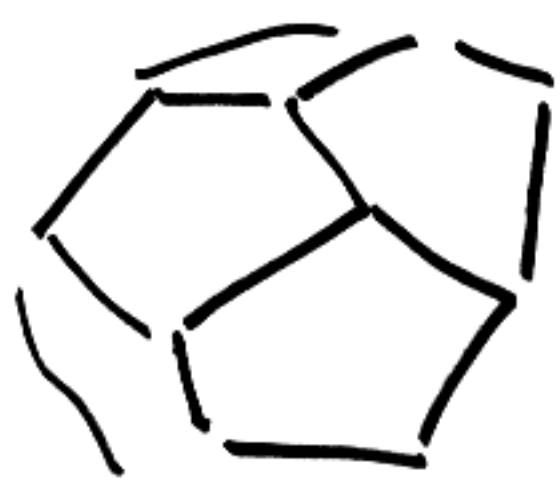


circuit
or
cycle

A gray code on n bits is the same than a Hamiltonian cycle on the n -dimensional cube.

Hamilton

Invented a game called the Icosian. Finding a Hamiltonian path on the vertices of a dodecahedron



Marketed the game. Total flop.

Gray codes \leftrightarrow Hamiltonian cycles on n -dim cube (4)

(Reflected Gray code is one among many possible ones)

Number of gray codes on n -bits

n	
1	2
2	8
3	96
4	43008
5	58018928640
6	?

Finding Hamiltonian cycles is NP complete

Martin Gardner

⑤

(Scientific American)

Knotted Doughnuts

Homework list all subsets
of $\{1, 2, \dots, n\}$ in such
a way that two consecutive
sets differ by only one element

$n=3$

\emptyset
 $\{1\}$ $\{2\}$ $\{3\}$

$\{1, 2\}$ $\{1, 3\}$, $\{2, 3\}$

$\{1, 2, 3\}$

⑥

We could do a
ternary Gray code

digits: 0, 1, 2

Reflect ternary gray
code.

Knuth's vol 4

• in his website

Loony Loop



m: 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16
 g(m): 1 2 1 3 1 2 1 4 1 2 1 3 1 2 1 5

$g(m) =$ ruler function

$$g(m) = \log_2(m) + 1$$

= # digits in binary from right to left to reach the first 1

$p(m) =$ # bits that change
 in binary $m-1 \rightarrow m$
 $=$ # bit that changes
 in Gray code
 $m-1 \rightarrow m$
 $=$ disk # that needs
 to be moved for the
 optimal solution to
 the m -disk towers
 puzzle.

Recursive way to construct
 the list of values of p .

- 1 1
- 2 121
- 3 1213121
- 4 121312141213121

Binary \rightarrow Gray

$$(b_{n-1} \dots b_1 b_0)_2 \rightarrow (c_{n-1} \dots c_1 c_0)$$

$$c_j \equiv b_j + b_{j+1} \pmod{2}$$

Basic Idea

$g(m)$ = number whose binary expansion is the Gray code for m .

Ex. 2: $m = 6$ gray code for 6

is 0101

is the binary for 5

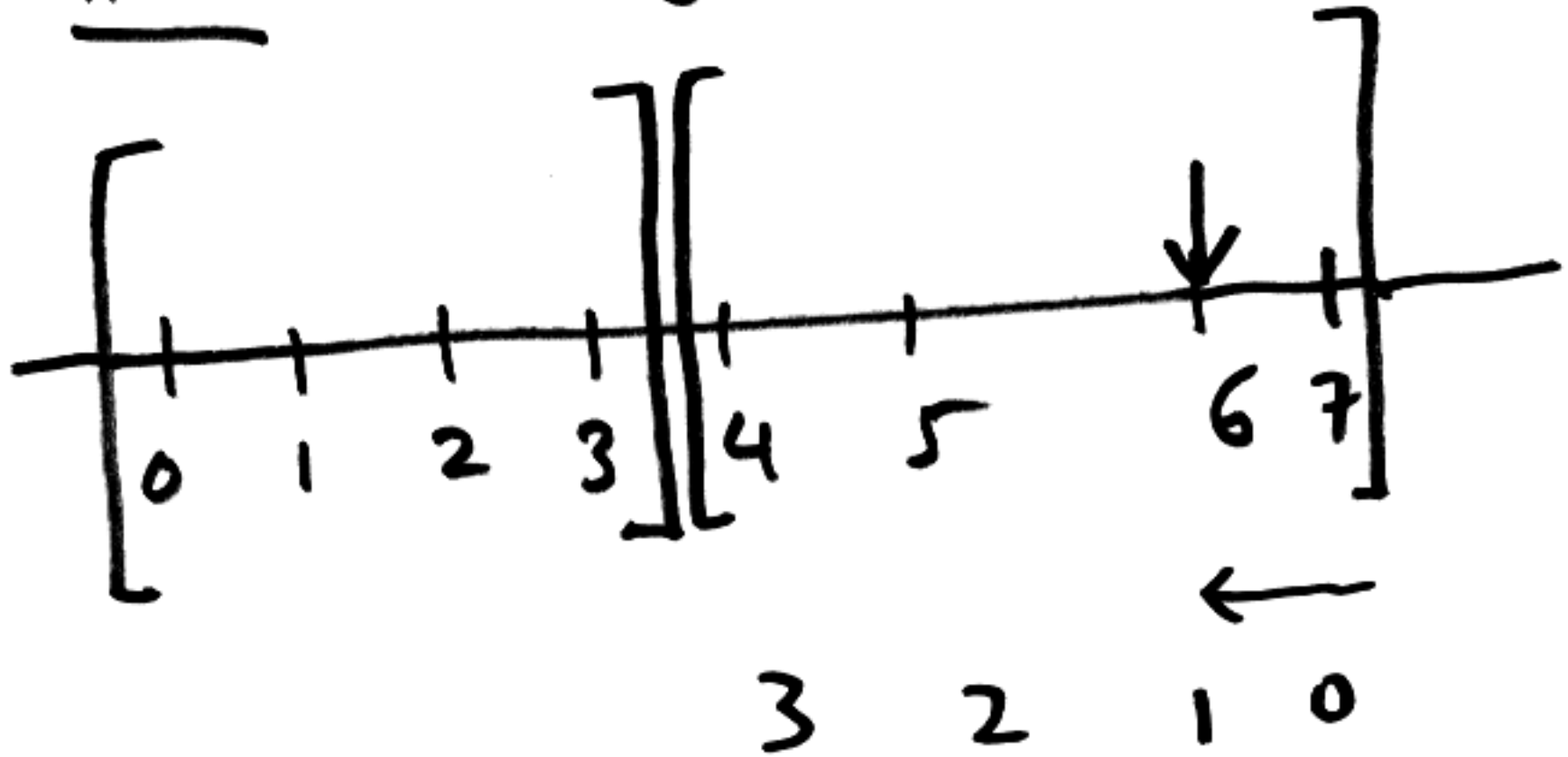
$$g(6) = 5$$

Claim

$$m = 2^3 + k$$

$$0 \leq k < 2^3$$

m=6 6 = 2² + 2



gray code for 6

is

$$1 \underline{01}$$

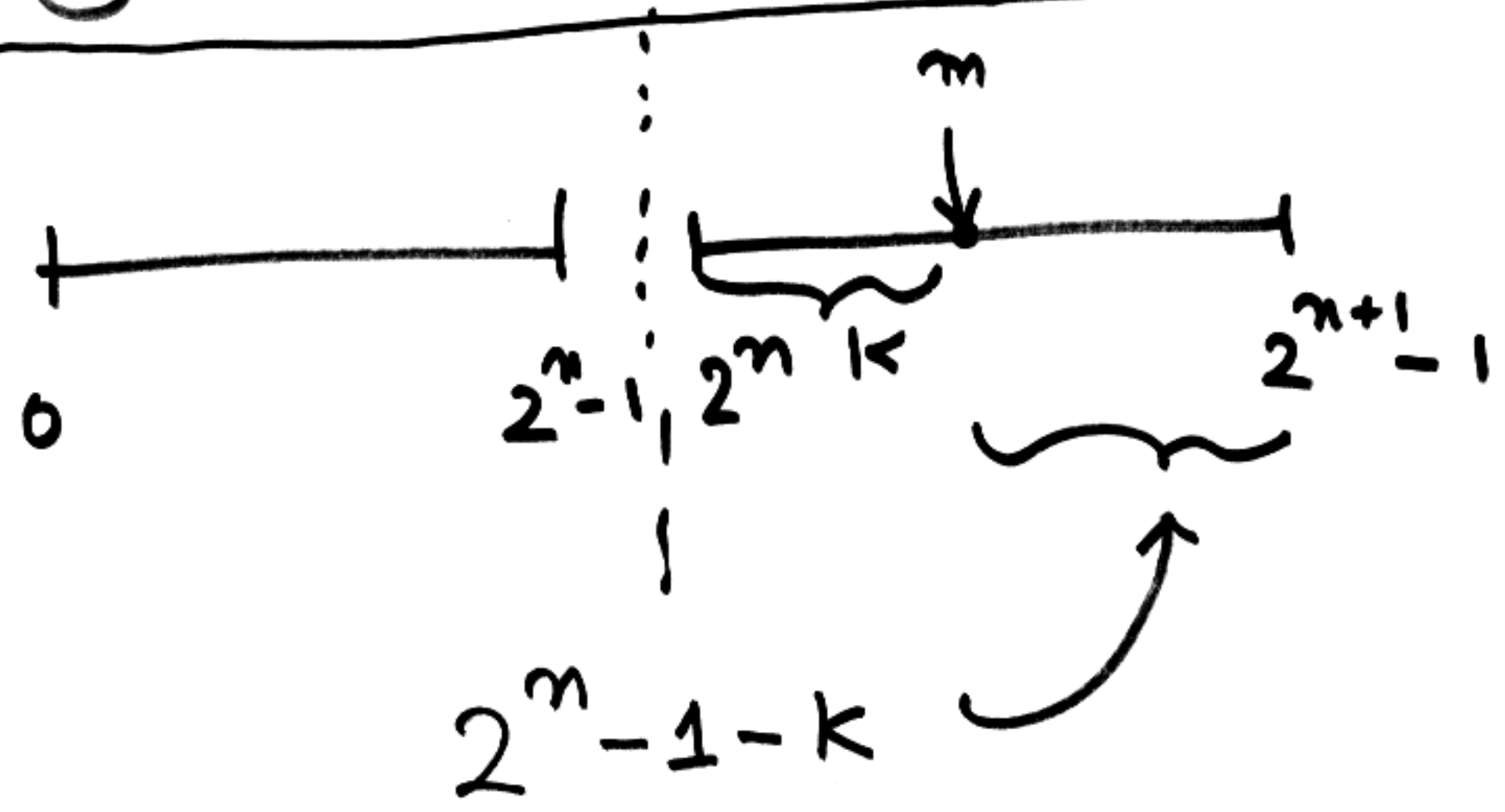
↑ gray code for 1

$$g(6) = 2^2 + g(1)$$

$$m = 2^n + k$$

$$0 \leq k < 2^n$$

$$g(m) = 2^n + g(2^n - 1 - k)$$



$$k \leftrightarrow 2^n - 1 - k$$

$$2^3 - 1 - 6 = 1$$

binary
 $n = 3$
 $k = 6$

$$(110)_2$$

$$(001)_2$$

$$c_j \equiv b_j + b_{j+1} \pmod{2}$$

is a consequence of our boxed formula.

$$g(m) = 2^n + g(2^n - 1 - k)$$

binary bits are flipped from those of k

$$m = 2^n + k$$

m in binary



Proof is by induction on n

Gray \rightarrow Binary

(13)

$$b_j = c_j + c_{j+1} + \dots \pmod{2}$$

$$c_j \equiv b_j + b_{j+1} \pmod{2}$$

$$c_{n-1} = b_{n-1}$$

$$c_{n-2} = b_{n-1} + b_{n-2}$$

$$b_{n-2} = c_{n-2} + b_{n-1}$$

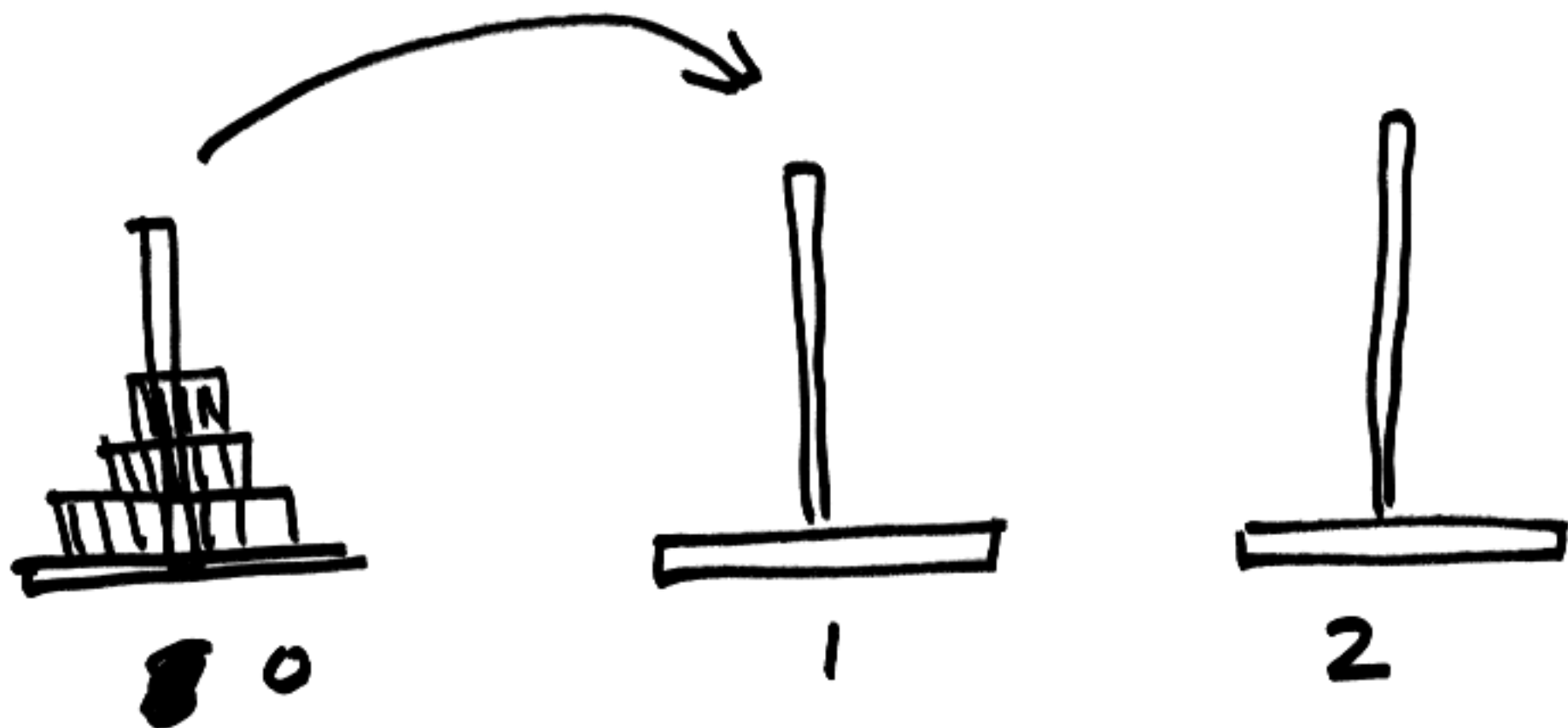
$$b_{n-3} = c_{n-3} + b_{n-2}$$

Hanoi Towers

IV

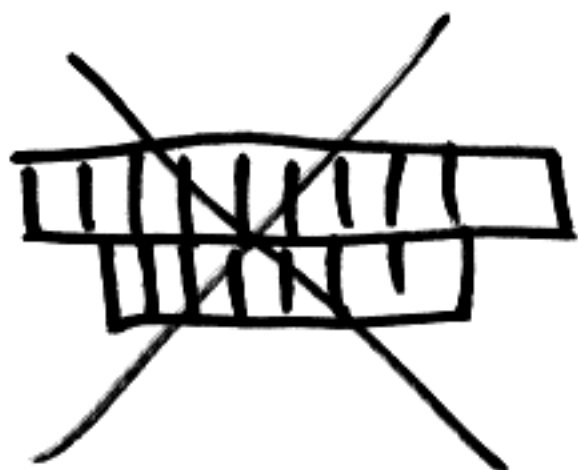
①

$n=3$

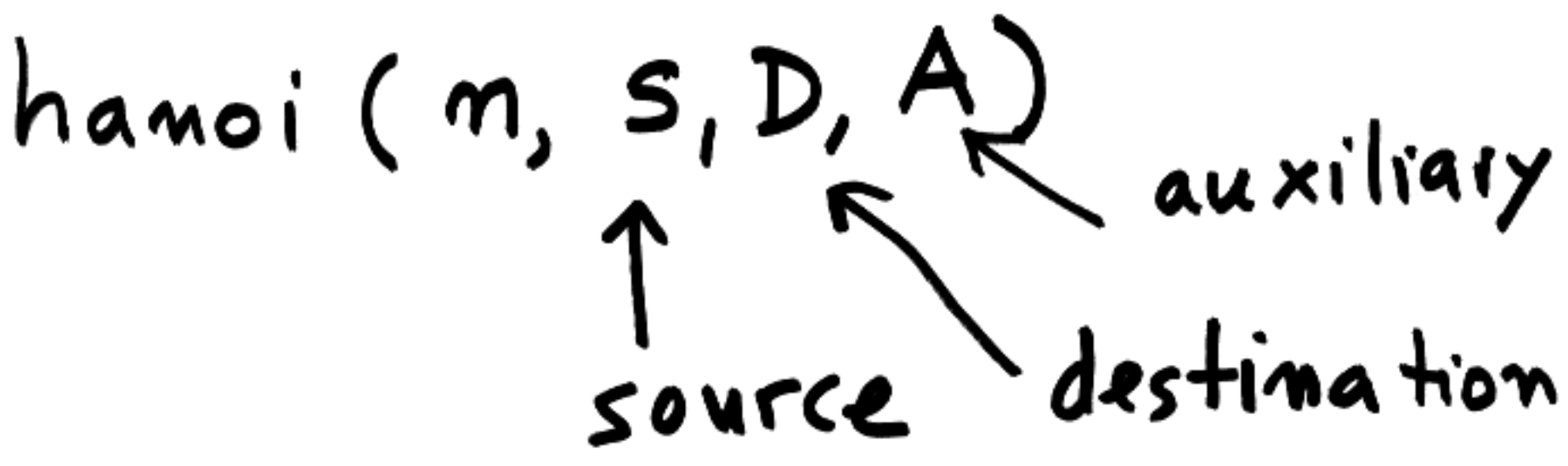


n -disks

Rule: smaller disks should be always on top of bigger ones.



Unique optimal solution



m > 0

hanoi (m, S, D, A) =

if m > 0

hanoi (m-1, S, A, D)

move disk m from S to D.

hanoi (m-1, A, D, S)

Recursive procedure.

How many steps?

③

h_n say

$$\begin{cases} h_1 = 1 \\ h_n = 2h_{n-1} + 1 \end{cases}$$

closed formula

$$h_n = 2^n - 1$$

$$h_1 = 1 \quad h_2 = 2 \times 1 + 1 = 3$$

$$h_3 = 2 \times 3 + 1 = 7$$

$$h_4 = 2 \times 7 + 1 = 15 \dots$$

Proof

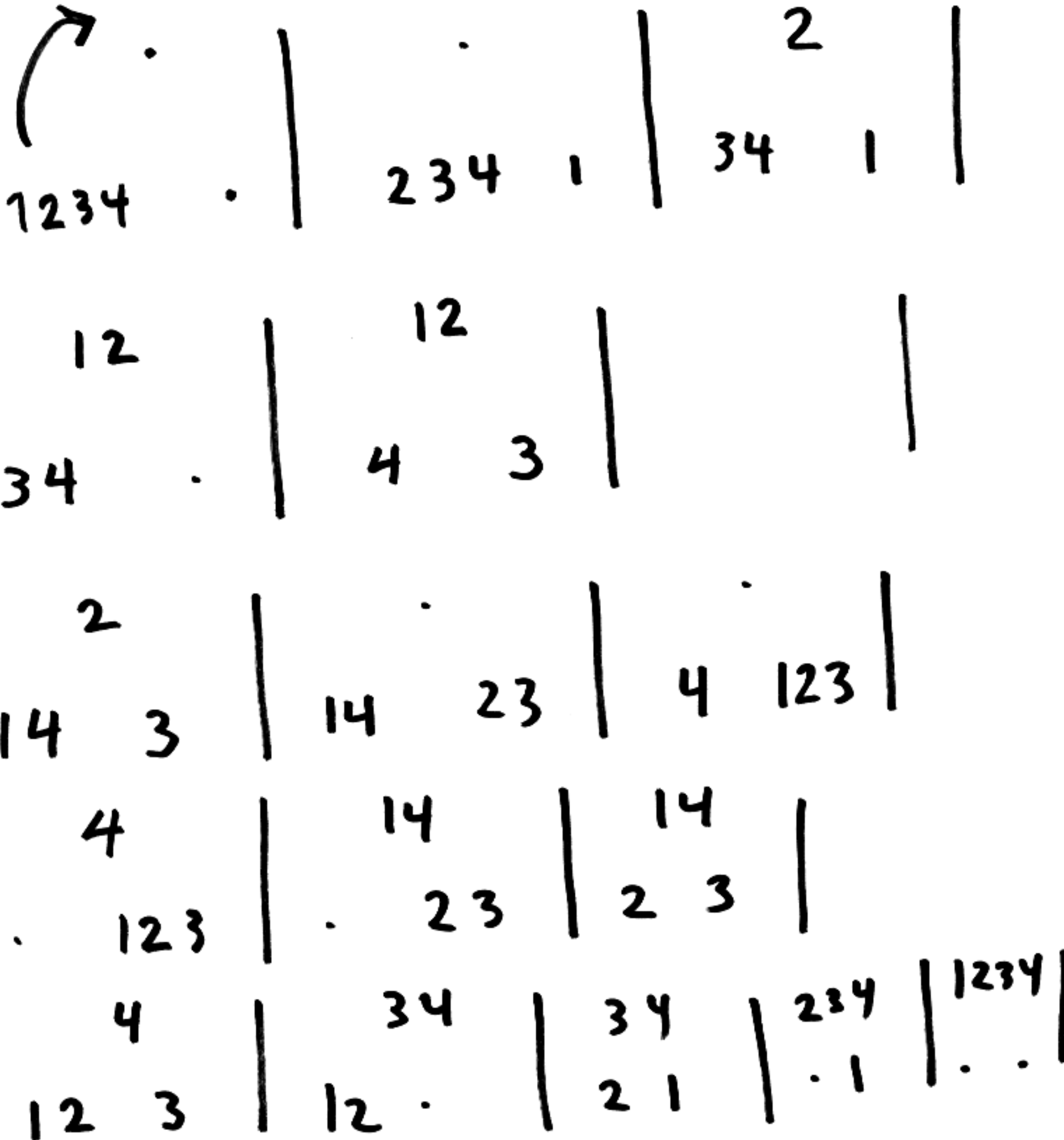
$$n=1 \quad \checkmark$$

$$\begin{aligned} h_n &= 2 \times (2^{n-1} - 1) + 1 \\ &= 2^n - 2 + 1 = 2^n - 1 \quad \square \end{aligned}$$

n = 4

pages

④



Disk k moved in step m is the $p(m)$ (ruler function)

1 2 1 3 1 2 1 4 1 2 1 3 1 2 1



Exactly the recursive way to construct the ruler function

1
 1 2 1
 1 2 1 3 1 2 1
 1 2 1 3 1 2 1 4 1 2 1 3 1 2 1

Optimal

Solution to Hanoi \leftrightarrow Gray code
 position \leftrightarrow gray code word
 1 for i if disk i was moved and odd...

In optimal solution (5)

disk 1 moves

counterclockwise

even disks ↻



direction of move of
disks depends only
on its parity



← to solve optimally we
know disk n
moves only once
so this determines
the direction of all
disks of same parity as n
and vice versa as well

disks of same parity as n
go ↻

opposite parity go ↻

Puzzles

6

- Positions
- moves

- graph
- vertices
 - edges.

Goal: start position
↓
end position

Chinese rings



Questions

- How many positions?
- How many steps to solve?
- Orientation?

- Code positions in a useful way.

7

Hanoi Towers

label disks $1, 2, \dots, n$

code a position

(t_1, \dots, t_n)

$t_i = 0, 1$ or 2

= label of peg where
disk i is



(120)

Graph?

⑧

How many positions?

$$3^n$$

total positions.

n=2

(21)



(01)



(11)



(20)



Recall: graph of a V 1
puzzle

vertices \leftrightarrow positions
edges \leftrightarrow moves

graph is connected

e.g.



disconnected

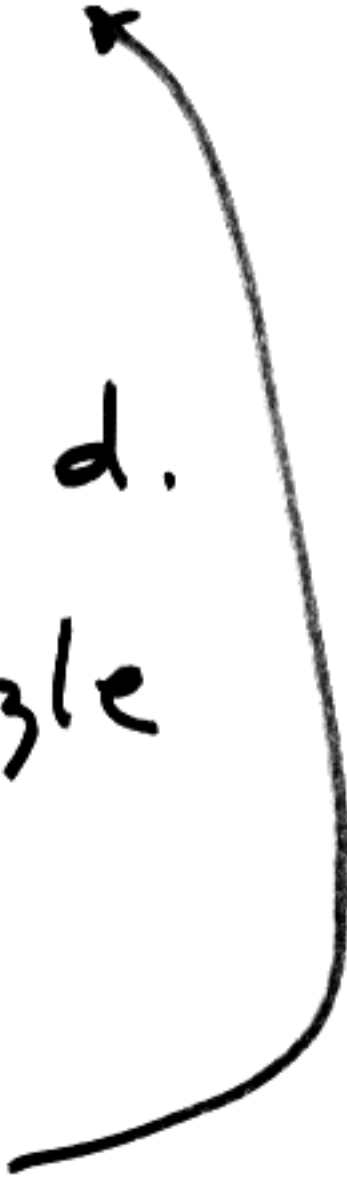
Hanoi Towers ~~so~~ has a
connected graph.

In the 15-puzzle

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	.

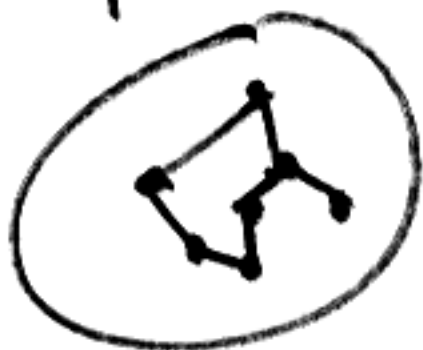
Sam Lloyd.
14-15 puzzle

1	2	3	4
5	6	7	8
9	10	11	12
13	15	14	.



cannot be done!

Graph



Pascal's triangle

Binomial coefficients.

$$\binom{n}{k} \quad k=0, 1, \dots, n$$

= # subsets of n things of size k .

E.g. $n=3$ $\{1, 2, 3\}$

$k=2$ $\{1, 2\}$ $\{1, 3\}$ $\{2, 3\}$

$$\binom{3}{2} = 3$$

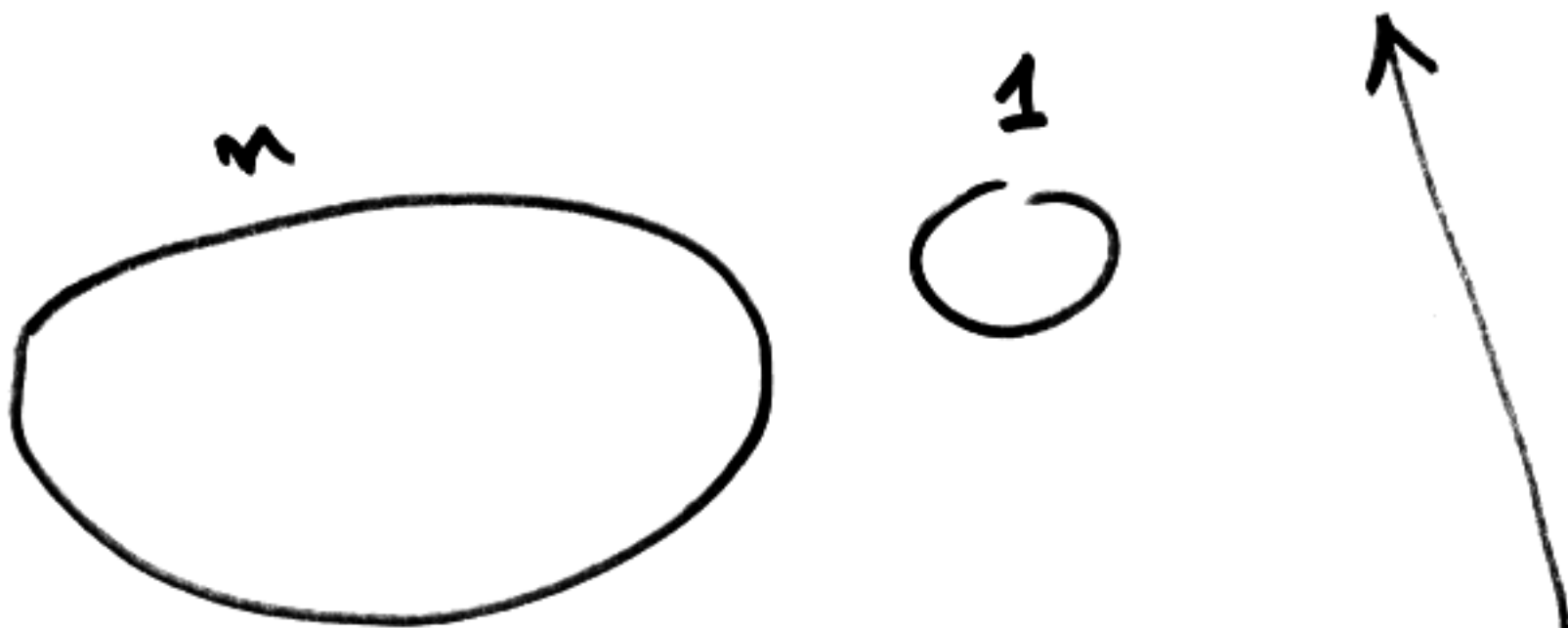
$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

$$n! = 1 \cdot 2 \cdot 3 \cdot \dots \cdot n$$

= # permutations of n things

$$\binom{n}{k} + \binom{n}{k-1} = \binom{n+1}{k}$$

(4)



combinatorial proof

Permutation Puzzles

X a set

U moves

$X \cong U$

a move is a permutation of the set X .

$X = \{1, 2, 3\}$

$u =$

1 2 3
2 3 1 $\curvearrowright u$

- Moves are reversible
- Can always be done.



X set

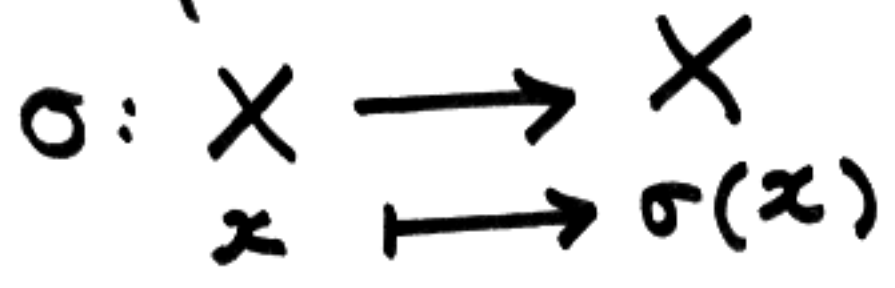
$S(X) =$ all permutations of X

forms a group

- $\sigma, \tau \in S(X)$

$\tau \cdot \sigma$ another permutation

↑ do this first
↑ then do this



We can "multiply"
permutations

(6)

σ :

1	2	3	4
2	1	4	3

τ :

1	2	3	4
2	3	4	1

$\tau \cdot \sigma$:

σ	1	2	3	4
\downarrow	2	1	4	3
τ	3	2	1	4

• $\sigma \in S(X)$ is reversible

$\sigma^{-1} \cdot \sigma = 1$ identity
in $S(X)$

$\sigma \cdot \sigma^{-1} = 1$

operation • in $S(X)$

- identity 1
- elements have inverses
- operation is associative.

$$\sigma_1(\sigma_2 \cdot \sigma_3) = (\sigma_1 \cdot \sigma_2) \sigma_3$$

7

Associativity.

• Commutativity

$$\sigma \cdot \tau \neq \tau \cdot \sigma$$

In general

Permutations

Finite set X

$$\sigma: X \longrightarrow X$$

permutations

(bijections)

$$X = \{1, 2, \dots, n\}$$

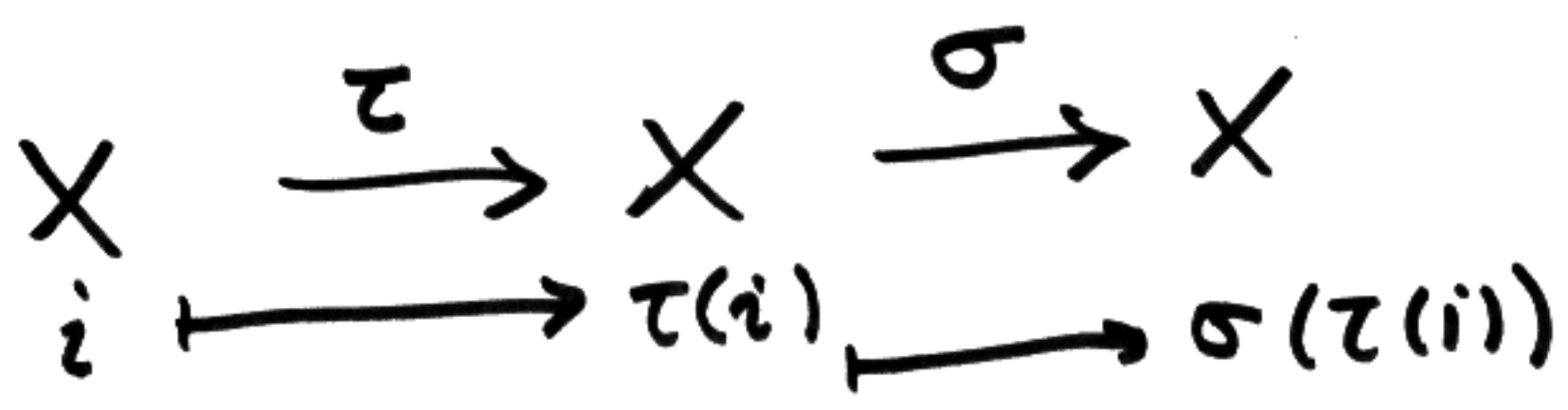
$$\begin{pmatrix} 1 & 2 & 3 & \dots & n \\ \sigma(1) & \sigma(2) & \sigma(3) & \dots & \sigma(n) \end{pmatrix}$$

Permutations act on
labels not on position.

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 1 & 4 & 5 & 3 \end{pmatrix}$$

$$\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 3 & 5 & 4 \end{pmatrix}$$

Can compose permutations
product



$\sigma \circ \tau$

first τ then σ

$$\sigma \cdot \tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 1 & 4 & 3 & 5 \end{pmatrix}$$

③

Permutations with this product form a group.

- Product is associative

$$\sigma \cdot (\tau \cdot \eta) = (\sigma \cdot \tau) \cdot \eta$$

- Permutations have inverses

$$\sigma \cdot \sigma^{-1} = \sigma^{-1} \cdot \sigma = 1$$

- 1 identity permutation

$$\begin{pmatrix} 1 & 2 & \dots & n \\ 1 & 2 & \dots & n \end{pmatrix}$$

Much better notation

④

cycle decomposition

$$\sigma = (12)(345)$$

$$\begin{aligned}\tau &= (1)(2)(3)(45) \\ &= (45)\end{aligned}$$

$$\begin{aligned}\sigma \cdot \tau &= (12)(345)(45) \\ &= (12)(34)\end{aligned}$$

Cycle decomposition

(5)

$\sigma \in S_n$ (= group of permutations of n things)

can be written as a product of disjoint cycles.

It is essentially a unique way.

$$\begin{aligned}\sigma &= (12)(345) = (12) \begin{pmatrix} 453 \\ 534 \end{pmatrix} \\ &= (345)(12)\end{aligned}$$

disjoint cycles commute

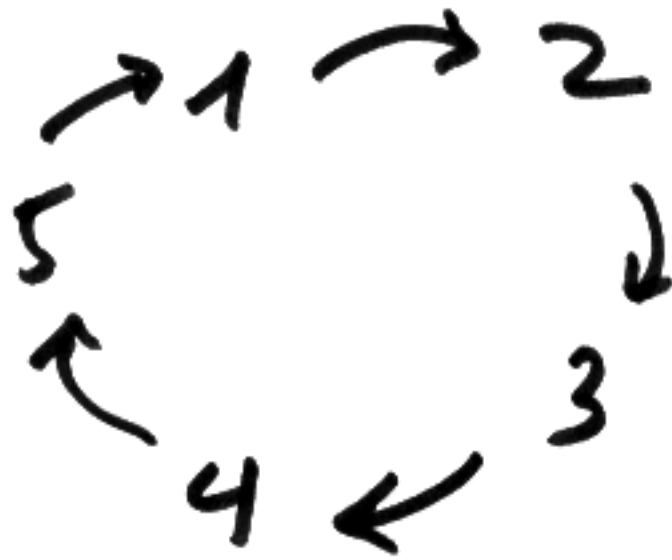


not disjoint cycles typically do not commute.

$$(12)(23) = (123)$$

$$(23)(12) = (132)$$

(1 2 3 4 5)



Convention
on Cycles

Permutation Puzzles

- X finite set of objects
- U moves (permutations of X)

Moves are reversible and don't depend on the status of X .

Puzzle's goal

⑦

is to take scrambled version of X to a desired form by a sequence of moves.

$$\{u_1, u_2, \dots, u_m\} = U$$

σ "scrambling" permutation

To solve

$$\sigma^{-1} = u_{i_1} u_{i_2} \dots u_{i_N}$$

(Assume U contains the inverses)

Butter: assume moves are of finite order (X is finite in fact). Then this is not necessary

Examples

8

1) Bubbling algorithm for sorting.

$U = \{ \text{transposition} \}$

transposition : (ij)

(2-cycle) $i \leftrightarrow j$
swaps i with j

~~214~~ ~~213451~~

$\sigma^{-1} : \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 3 & 4 & 5 & 1 \end{pmatrix} \begin{matrix} \curvearrowright \\ \curvearrowleft \end{matrix}$

$(12345) = (15)(14)(13)(12)$

1	2	3	4	5
2	1	3	4	5
2	3	1	4	5
2	3	4	1	5
2	3	4	5	1

Any cycle is a product of transpositions.

But any permutation is a product of (disjoint) cycles. Hence any permutation is a product of transpositions.

Writing σ as a product of u 's in \cup need not be unique.

$$(12345) = (15)(14)(13)(12)$$

=

1	2	3	4	5
2	3	4	5	1

1	2	3	4	5
5	2	3	4	1
4	2	3	5	1
3	2	4	5	1
2	3	4	5	1

$$= (23)(34)(45)(51)$$

Permutations obtained as products of moves in U (recall that U contains all inverses of U)

$u_{i_1} \dots u_{i_m}$ form a ^{sub}group = $H < G = S_m$

In general H need not be the set of all permutations.

$$U = \{(12)\} \subseteq S_5$$

$$\langle U \rangle = H = \{1, (12)\} \subseteq S_5$$

GAP

For a permutation puzzle

$|H| =$ size of H

is the number of possible different positions (states).

one generator (one move) only gives a cyclic group

(example) $u = (12)(345)$

$$u^{-1} = (12)(543)$$

$$\{(12)(345), (12)(543)\}$$

$$H = \langle U \rangle$$

$$U = (12)(345)$$

$$U^2 = (12)(345)(12)(345)$$

$$= (12)^2(345)^2$$

$$= (354)$$

$$U^3 = (12)^3(345)^3$$

$$= (12)$$

$$U^4 = (12)^4(345)^4$$

$$= (345)$$

$$U^{-1} = U^5 = (12)^5(345)^5$$

$$= (12)(354)$$

$$U^6 = (12)^6(345)^6 = 1$$

$$U^7 = U$$

Explain by
using cyclic
permutation as
rotation. There is
quite clear what you
can possibly do!

Any product

(13)

$$u^{n_1} u^{n_2} u^{n_3} \dots$$

$$= u^{n_1 + n_2 + n_3 \dots}$$

$$= u^r$$

$$r \equiv n_1 + n_2 + \dots \pmod{6}$$

$$0 \leq r < 6$$

There are many large and complicated groups which are generated with just two moves.

S_n is such an example

u 's = $(12), (123 \dots n)$

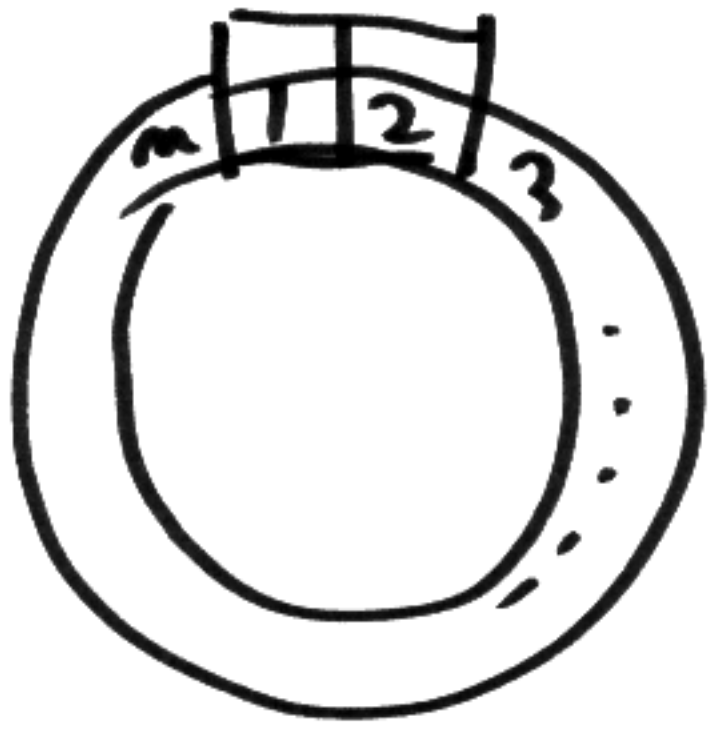
[and their inverses]

→ puzzle

(12)



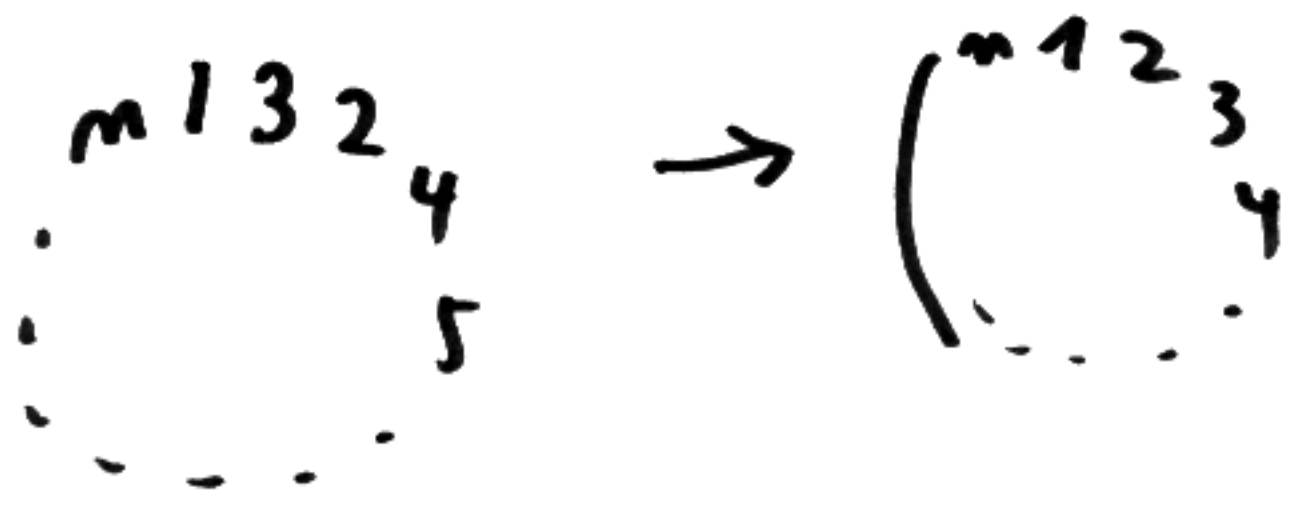
This acts on positions!



(12...n)

of possible states is $n!$

$(23) = (123\dots n)^{-1} (12) (123\dots n)$



- (34) :
- (45) :

$X =$ finite set  ①

$U =$ moves

(permutations of X)

Puzzle goal is to write

$$\sigma \in H = \langle U \rangle \subseteq S(X)$$

as a product

$$\sigma^{-1} = u_{i_1} \cdot u_{i_2} \cdot \dots \cdot u_{i_m}$$

with $u_i \in U$

- This is a hard problem.
- No clear algorithm.
- Solution may not be unique.

If we only have one

move u

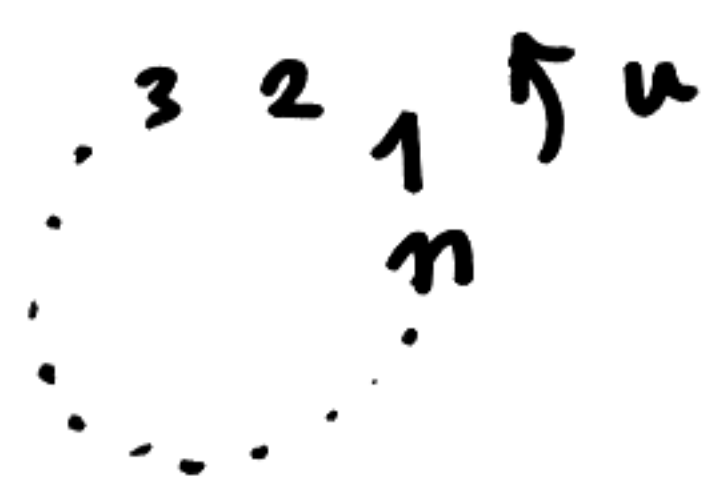
$$1, u, u^2, u^3, u^4, \dots$$

For some exponent $r > 0$ we'll have $u^r = 1$

If r the smallest such r then ~~the~~ all the permutations in H are simply

$$1, u, u^2, \dots, u^{r-1}$$

H is cyclic group



With 2 moves a lot of things can happen! (3)

Homework:

Fact

$(12), (12 \dots n)$

they generate S_n .

In fact if u_1, u_2 with

$$u_1^2 = 1 \quad u_2^3 = 1$$

Abstractly, the group generated by these two moves can be infinite.

$$H \ni u_1^{-1} u_2 u_1 u_2^2 u_1 u_2^{-1} \dots$$

$(u_1 u_2)^6 \neq 1$ u_1, u_2 need not commute

$$u_1 u_2 u_1 u_2 = (u_1 u_2)^2$$

(4)

$\neq u_1^2 u_2^2$ possibly

Lack of commutation

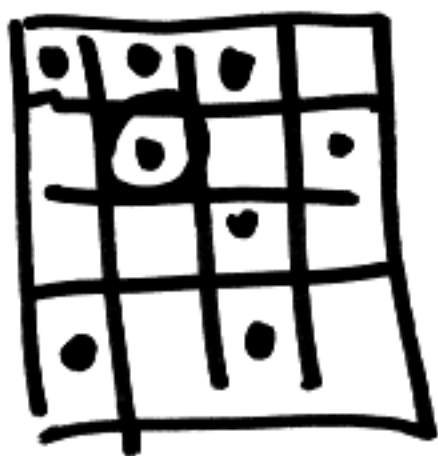
$$(\sigma\tau = \tau\sigma)$$

can generate great complexity.

Lights out

Merlin squares

⋮



Moves: press a button which will switch the neighboring lights

Here the group is abelian (Abel)
(commutative)

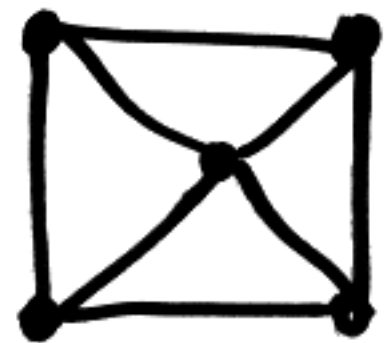
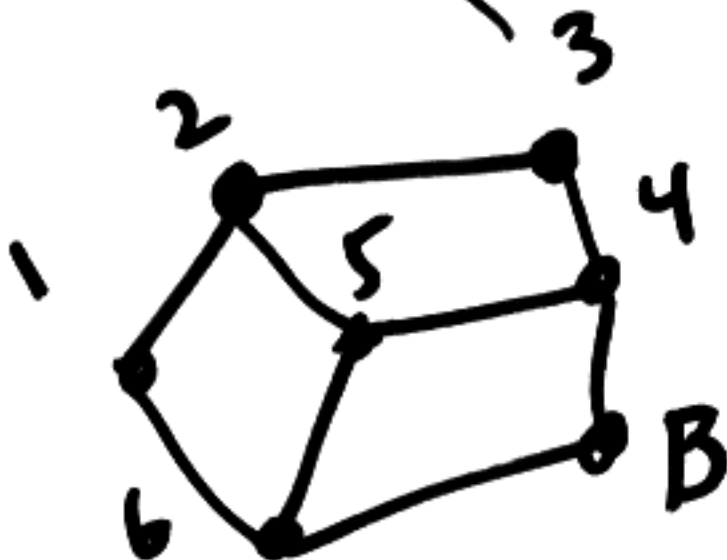
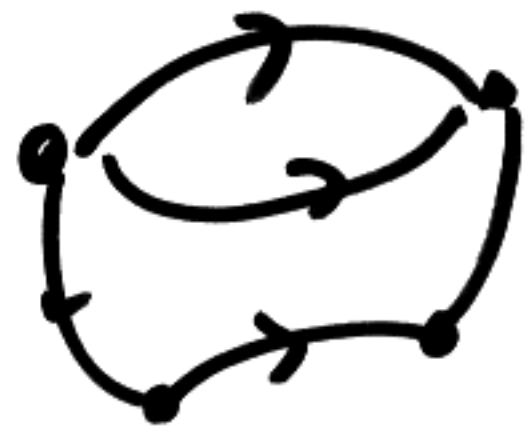
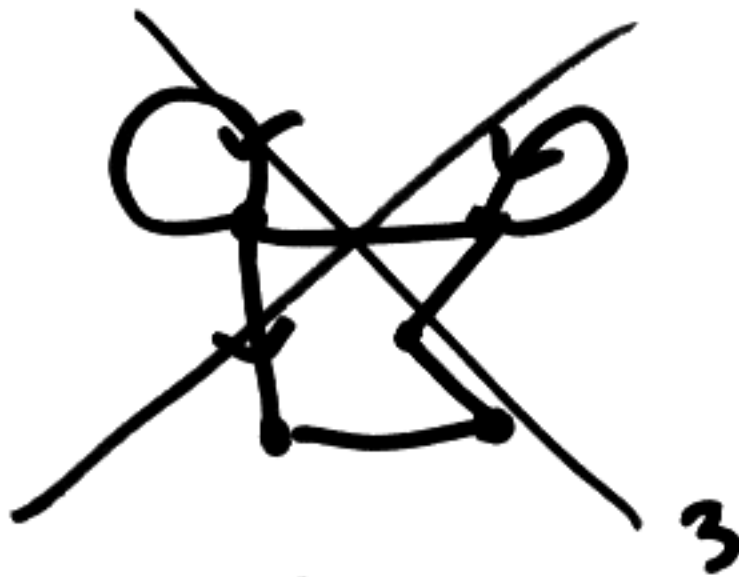
15 - puzzle

⑤

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	•

square slide

We can play this puzzle
in any simple graph
(no loops, no double edges)

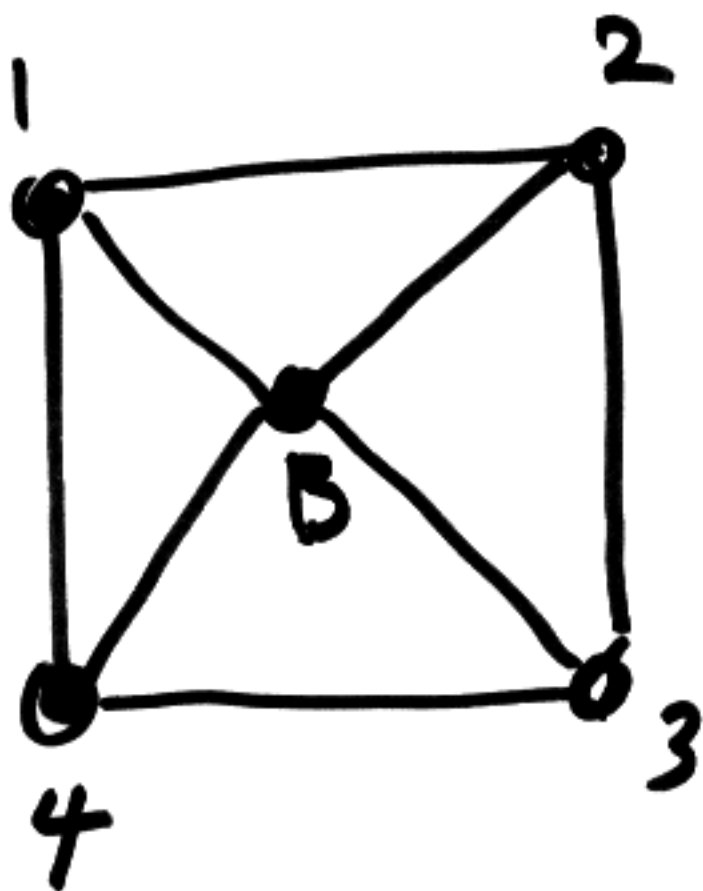


THEOREM.

R. Wilson

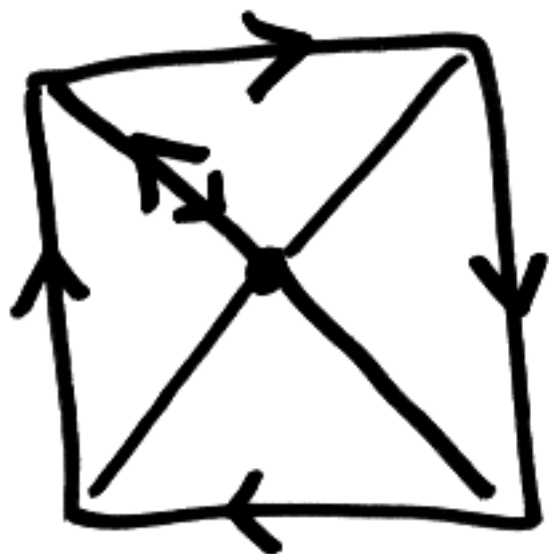
'74

⑥



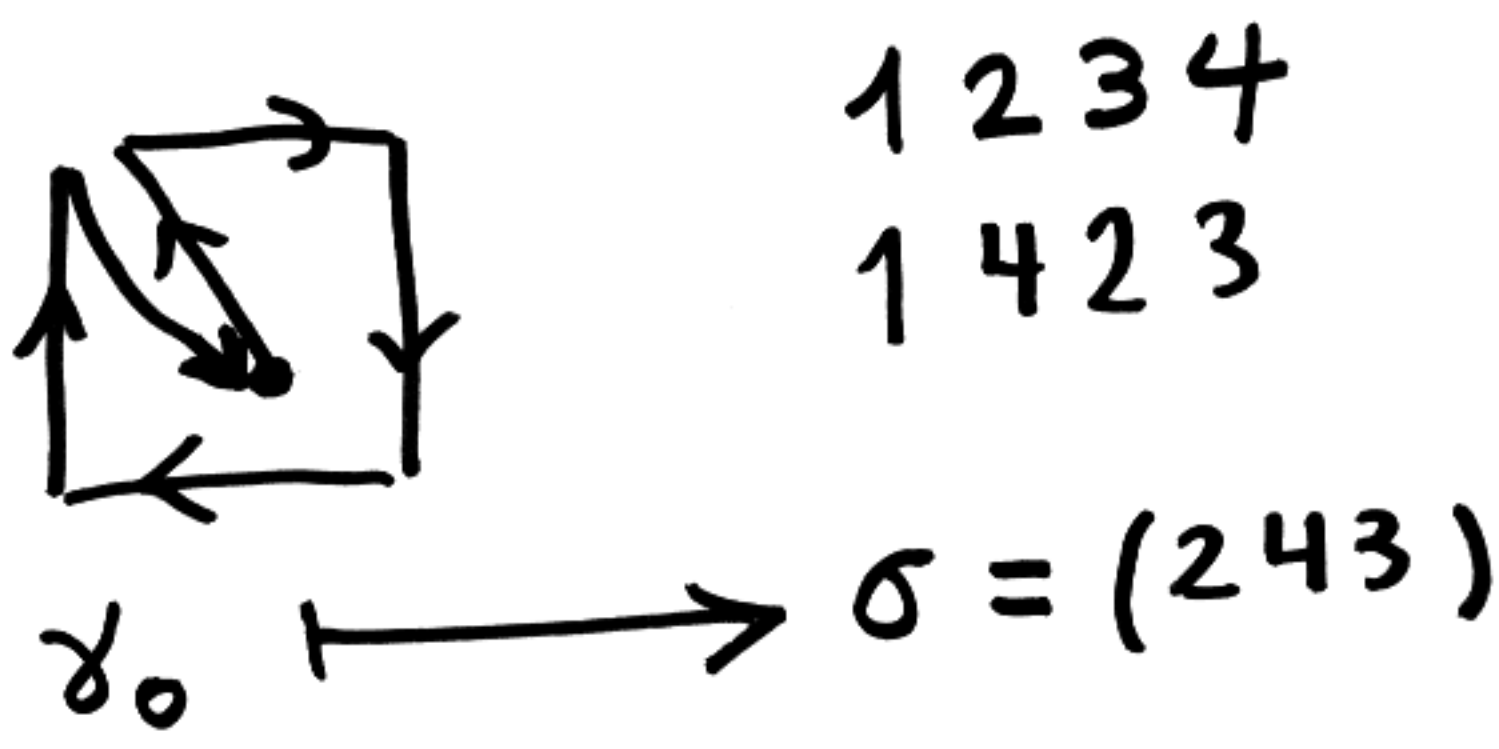
closed paths

Blank moves on the graph comes to its original position.



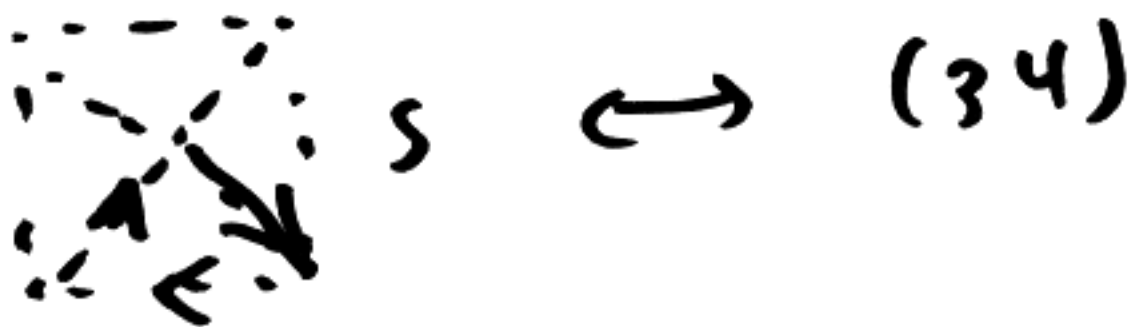
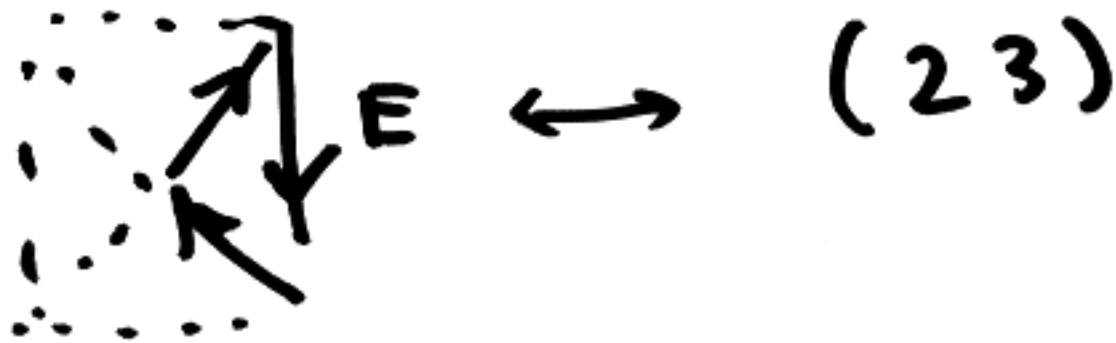
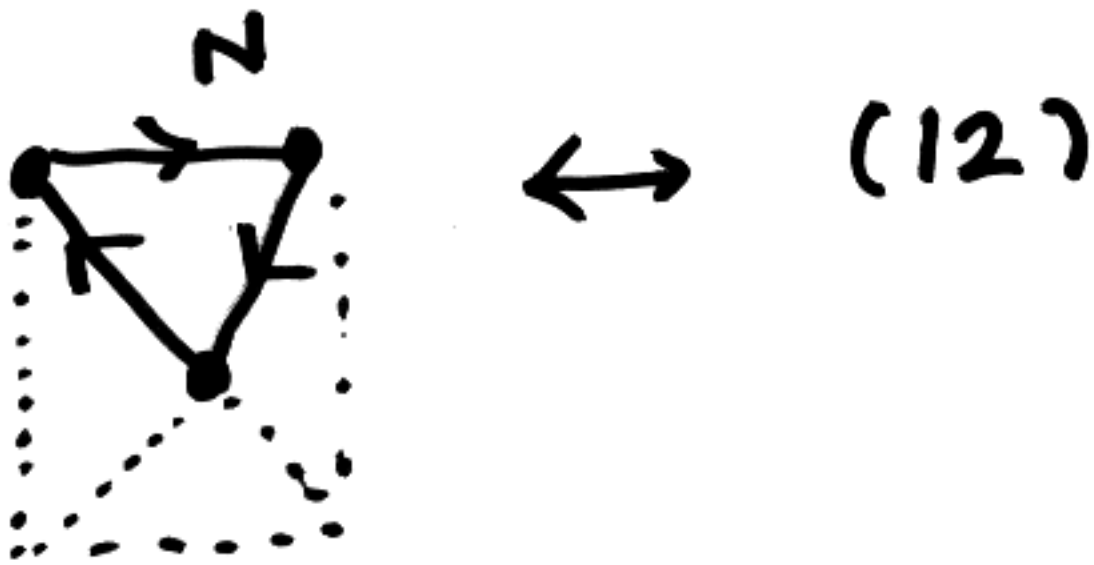
Each closed path gives a permutation of the 4 numbers.

We'll encode this permutation as follows.

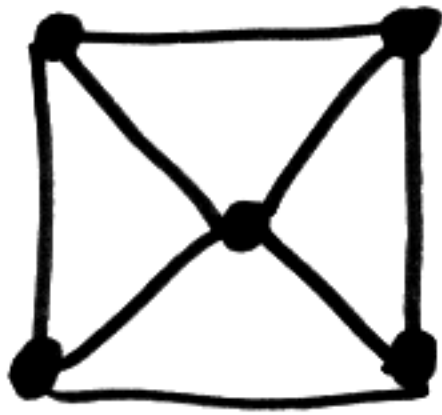


All possible closed paths (8)
 will give us a subgroup H
 of S_4 .

Basic moves



All permutations possible $\textcircled{9}$
 are generated by these four.



Note: $\gamma : B \dots P Q P \dots B$

\downarrow
 simplify

$B \dots P \dots B$



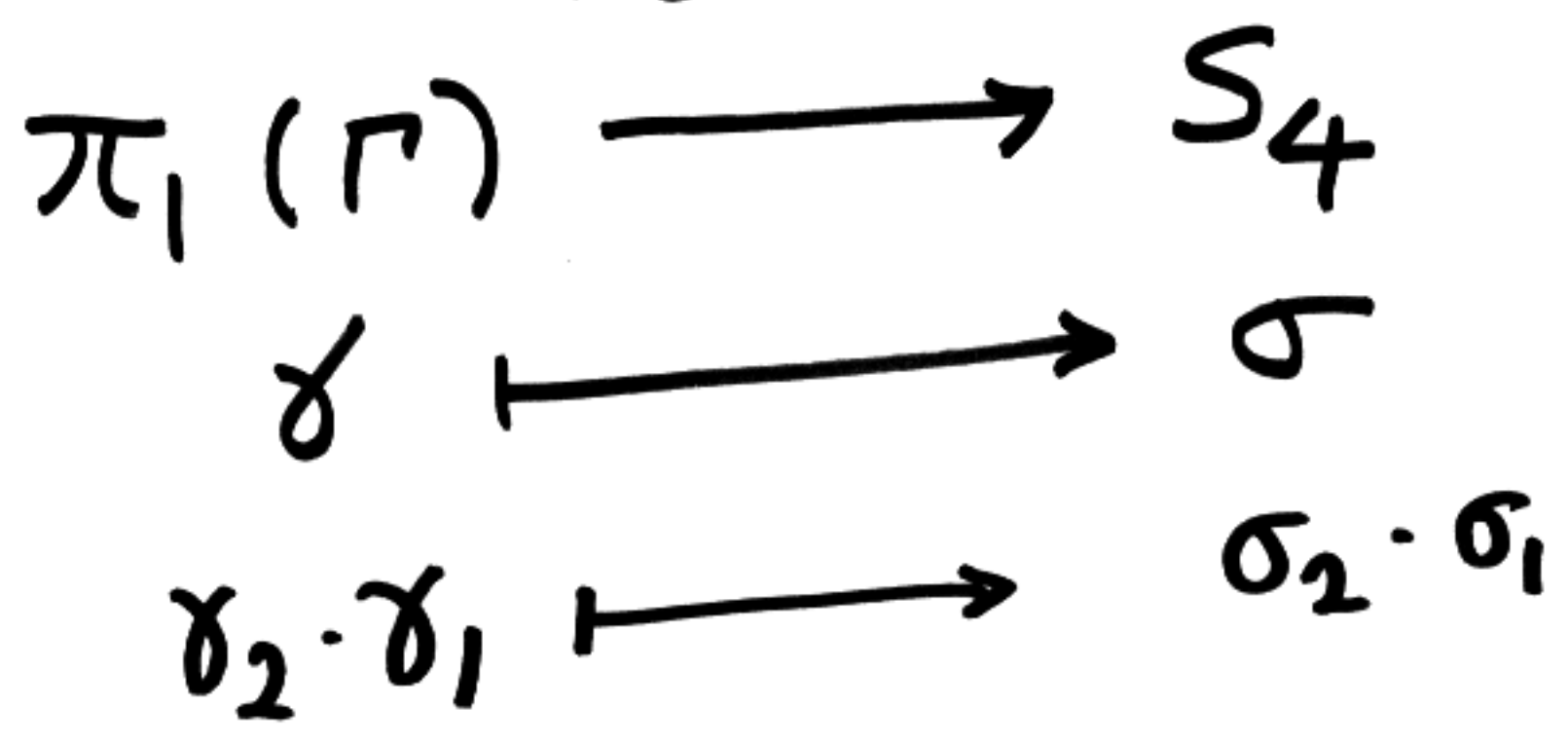
B	1	2	B	2	3	B	3	4	B	4	1	B
	B	1	2	2	3	3	3	4	4	1	B	

W · S · E · N

$\gamma_0 =$

We may multiply paths
 We get a group

This group is called the fundamental group of the graph. Γ (Topology).



Homomorphism

Image of this map are the permutations H achievable in this puzzle.

$$U = \{ (12), (23), (34), (14) \} \quad (11)$$

$$H = \langle U \rangle \quad ?$$

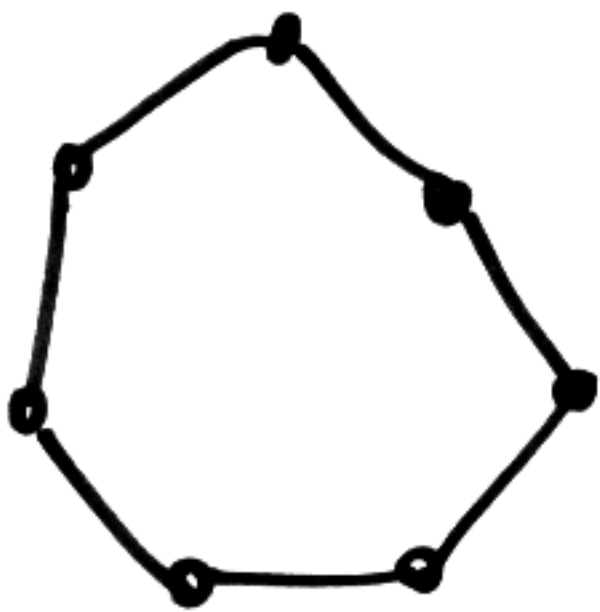
In fact $H = S_4$.

$$(1234) = \overbrace{(12)(23)(34)}^{\text{error}} (12)(32)(43)$$

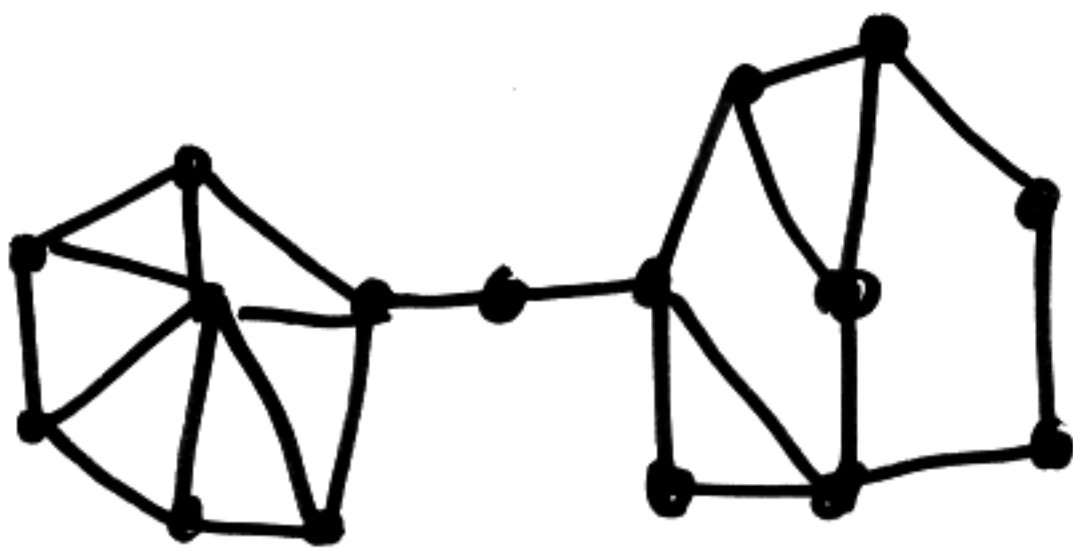
Now we know that H contains (12) , (1234) and hence all sequences of these which we know generate all of S_4 .

THM (Wilson)

12



→ cyclic group.



cannot swap from to

Removing one vertex leaves a disconnected graph.
We actually have two separate puzzles.

otherwise:

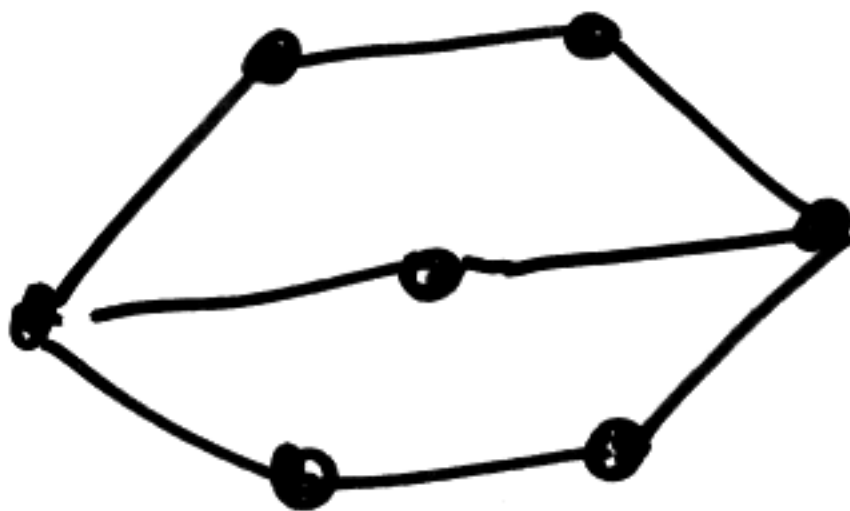
13

- H is either all of S_n
or 2) A_n (even permutations)

$$|S_n| = n!$$

$$|A_n| = n!/2$$

3)

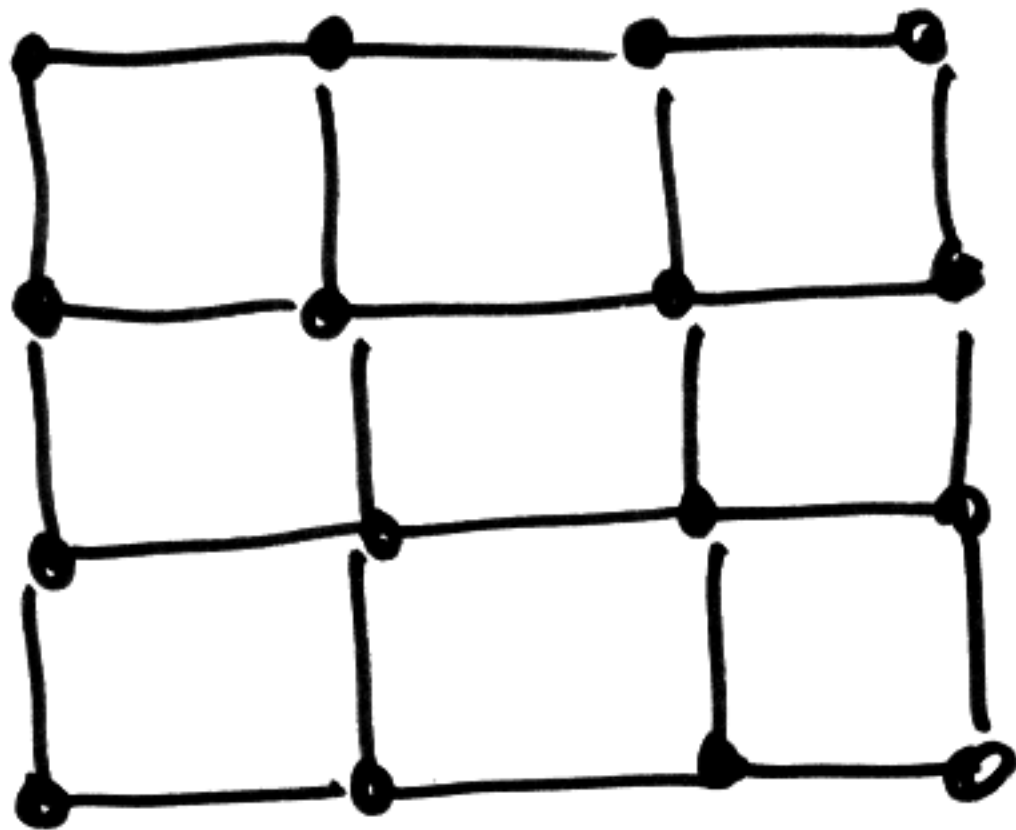


potentially $H = S_6$ size 6!
In fact $|H| = 120$

The graph for the 15-puzzle

(14)

∇:



Wilson's theorem says that

$$H = A_{15}, \quad |H| = 15! / 2$$

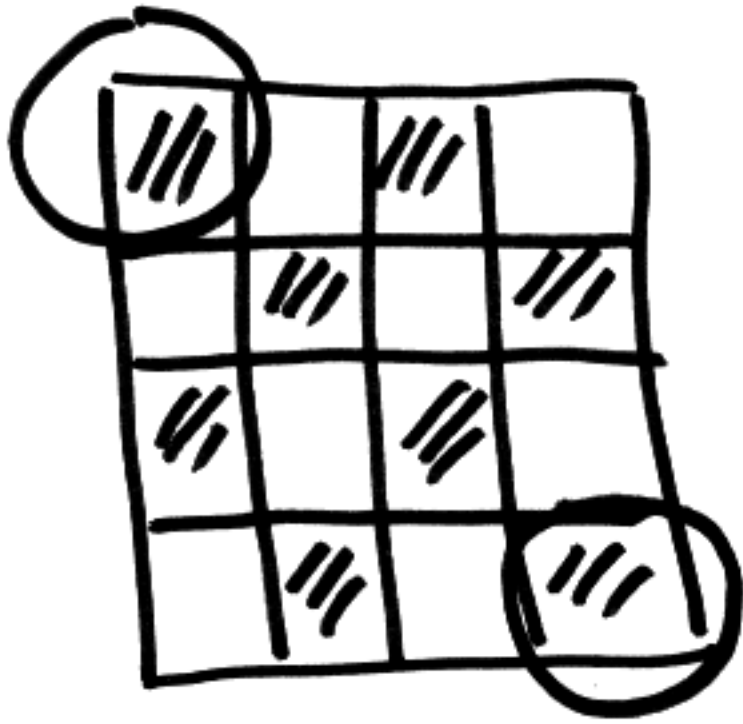
This implies

1	2	3	4
5	6	7	8
9	10	11	12
13	15	14	

cannot
be solved.



Parity

(Invariants)



Dominoes
place them
so that the
two corners
are left
uncovered

Can't be done

since tile down covers
one  and .

For permutations

we have a sign function

$$S_n \longrightarrow \{\pm 1\}$$

$$\sigma \longmapsto \text{sgn}(\sigma)$$

$$\text{sgn}(\sigma\tau) = \text{sgn}(\sigma)\text{sgn}(\tau)$$

homomorphism.

σ even if $\text{sgn}(\sigma) = +1$

σ odd if $\text{sgn}(\sigma) = -1$

- permutations
- even \circ even = even
 - even \circ odd = odd
 - odd \circ even = odd
 - odd \circ odd = even



What is

$\text{sgn}(\sigma)$?

Transposition gets

$$\text{sgn} = -1$$

swapping two numbers is odd permutation.

$$\text{sgn}((ij)) = -1$$

$$\begin{aligned} \text{sgn}((123)) &= \text{sgn}((13)) \cdot \text{sgn}((12)) \\ (123) &= (13)(12) \\ &= (-1) \cdot (-1) \\ &= +1 \end{aligned}$$

$$\text{sgn}((1234)) = -1$$

~~(1234)~~ $(1234) = (14)(13)(12)$

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ -1 & \cdot -1 & \cdot -1 \\ & & = -1 \end{array}$$

⋮

$$\text{sgn}((12 \dots r)) = (-1)^{r-1}$$

$$(12 \dots r) = \underbrace{(1r)(1r-1) \dots (12)}_{r-1}$$

$$\text{sgn}((12)(345)) = (-1) \cdot (+1) = -1.$$

(4)

But σ can be written (5)
as a product of transpositions in different ways.

Claim The parity of the number of transpositions needed is always the same.

The sign function is well defined.

$$A_n = \{ \text{even permutations} \}$$
$$= \{ \sigma \mid \text{sgn}(\sigma) = +1 \}$$

$$\sigma, \tau \in A_n \Rightarrow \sigma \cdot \tau \in A_n$$

Subgroup of S_n

6

$$\sigma \in A_m$$

$$\Rightarrow \sigma^{-1} \in A_m$$

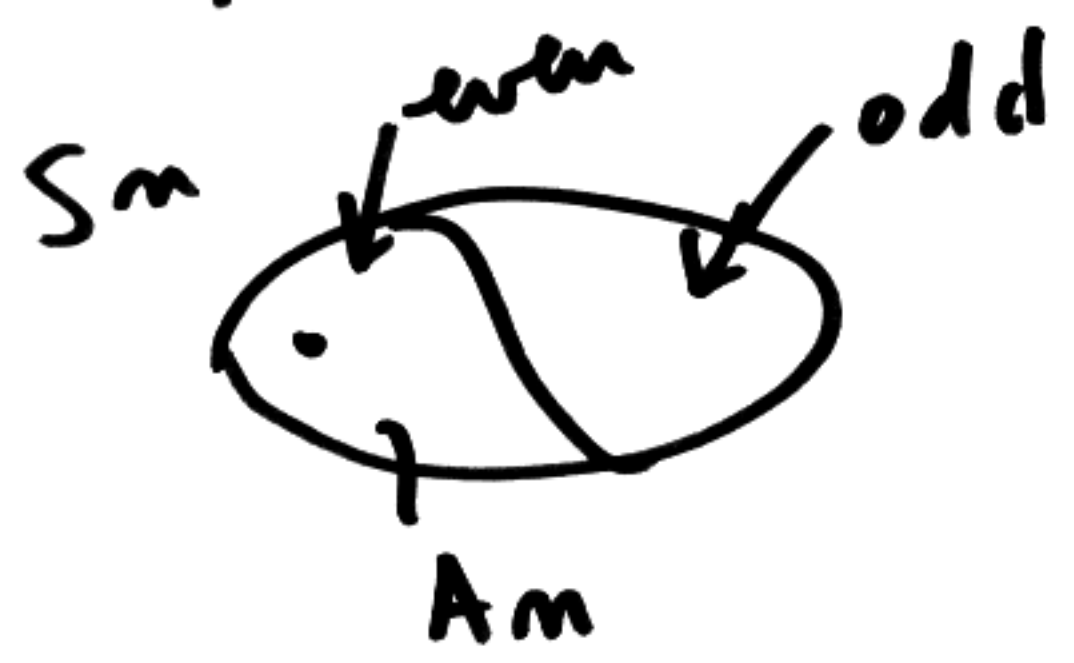
$$\text{sgn}(\sigma \cdot \sigma^{-1}) = \text{sgn}(1) = +1$$

$$\text{sgn}(\sigma) \cdot \text{sgn}(\sigma^{-1}) = +1$$

$$\downarrow \quad \Rightarrow \quad +1$$

$$|S_m| = m!$$

$$|A_m| = m! / 2$$



$$\sigma \in S_m$$

$$\sigma \in A_m$$

or

$$\sigma = (12)\tau$$

$$\tau \in A_m$$

3-cycles

(ijk)



quintessential even permutations.

THM (Wilson '74)

Γ simple graph



w_0



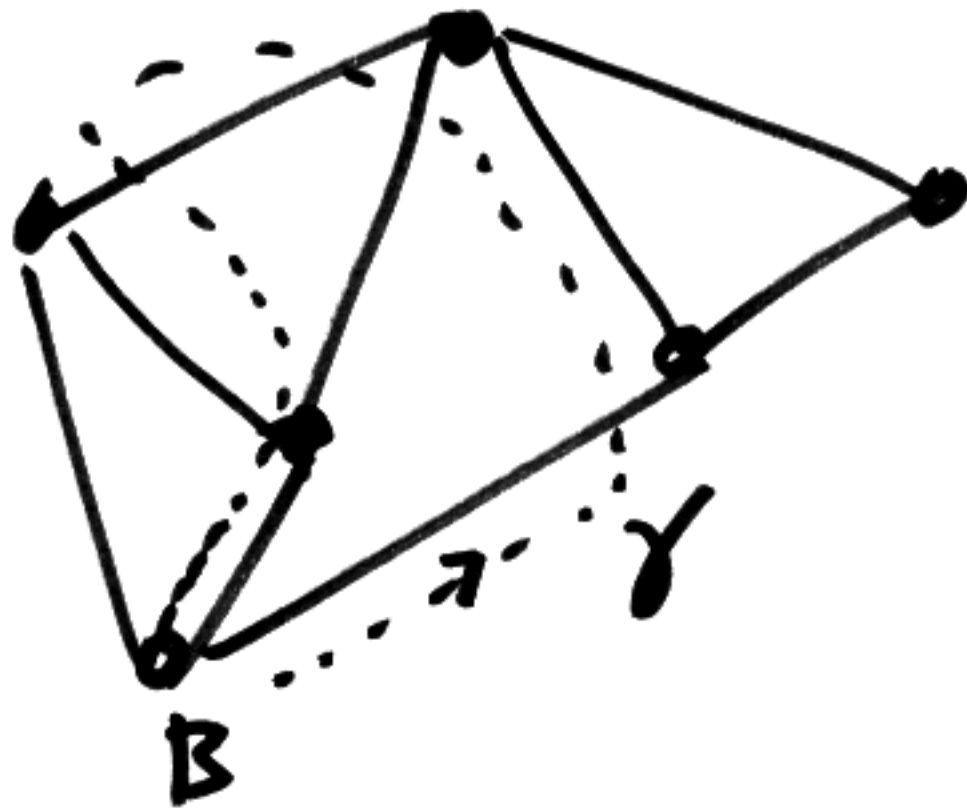
w_0



$$H = \langle U \rangle$$

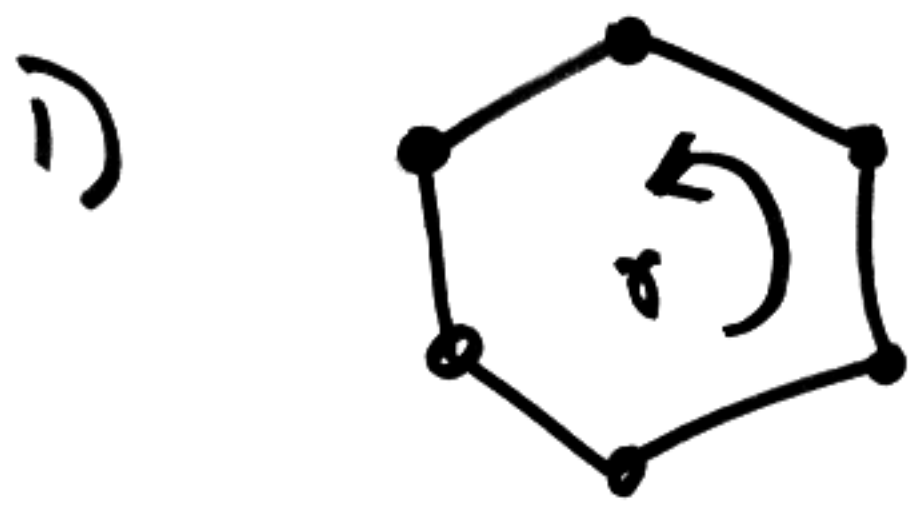
Subgroup of permutations obtained by playing this puzzle (with blank

returning to the original position) (8)



$\gamma \mapsto \sigma$ permutation of the numbering of vertices

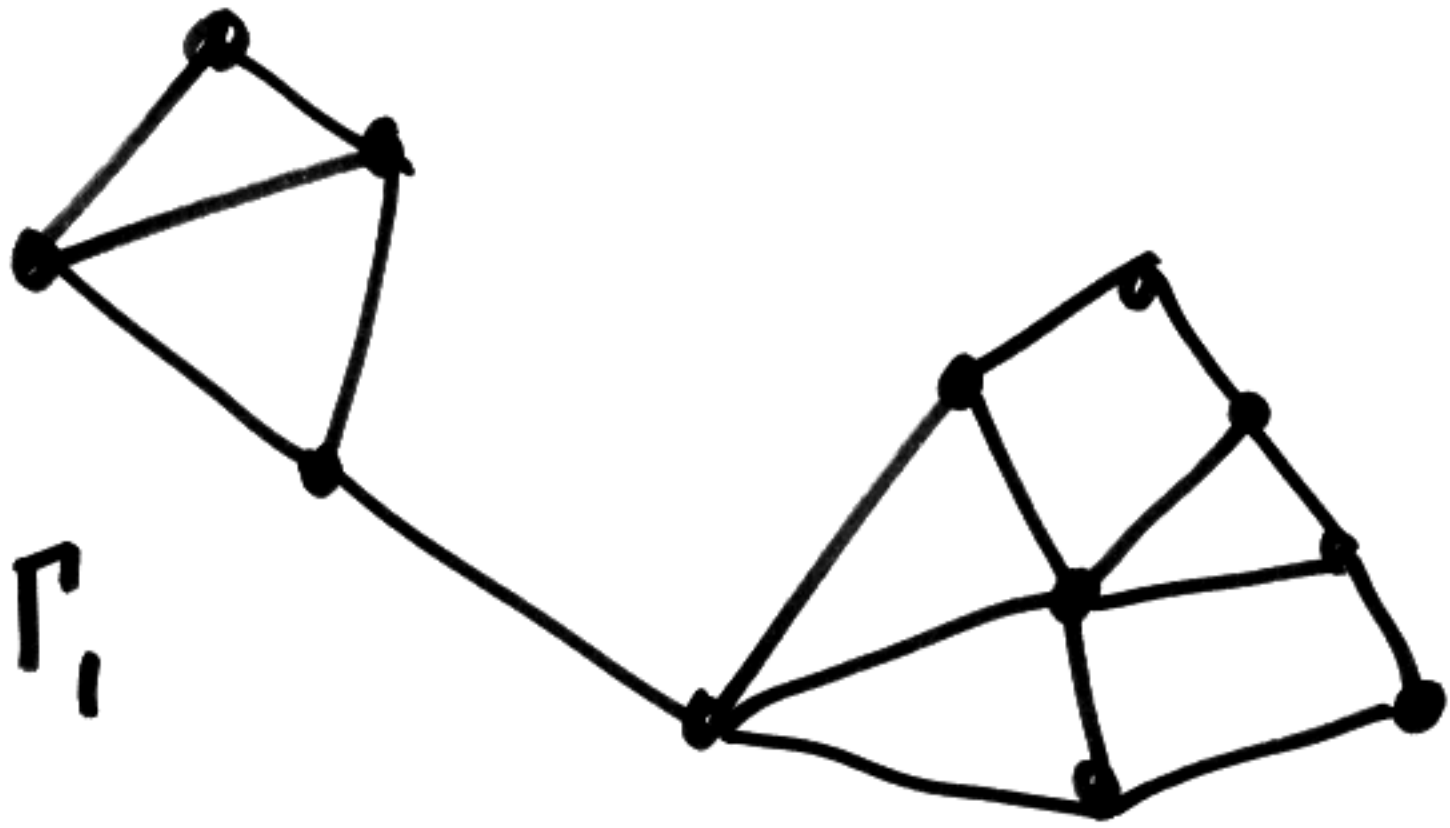
H is one of the following.



$\sigma \mapsto u$

$H = \langle u \rangle$ cyclic group

2)



we really have two such puzzles together

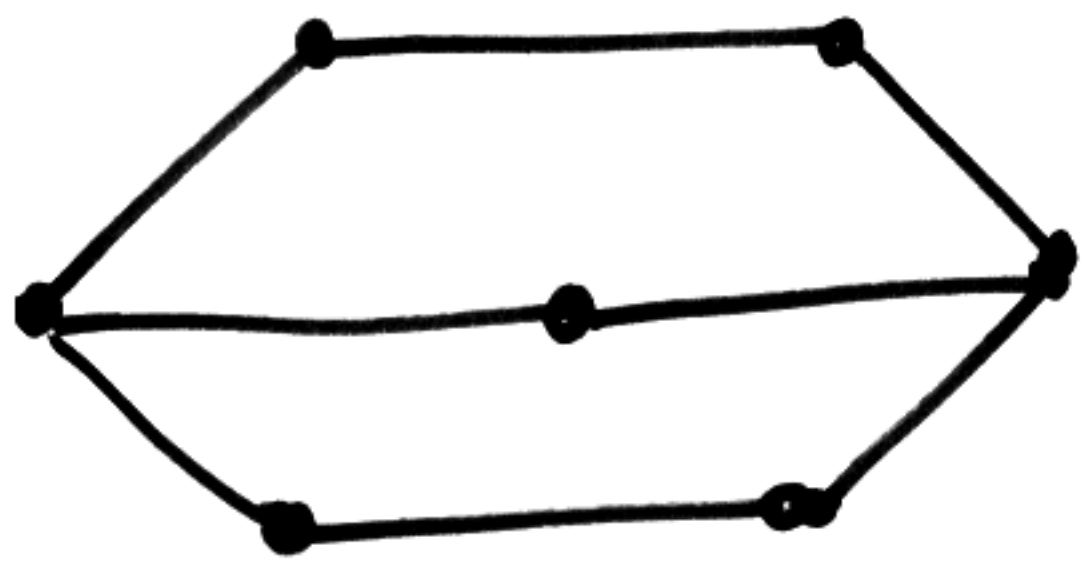
$$H = H_1 * H_2$$

A graph like this is called separable. It is one where removing one vertex leaves a disconnected graph.

3)

$$H = \begin{cases} S_m \\ A_m \end{cases}$$

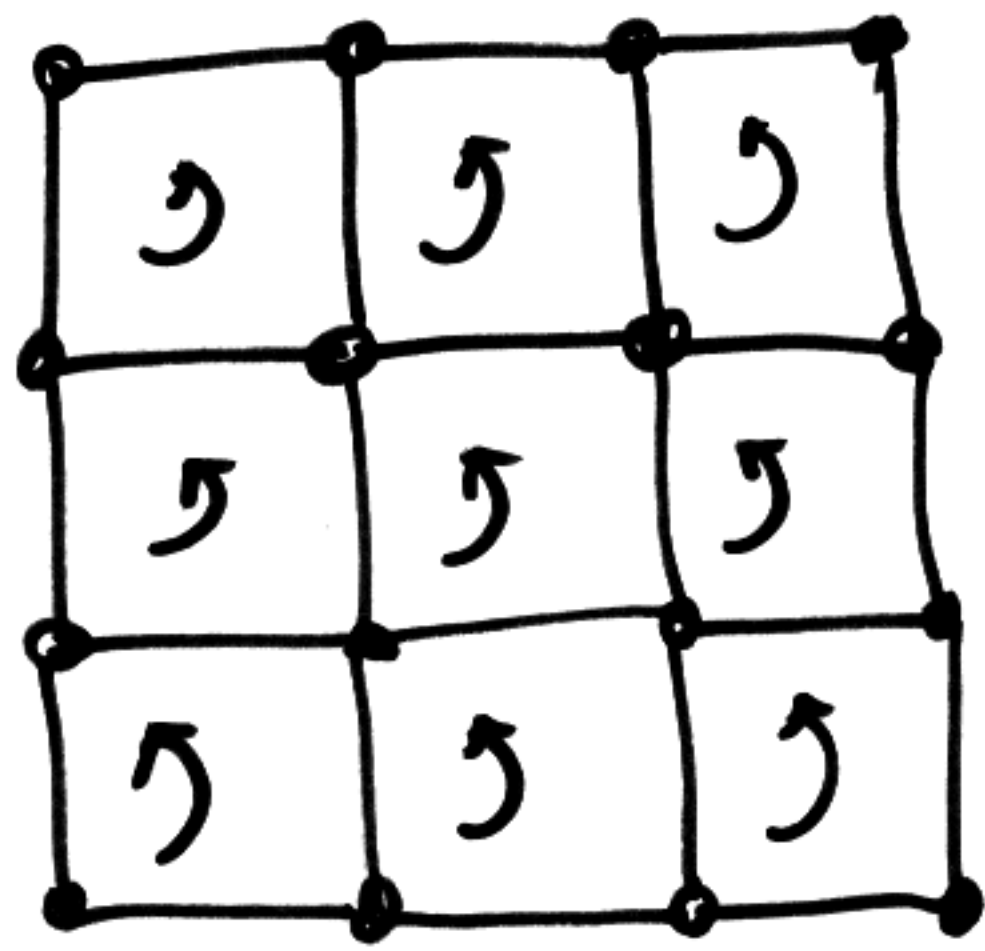
OR



$$|H| = 120$$

(group is related to the icosahedron)

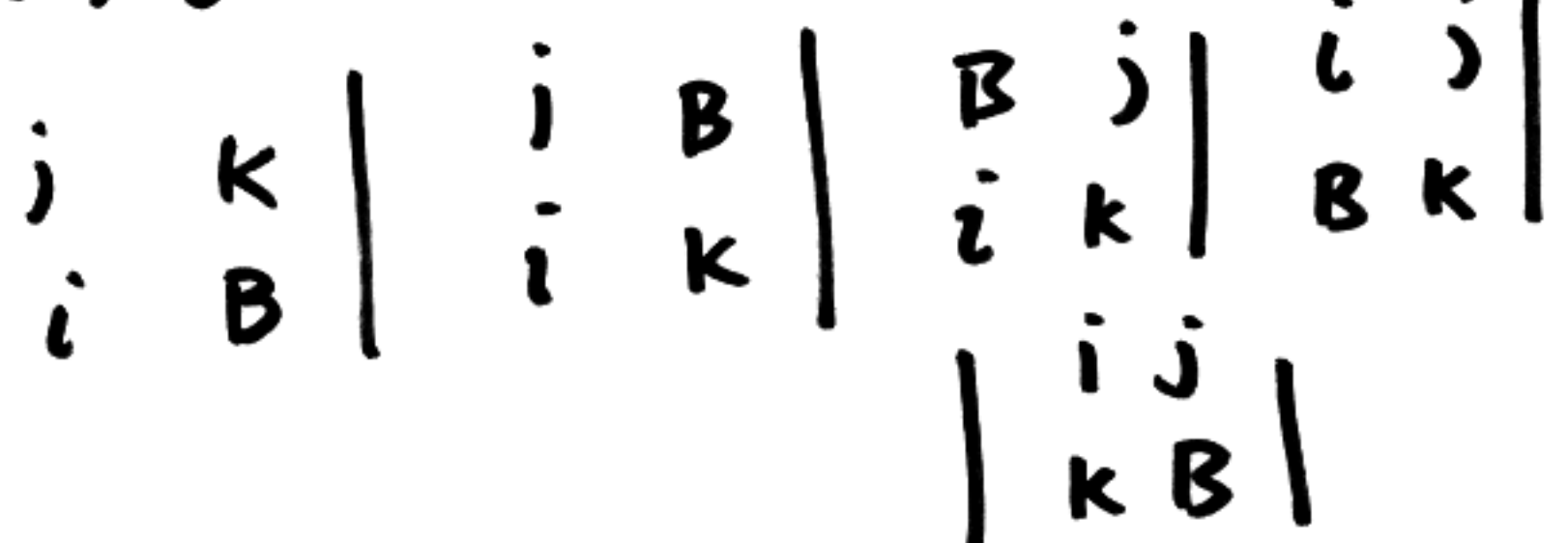
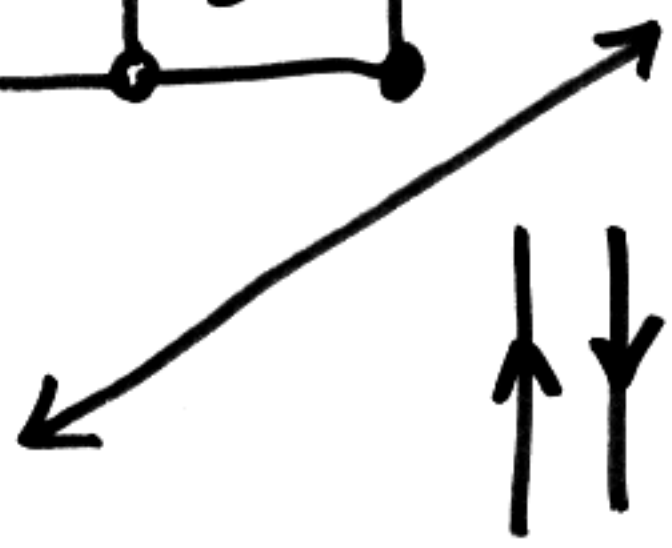
How do we tell apart $S_n \curvearrowright A_n$?



15-puzzle

$\sigma = (ijk)$

$H = A_{15}$

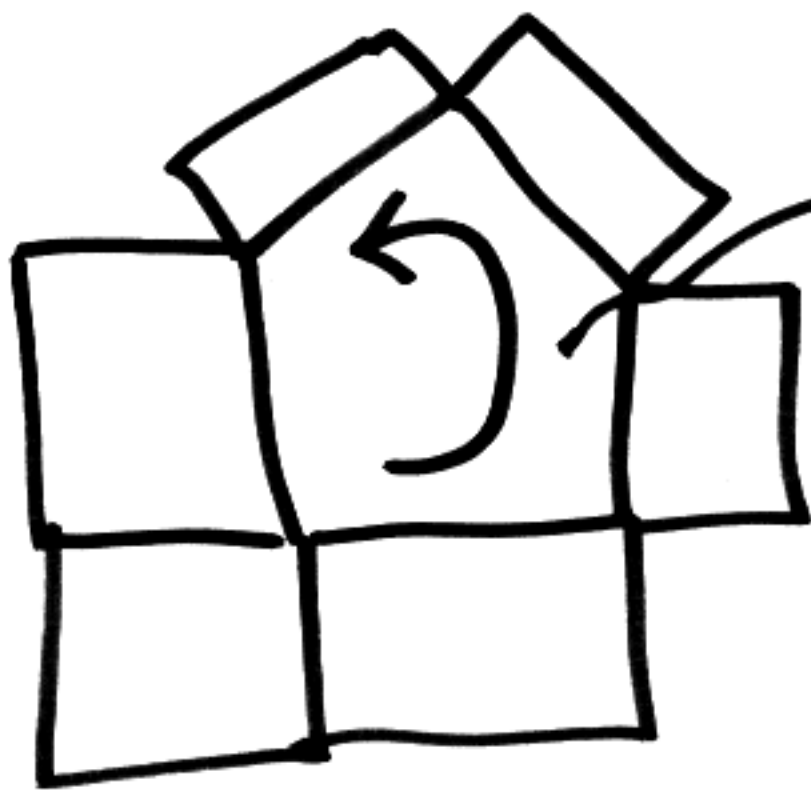




↔ 3-cycle
even

Since H is either S_{15}
or A_{15} we must have

$$S = A_{15}$$



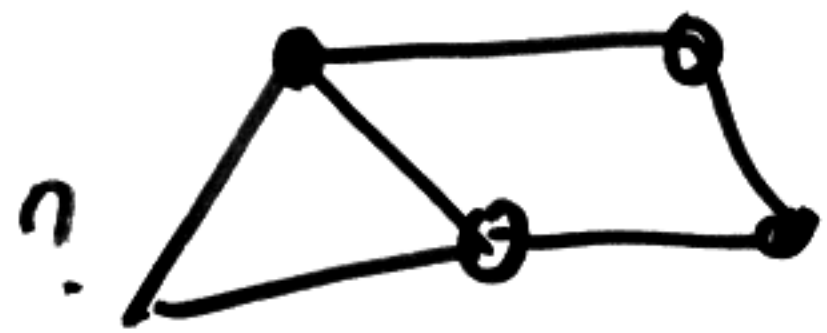
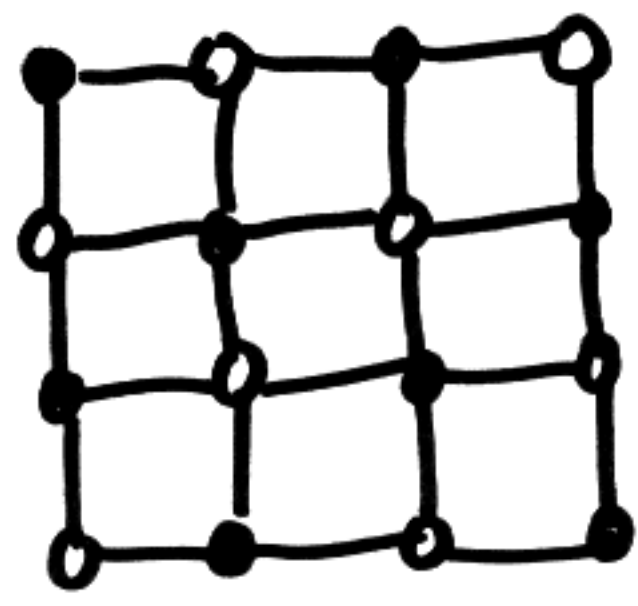
4-cycle
odd

H contains
at least
one odd
permutation.

Wilson's theorem implies
it must be all of S_n .

We get A_n if all loops in Γ have an even number of sides.

Such a graph is called bipartite



Except for the exceptions S_n and A_n you have bipartite graphs for bipartite.

$|H| = \#$ possible states in the puzzle.

Wilson's theorem says # positions is as large as can be.

1	2	3	4
5	6	7	8
9	10	11	12
13	15	14	.

unsolvable.

RATE
YOUR
MIND
PLA



RATE
YOUR
MIND
PAL

(A_2L) not possible

(15)

R₁ A₁ T E
Y O U R₂
M I N D
P L A₂

(A_1A_2) (A_2L)

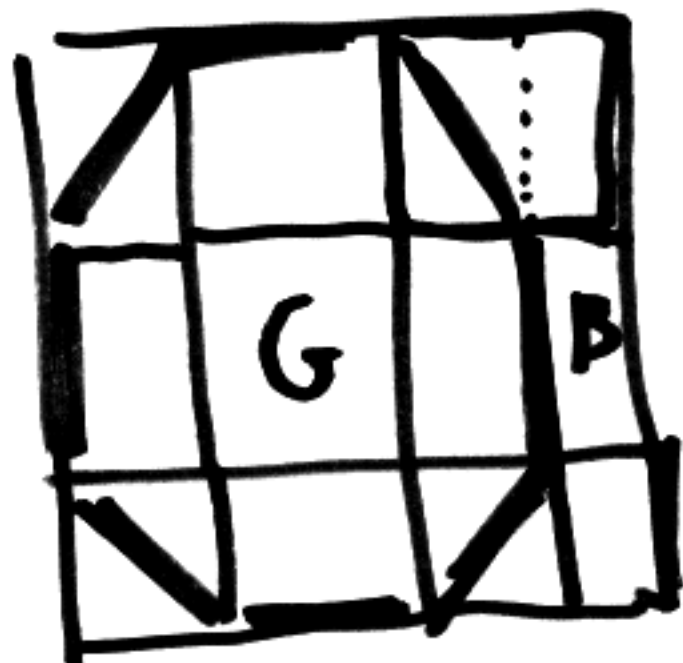
possible

(R_1R_2) (A_2L)

"

same idea

Get your goat



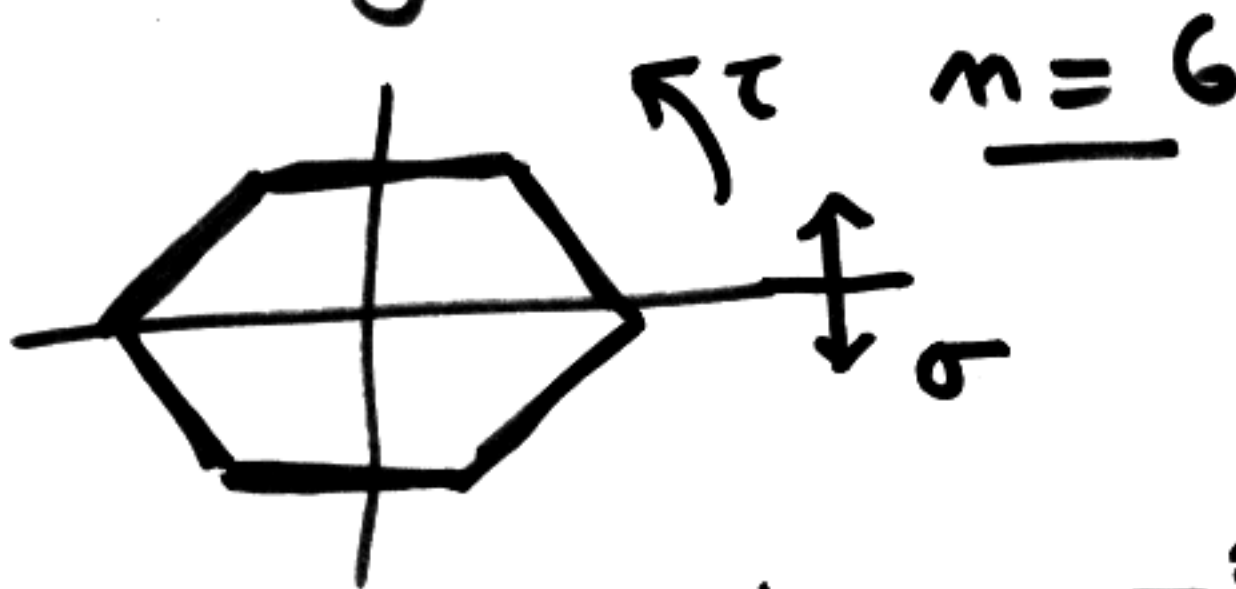
Groups

(Geometric flavor)

Symmetries

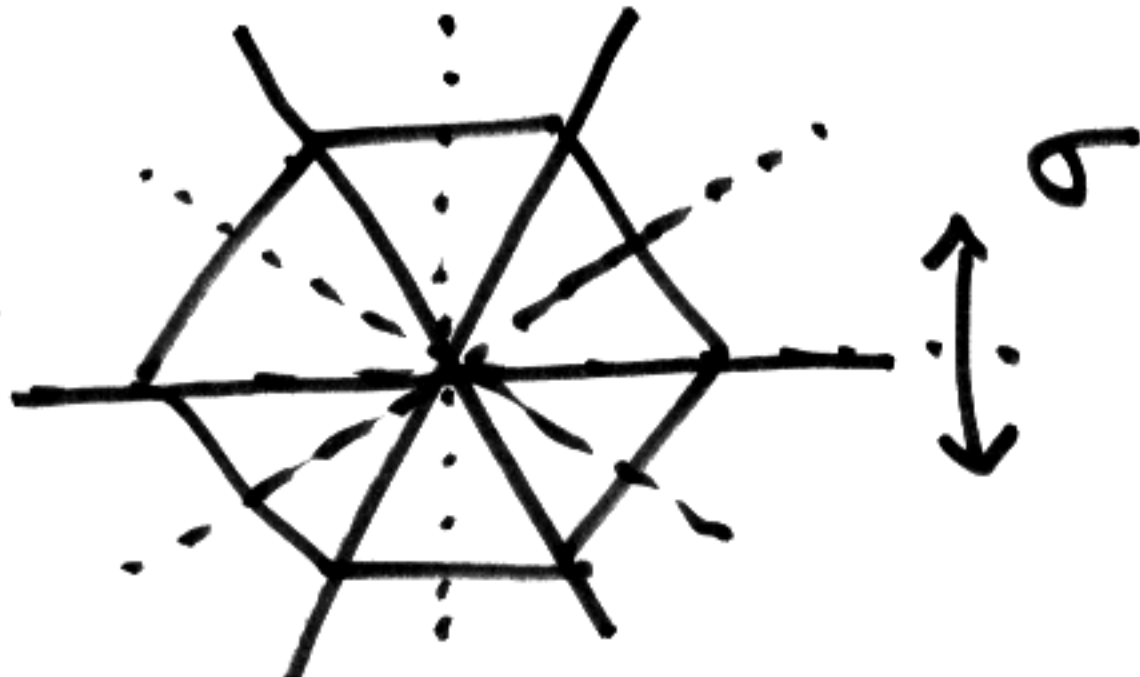
Dihedral group

gp of symmetries of a regular n -gon



Rotations: $\{1, \tau, \tau^2, \tau^3, \tau^4, \tau^5\}$

subgroup



σ is a reflection

$$\sigma^2 = 1$$

D_6 dihedral group
order 12

6 rotations &
6 reflections

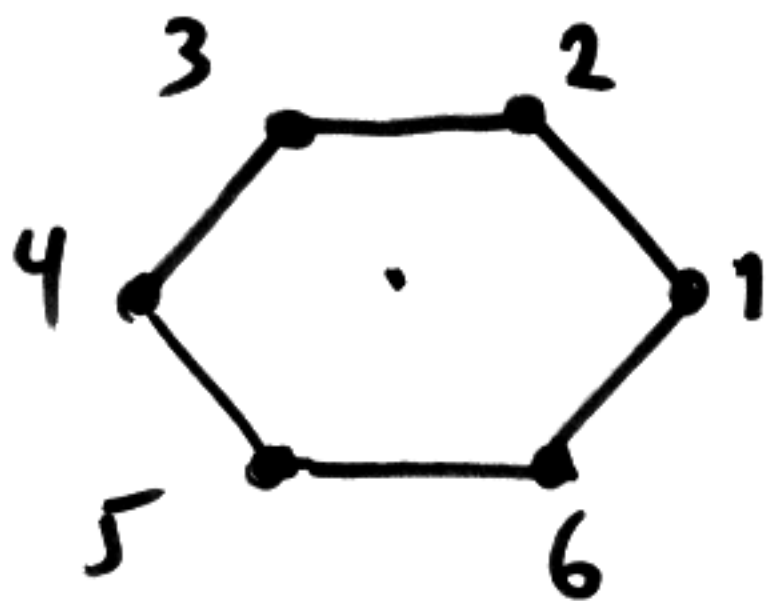
$$= \langle \sigma, \tau \rangle$$

$\sigma, \sigma^2, \sigma^3, \sigma^4, \sigma^5, \tau, \sigma\tau, \sigma^2\tau, \sigma^3\tau, \sigma^4\tau, \sigma^5\tau$
 ↑ ↑ ↑ ↑ ↑
 reflections

Dihedral group



①



$$n = 6$$

Regular n -gon

D_n = symmetries of the n -gon.



τ = Rotation $2\pi/n$

$1, \tau, \tau^2, \dots, \tau^{n-1}$

Reflections about axes of symmetry



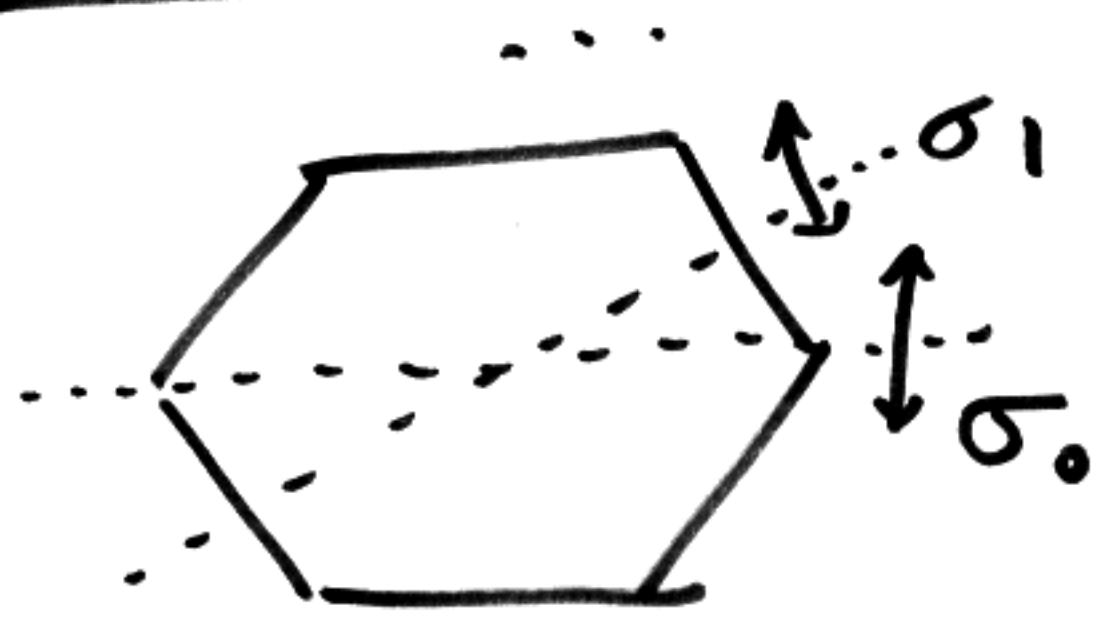
n -axes of symmetry

Claim

1) There are $2m$ symmetries

Rotations: $1, \tau, \tau^2, \dots, \tau^{n-1}$

Reflections: $\sigma_0, \sigma_1, \dots, \sigma_{n-1}$



2) D_m is generated by τ , and σ ($\sigma = \sigma_0$)

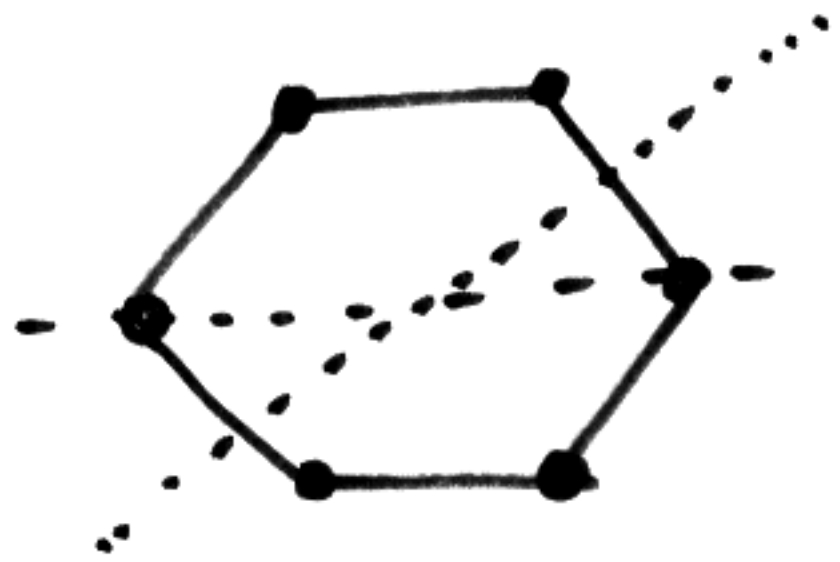
$$D_m = \langle \tau, \sigma \rangle$$

$$\sigma^2 = id$$

$$g \in D_m, \quad g = \dots \sigma \tau^{k_1} \sigma \tau^{k_2} \dots$$

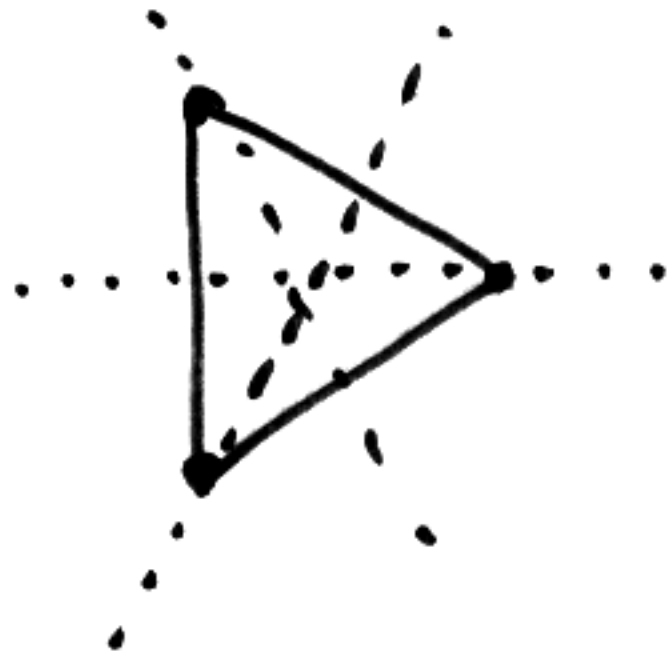
n even

n=6

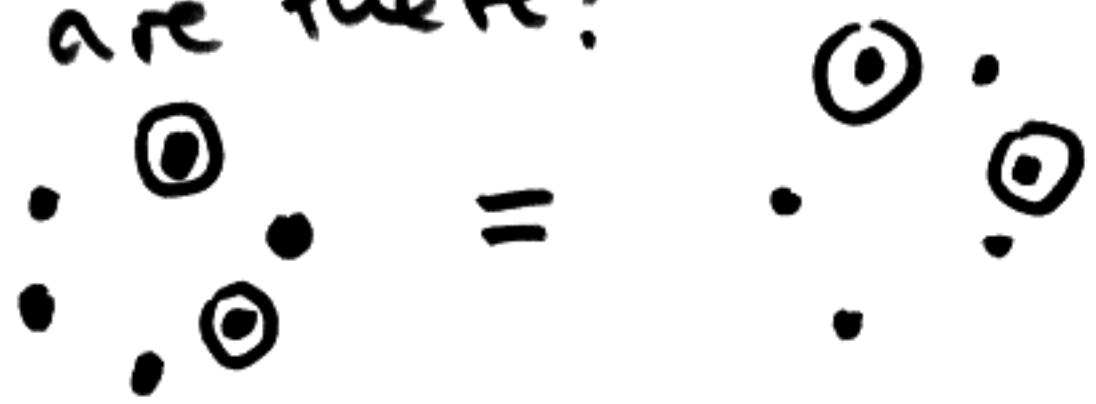


n odd

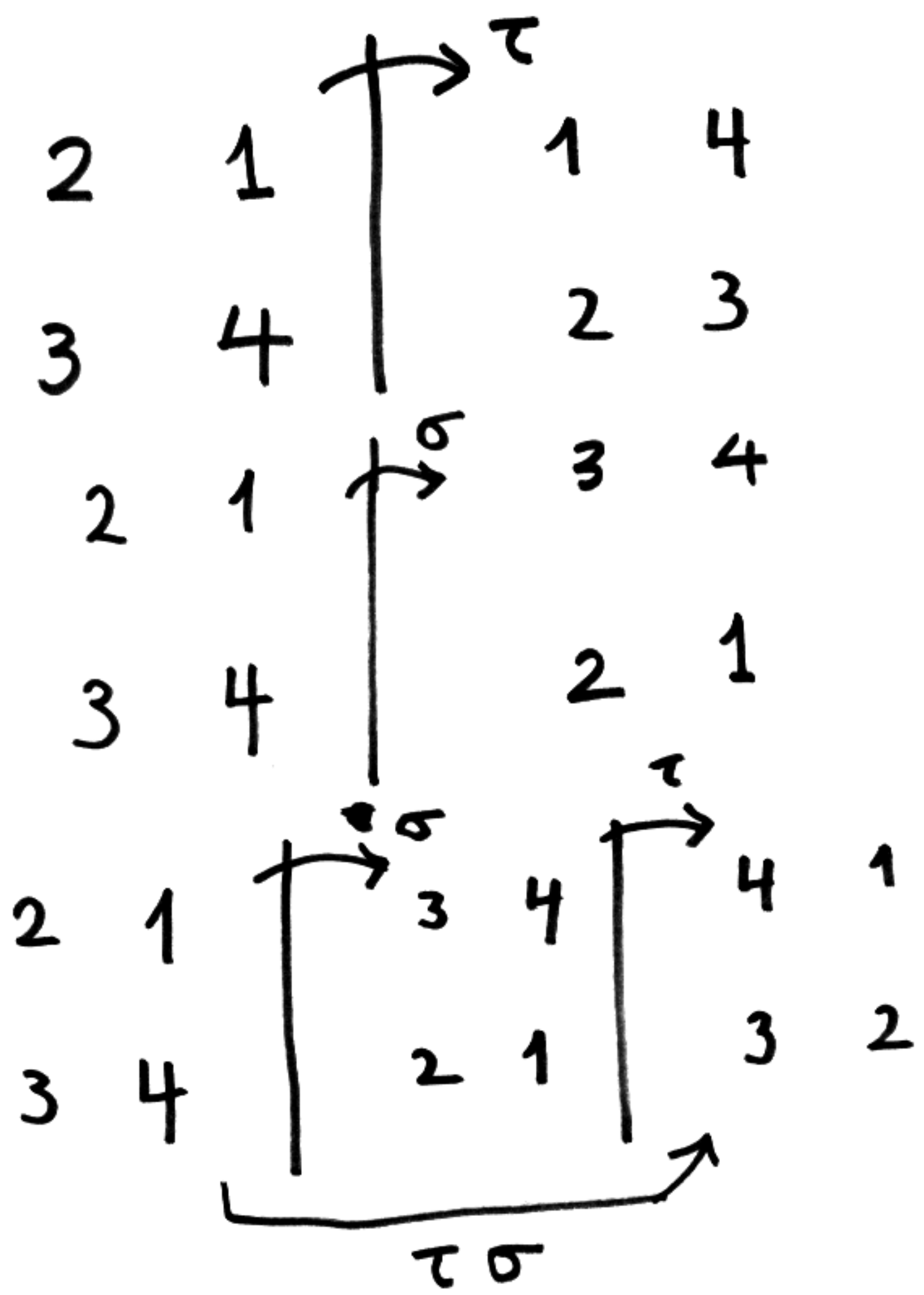
n=3



Q: Necklace of 2 colors
How many different necklaces
are there? n beads

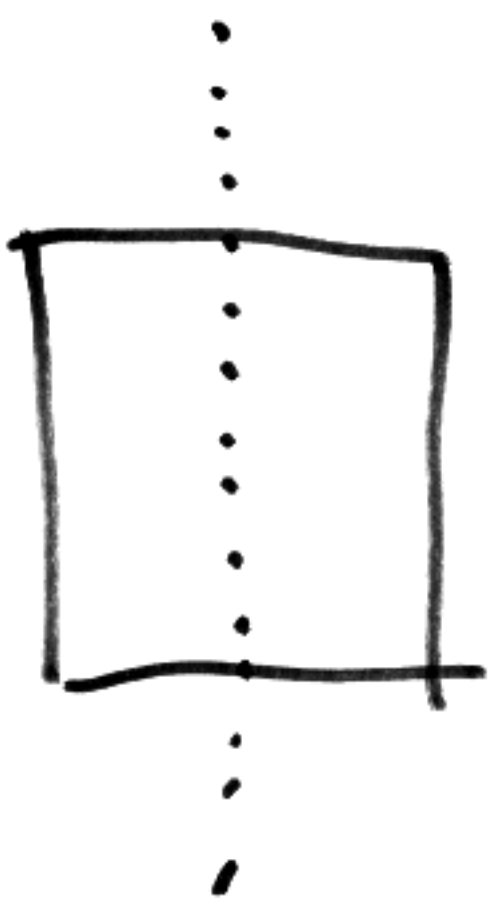


(4)



$\tau \sigma = \sigma_1$
 reflection about





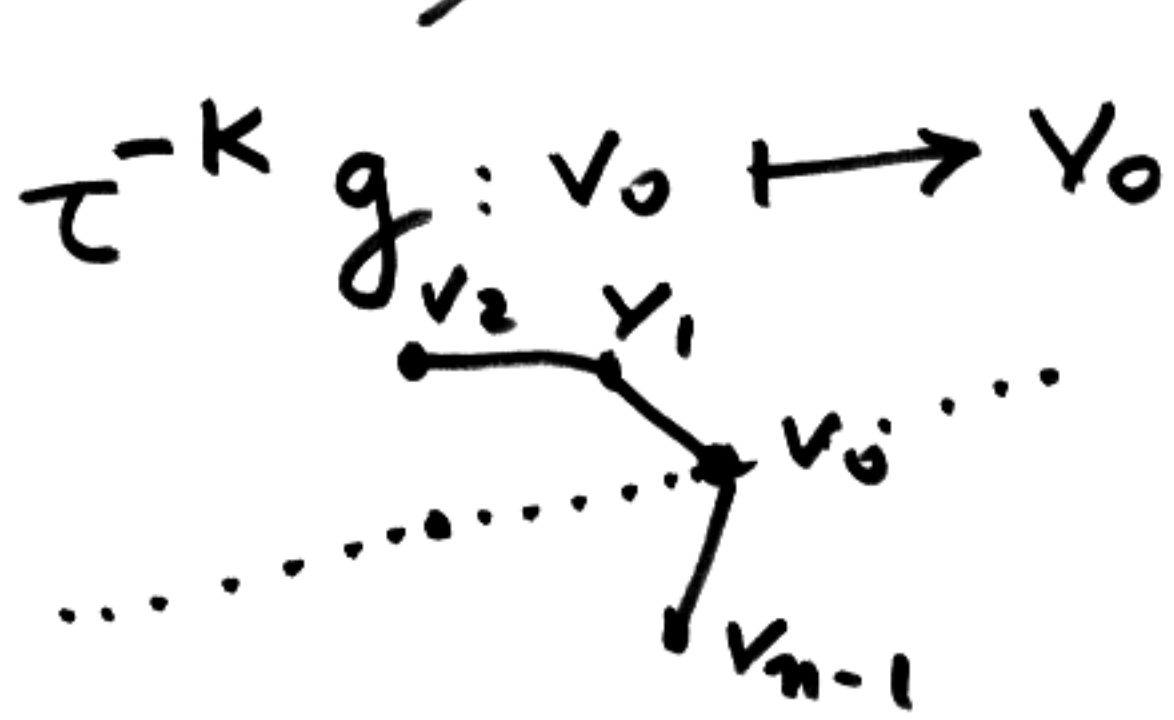
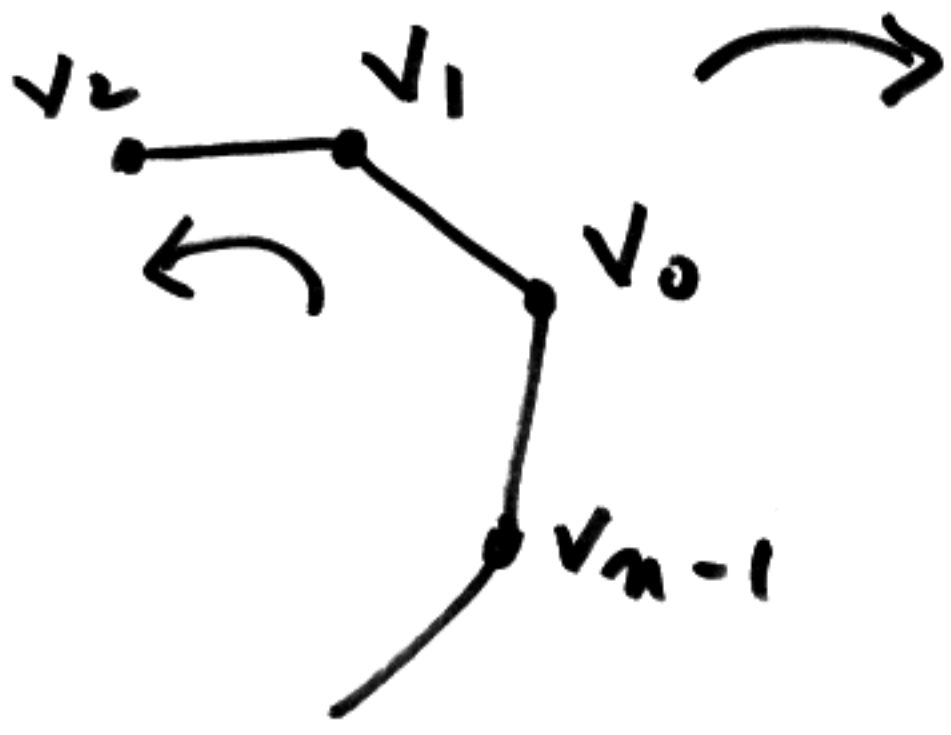
$$\sigma_2 = \tau \sigma_1$$

$$= \tau \tau \sigma$$

$$= \tau^2 \sigma$$

$$\sigma_k = \tau^k \sigma$$

$g \in D_n$



fixes axis as well

Hence

$$\tau^{-k} g = \begin{cases} \text{identity} \\ \text{reflection} \\ \text{about this} \\ \text{axis} = \sigma \end{cases}$$

(6)

$$\tau^{-k} g = \sigma \text{ or } 1$$

$g = \tau^k \sigma$
$g = \tau^k$

Reflection

Rotation

$$\{ 1, \tau, \tau^2, \dots, \tau^{n-1} \}$$

subgroup of D_n of order n

$$g = \tau^k \sigma^i$$

$$0 \leq k \leq n-1$$

$$i = 0, 1$$

uniquely.

$$\tau^k \sigma, \tau$$

$$\tau \sigma \cdot \tau = \tau^k \sigma^j$$

Some k, j .

$$\sigma \tau \neq \tau \sigma$$

group is not commutative (abelian)

$$\sigma \tau = \tau^{-1} \sigma$$

Basic relation between our generators.

$$\begin{aligned}
 (\tau\sigma)\tau &= \tau(\sigma\tau) \\
 &= \tau\tau^{-1}\sigma \\
 &= \sigma
 \end{aligned}$$

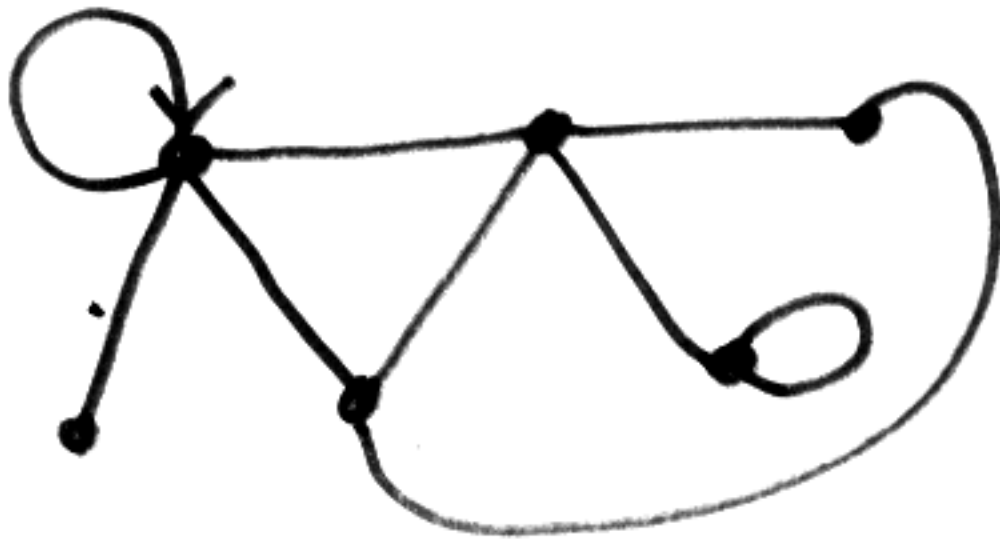
~~Handwritten scribbles~~

$$\begin{aligned}
 \tau\sigma\tau^2 &= \tau\sigma\tau\tau \\
 &= \tau\tau^{-1}\sigma\tau \\
 &= \sigma\tau \\
 &= \sigma^{-1}\tau
 \end{aligned}$$

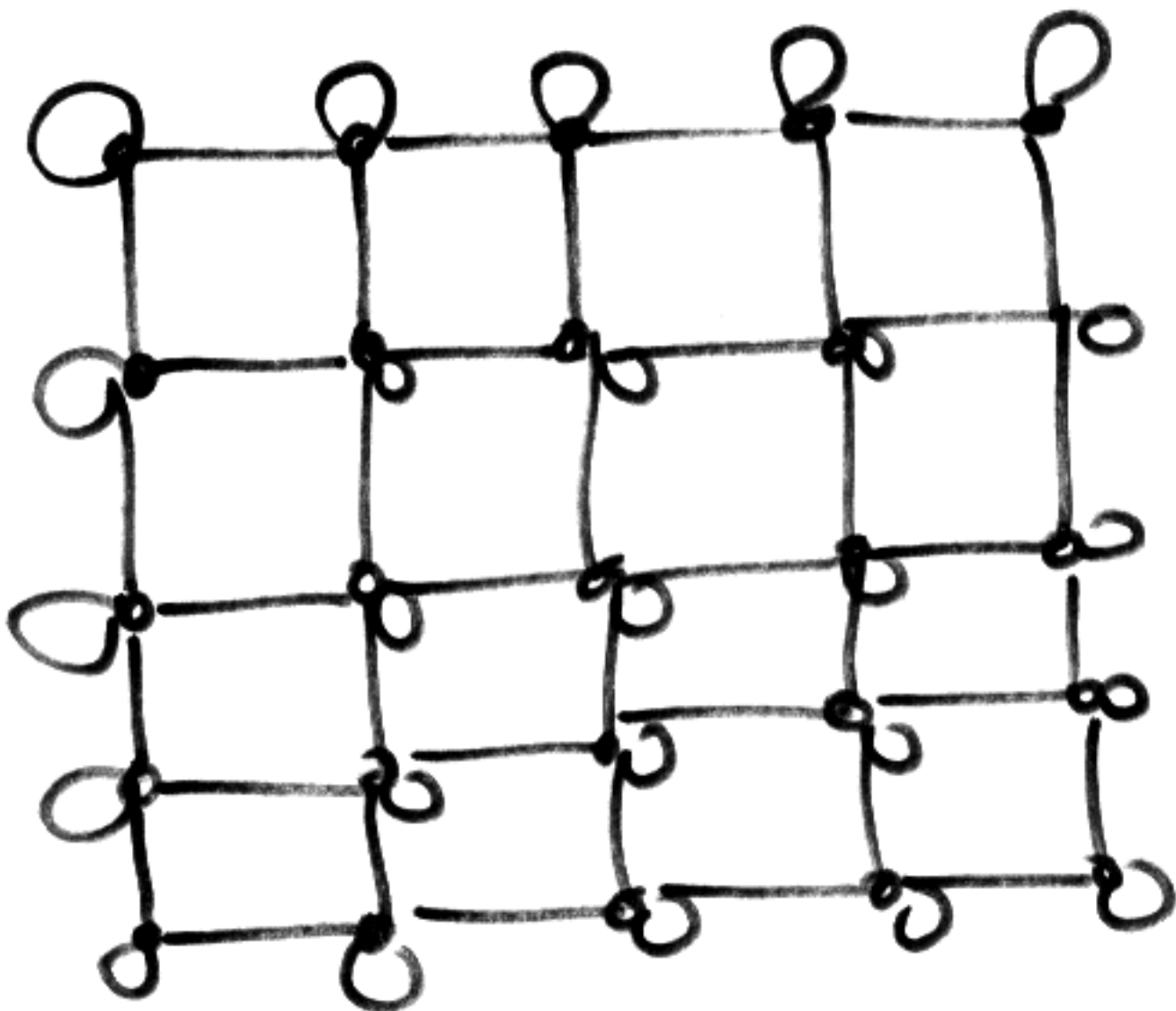
$$\begin{aligned}
 \tau^k\sigma^j \cdot \tau^{k'}\sigma^{j'} &= \tau^{k''}\sigma^{j''} \\
 (k, j) \quad (k', j') &\rightsquigarrow (k'', j'')
 \end{aligned}$$

Lights Out

Play on any graph



Commercial version



Label the vertices

V_1, V_2, \dots, V_n

State of puzzle

$S = (s_1, s_2, \dots, s_n)$

$s_i = 0, 1.$

Move

Click on V_j

changes some V_i 's.

$V_i \mapsto V_i + 1$

mod 2

$\begin{cases} 0 \mapsto 1 \\ 1 \mapsto 0 \end{cases}$

The whole puzzle is (11)
encoded in a matrix

$$A = \begin{pmatrix} & \vdots & & \\ & 1 & & \\ & \vdots & & \\ & c_j & & \end{pmatrix} \leftarrow i$$

$\downarrow j$

1 in spot $a_{i,j}$
if clicking on v_j affects v_i

$$s_I \xrightarrow{\text{click on } v_j} s_I + c_j \pmod{2}$$

click on v_j
Solving puzzle

$$s_I + c_{j_1} + c_{j_2} + \dots + c_{j_N} \equiv 0 \pmod{2}$$

Encode sequence
of moves in a vector

$$t = (t_1, \dots, t_n) \quad t_i = 0, 1$$

$$S_I + At = 0$$

click v_j gives c_j

$$A \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix} = c_j$$

$$t = \begin{pmatrix} t_1 \\ \vdots \\ t_n \end{pmatrix} = t_1 \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} + t_2 \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix} + \dots + t_n \begin{pmatrix} 0 \\ \vdots \\ 1 \end{pmatrix}$$

$$At = t_1 c_1 + t_2 c_2 + \dots + t_n c_n$$

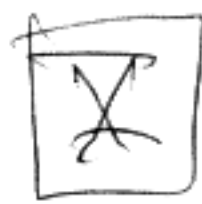
(13)

Solving puzzle is to
find t and this is
a linear algebra problem.

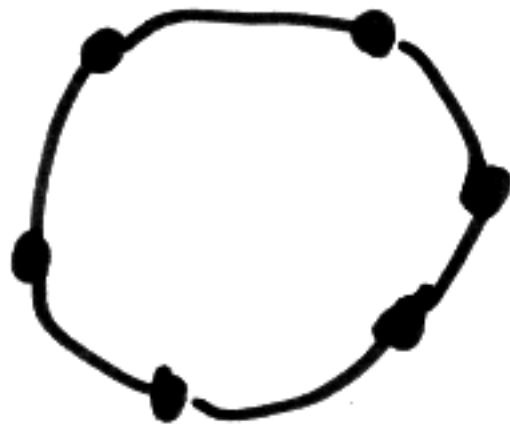
$$S_I + At = 0$$

Q: When can we always
solve puzzle?

Lights Out



graph



Hexa

clicking on a button
changes cyclically
colors of those connected
to it.

$m := \# \text{ colors}$

($m = 3$ in the example)

W R B
0 1 2



Status of puzzle

(2)

$$S: \Gamma \rightarrow \mathbb{Z}/m\mathbb{Z}$$

↑
graph

↑
integers
modulo m

Encoding vertex i has
color $S(i)$.

Total number of possible
states = m^n

$$n = \# \Gamma$$

(Example $n = 6$)

3^6 states.

Clicking on button w

3

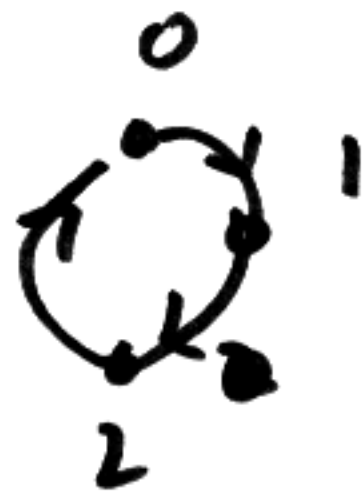
$$\boxed{S \mapsto S + C_w}$$

$$C_w(u) = \begin{cases} 1 & w \leftrightarrow u \\ 0 & \text{otherwise.} \end{cases}$$

$$S(u) \mapsto \begin{cases} S(u) + 1 & w \leftrightarrow u \\ S(u) & \text{otherwise} \end{cases}$$

Adding 1 mod m to
the color
permutates them
cyclically

$$\begin{array}{l} \xi: \quad 0 \quad 1 \quad 2 \\ \xi+1: \quad 1 \quad 2 \quad 0 \end{array}$$



Solve puzzle

④

$$S + C_{W_1} + C_{W_2} + \dots + C_{W_N} \equiv 0 \pmod{m}$$

operation of clicking a button takes place in a commutative group (adding mod m).

- Order does not matter
- clicking any given button m times is like not clicking it at all.

$$\underbrace{C_w + C_w + \dots + C_w}_m \equiv 0 \pmod{m}$$

Label the vertices

w_1, w_2, \dots, w_m

a solution is encoded
in $t = (t_1, t_2, \dots, t_m)$

$t_i = 0, 1, 2, \dots, m-1$

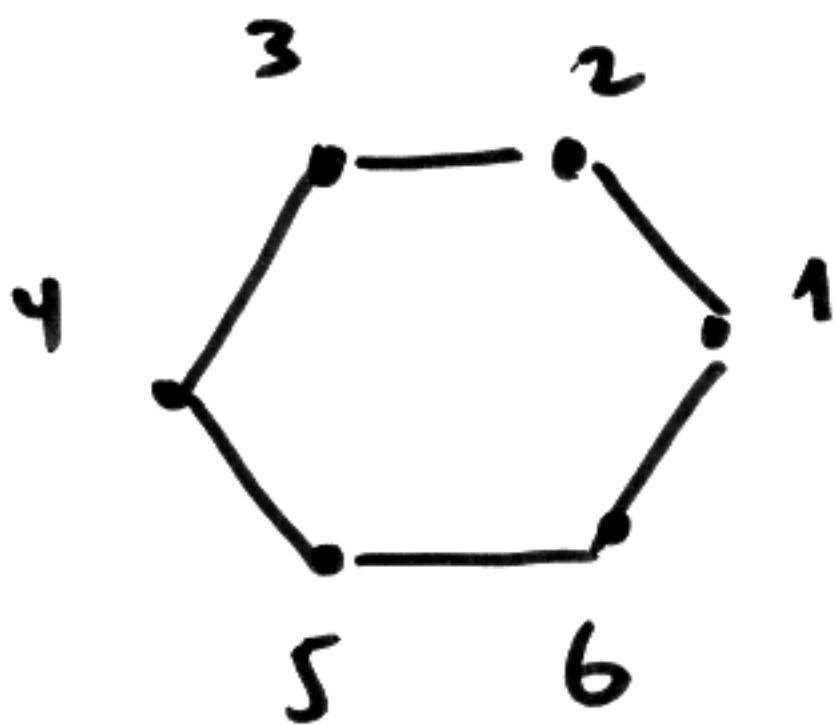
$t \leftrightarrow$ clicking button 1
 t_1 times, button 2
 t_2 times, \dots

$$S + At = 0$$

where A is a matrix
having columns $c_{w_1}, c_{w_2}, \dots, c_{w_m}$

For Hex a

(6)



$$A = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

Clicking on 3rd button
adds column
state.
column 3 of A = A $\begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$

$$A \begin{pmatrix} t_1 \\ t_2 \\ \vdots \\ t_n \end{pmatrix} = t_1 C_1 + t_2 C_2 + \dots + t_n C_n \quad (7)$$

$C_i =$ i^{th} column of A .

$$S + At = 0$$

Solving puzzle means finding t ; i.e. linear algebra problem mod m . We may solve this equation if A had an inverse

$$A^{-1} \cdot A \equiv I_n \pmod{m}$$

$$S + At = 0$$

$$s + At = 0$$

(8)

multiply by A^{-1} on the left

$$A^{-1}s + \underbrace{A^{-1}A}_I t = 0$$

$$A^{-1}s + t = 0$$

$$t = -A^{-1}s$$

Q: How do we know when A has an inverse?

$A =$ adjacency matrix of graph Γ

$$a_{ij} = \begin{cases} 1 & i \leftrightarrow j \\ 0 & \text{others.} \end{cases}$$

$$d := \det A$$

⑨

A has an inverse mod m
iff $\gcd(d, m) = 1$

suppose $m = 6$

$$a: \quad 0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5$$

$$a^{-1}: \quad \bullet \quad 1 \quad \bullet \quad \bullet \quad \bullet \quad 5$$

$$a \cdot a^{-1} \equiv 1 \pmod{6}$$

$$2 \cdot 3 \equiv 0 \pmod{6}$$

"

$$2^{-1} \cdot 2 \cdot 3 \equiv 0 \pmod{6}$$

$$3 \equiv 0 \pmod{6} "$$

(10)

$$s = \begin{pmatrix} 2 \\ 1 \\ 0 \\ 2 \\ 1 \\ 0 \end{pmatrix}$$

$$t \equiv -A^{-1}s \pmod{3}$$

$$-A^{-1} = \begin{pmatrix} 0 & 1 & 0 & 2 & 0 & 1 \\ 1 & 0 & 1 & 0 & 2 & 0 \\ 0 & 1 & 0 & 1 & 0 & 2 \\ 2 & 0 & 1 & 0 & 1 & 0 \\ 0 & 2 & 0 & 1 & 0 & 1 \\ 1 & 0 & 2 & 0 & 1 & 0 \end{pmatrix}$$

$$t = (2, 1, 0, 2, 1, 0)$$

↑
solution
(unique, optimal).

puzzle

(11)

$$s \mapsto s + At$$

solving puzzle

$$s' \mapsto s' - A^{-1}t'$$

no s

$$0 \mapsto -A^{-1}s = t$$

↑
solution
to original

If d is relative prime to m
then A has inverse mod m
and we can solve for t

$$t = -A^{-1}s$$

- unique.

Any s can be solved

(12)

$$t \equiv -A^{-1}s \pmod{m}$$

If A is not invertible
 \pmod{m} .

$$s + At = 0$$

$$\boxed{At = -s}$$

then not every s has a t
if you can there are more
than one solutions.

For puzzle: it may not be
solvable and it will be
solvable when possible in
more than one way.

$$A^*A = -2$$

$$s + At \equiv 0 \pmod{2}$$

$$\begin{aligned} A^*(s + At) &= A^*s + A^*At \\ &= A^*s + 2t \end{aligned}$$

~~At~~

$$t = \frac{1}{2} A^*s$$



puzzle

solving puzzle

$$0 \mapsto A^*s =$$

Can find t if A^*s has

only

even entries

label :
colors

0 1 2 3
W B R B

Polya's theory of

XI

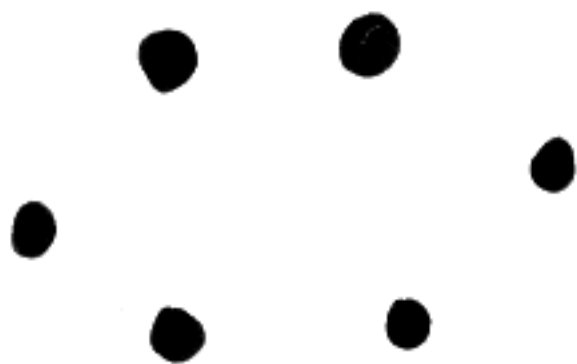
①

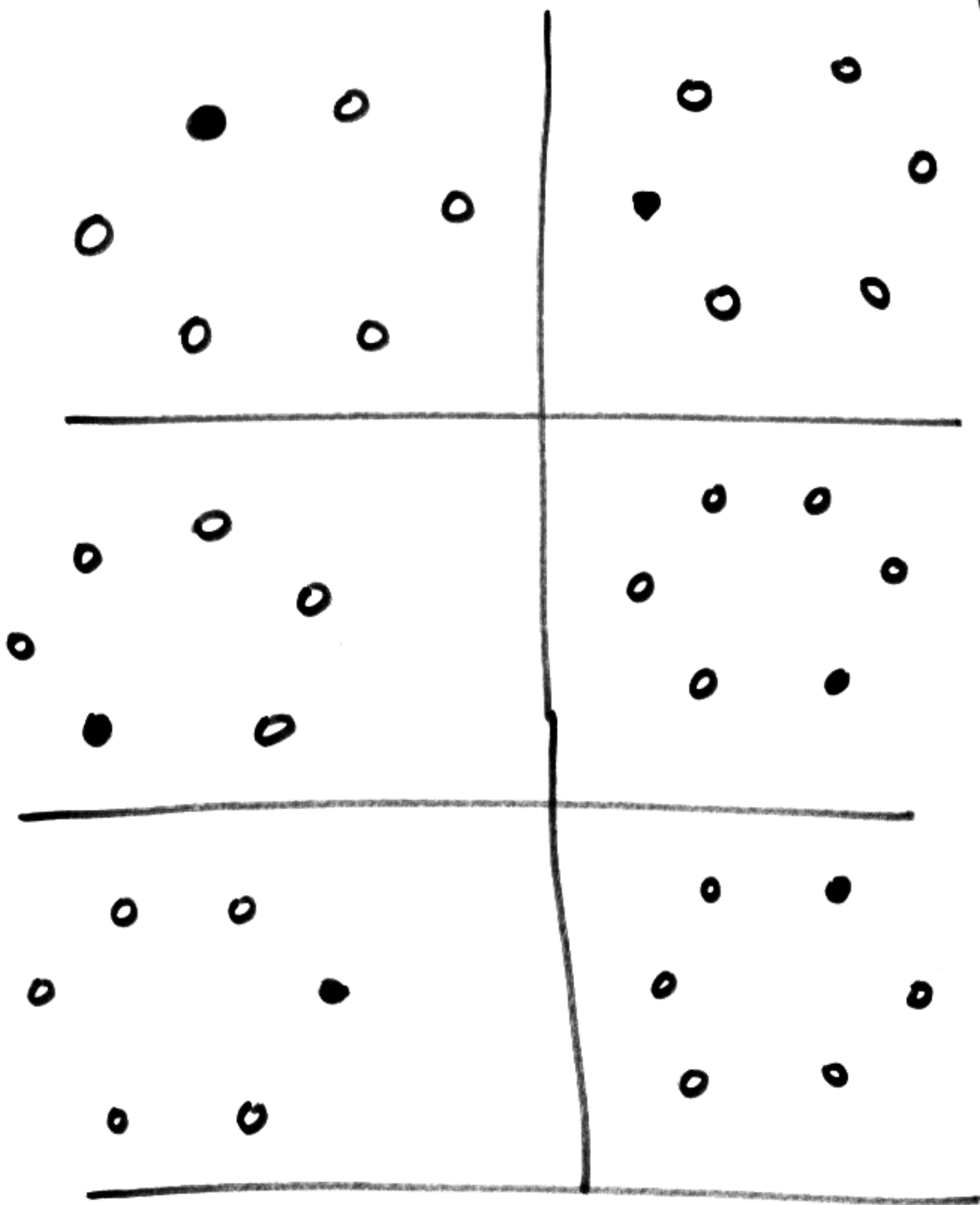
Counting

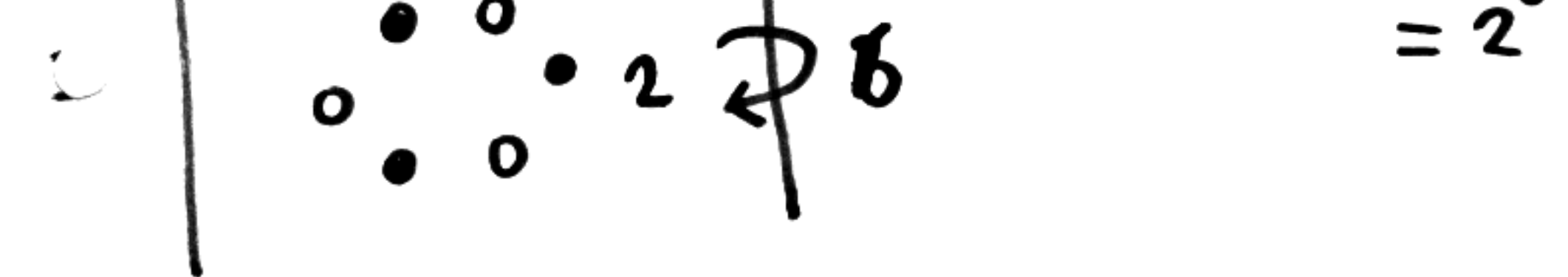
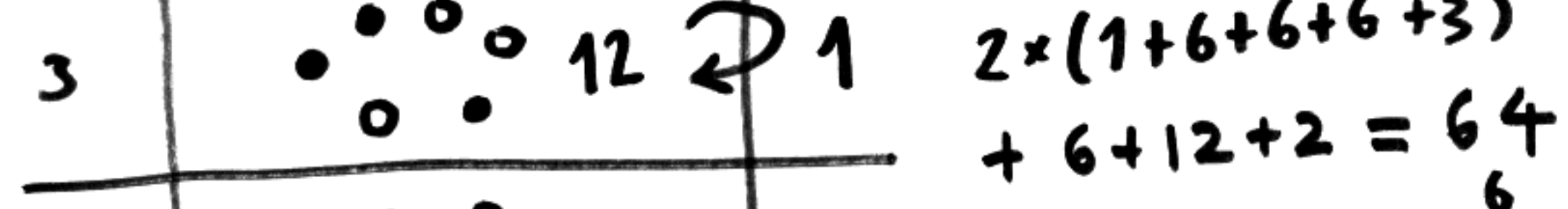
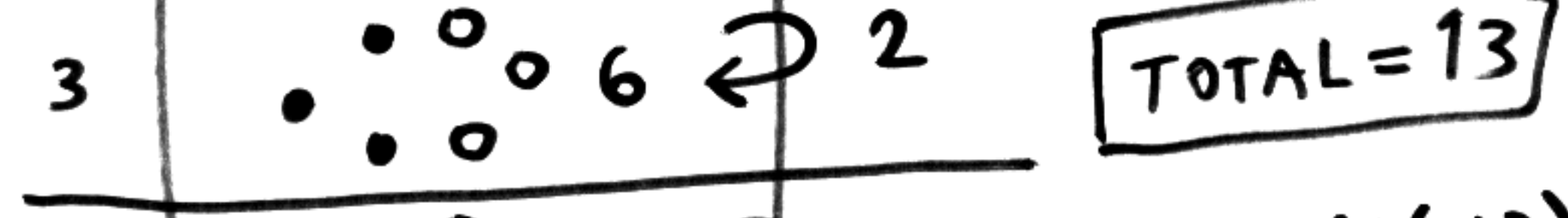
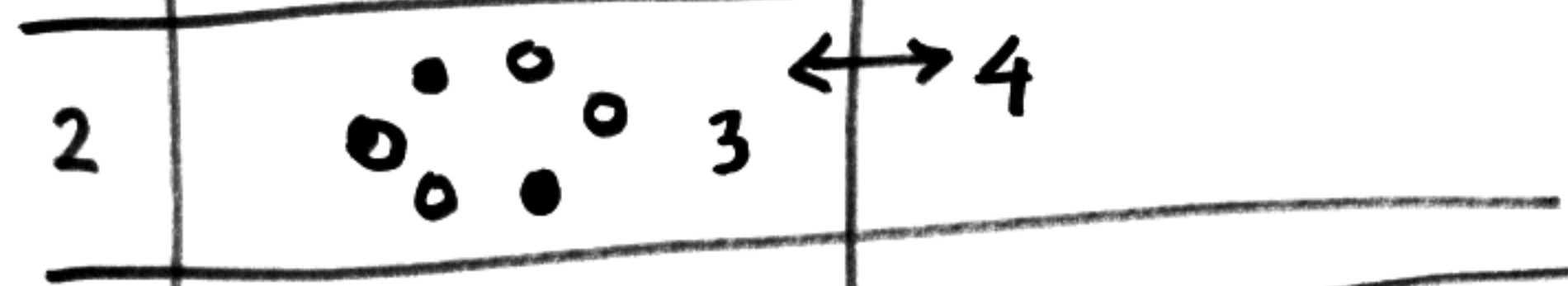
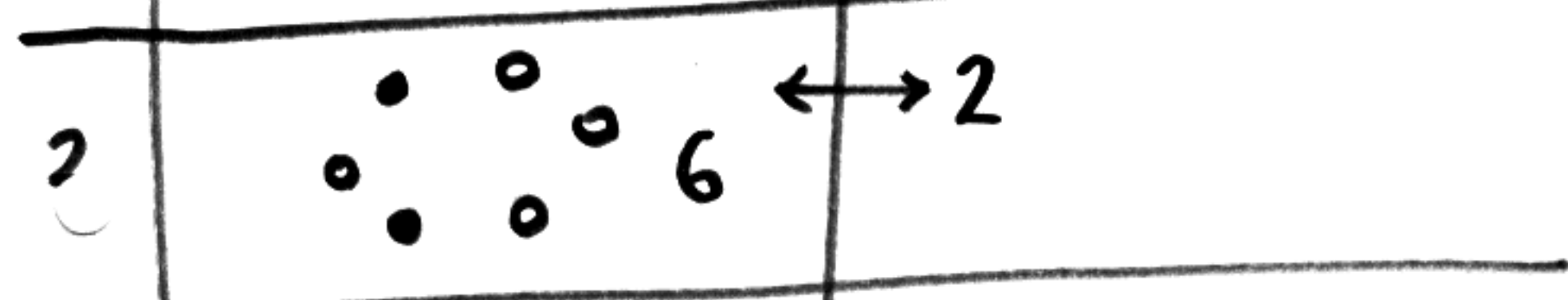
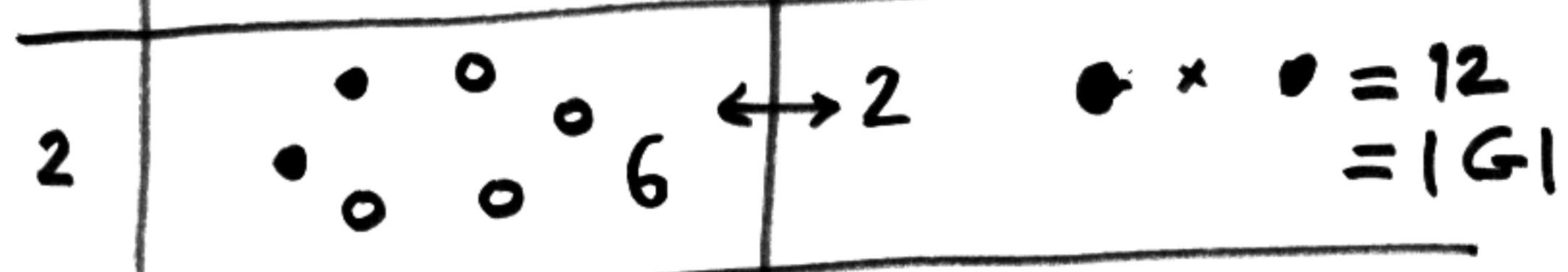
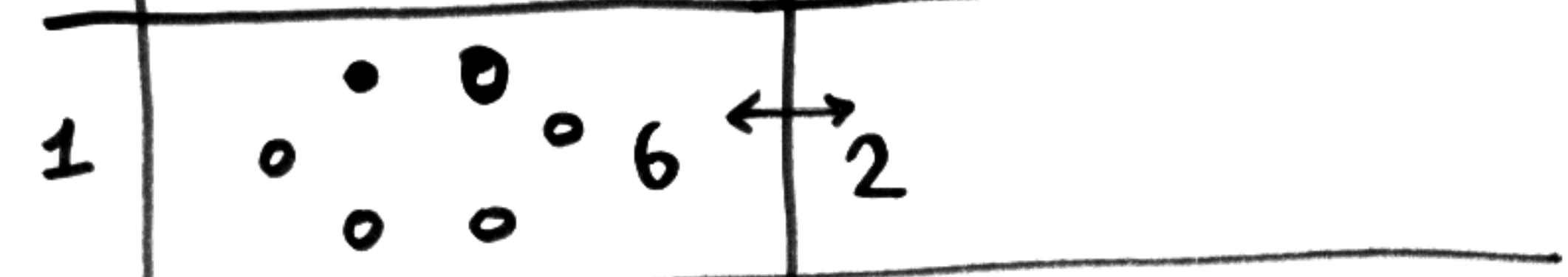
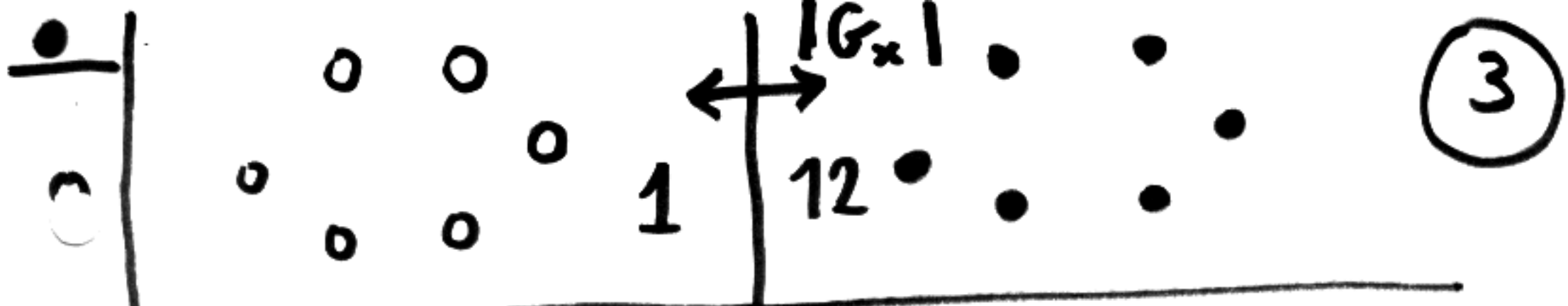
Count different necklaces

$n = 6$ beads

$m = 2$ colors







group G
acting on a set X

Example $G = D_6$

symmetries of hexagon

$X =$ colorings of
vertices of hexagon

$\#X = 64$

Each $g \in G$ gives a permutation

$X \rightarrow X$

$x \mapsto g \cdot x$

E.g.

$g = \tau$

rotation



$\tau \cdot x$

$x =$



$$g_1(g_2 x) = (g_1 g_2) x$$

(5)

Consistency rule.

Stabilizer

$$x \in X$$

$$G_x = \{ g \in G \mid g x = x \}$$

subgroup of G

$$\begin{aligned} \left. \begin{aligned} g_1 x = x \\ g_2 x = x \end{aligned} \right\} &\Rightarrow (g_1 g_2) x = g_1(g_2 x) \\ &= g_1 x \\ &= x \end{aligned}$$

$$g x = x \Rightarrow g^{-1} x = x$$

$$\begin{aligned} g^{-1}(g x) &= g^{-1} x \\ &= (g^{-1} g) x \\ &= x \end{aligned}$$

$$G \cdot x = \{ g \cdot x \mid g \in G \}$$

for a fixed x is called the orbit of x .

THM

$$\# G \cdot x \cdot |G_x| = |G|$$

↑
size of orbit

↑
size of stabilizer

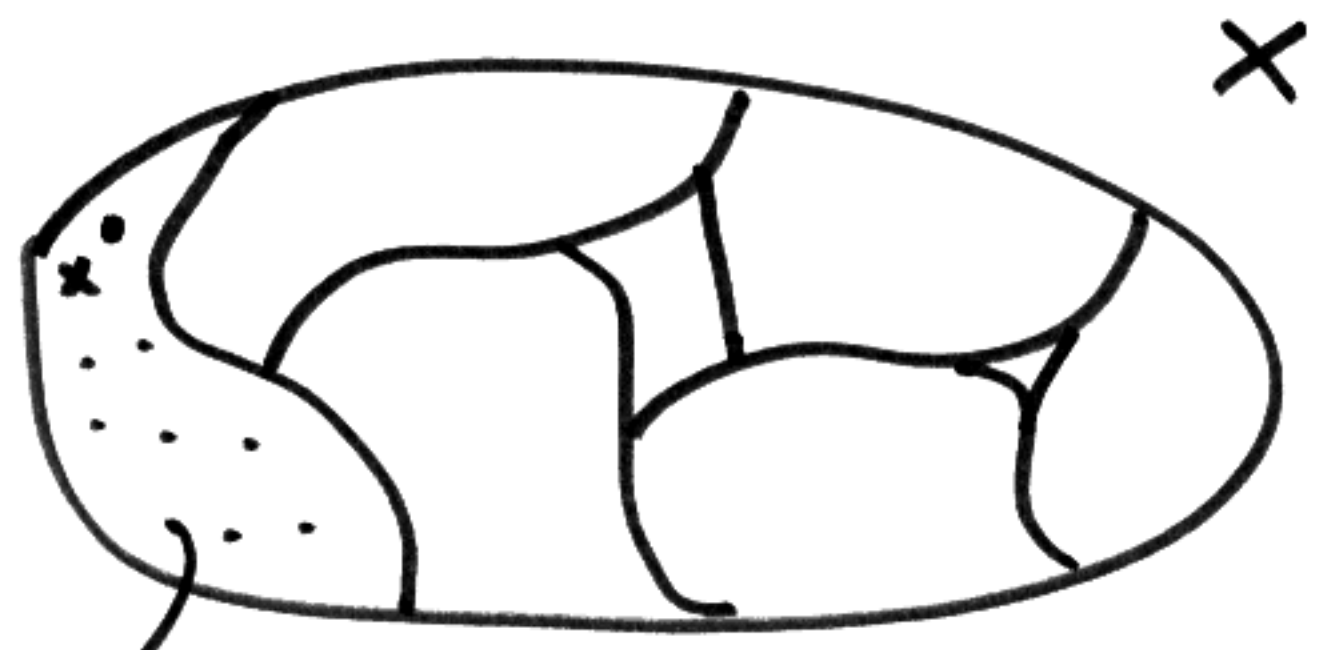


Size of orbits divides the size of G .

on X we can define an equivalence relation

$$x \sim y$$

if $y = gx$ for some $g \in G$



equivalence classes
= orbit

Want: # of orbits

Burnside's Lemma

⑧

$$\# \text{ orbits} = \frac{1}{|G|} \sum_{g \in G} F(g)$$

$$F(g) := \# \{ x \in X \mid gx = x \}$$

"average of [#]fixed points"

Proof

$y \in X$ is counted on
the rhs for every $g \in G_y$

$|G_y|$

Each element in orbit of y

~~Each~~ $\# G_y \cdot |G_y| = |G|$

each orbit gets counted $|G|$ \square

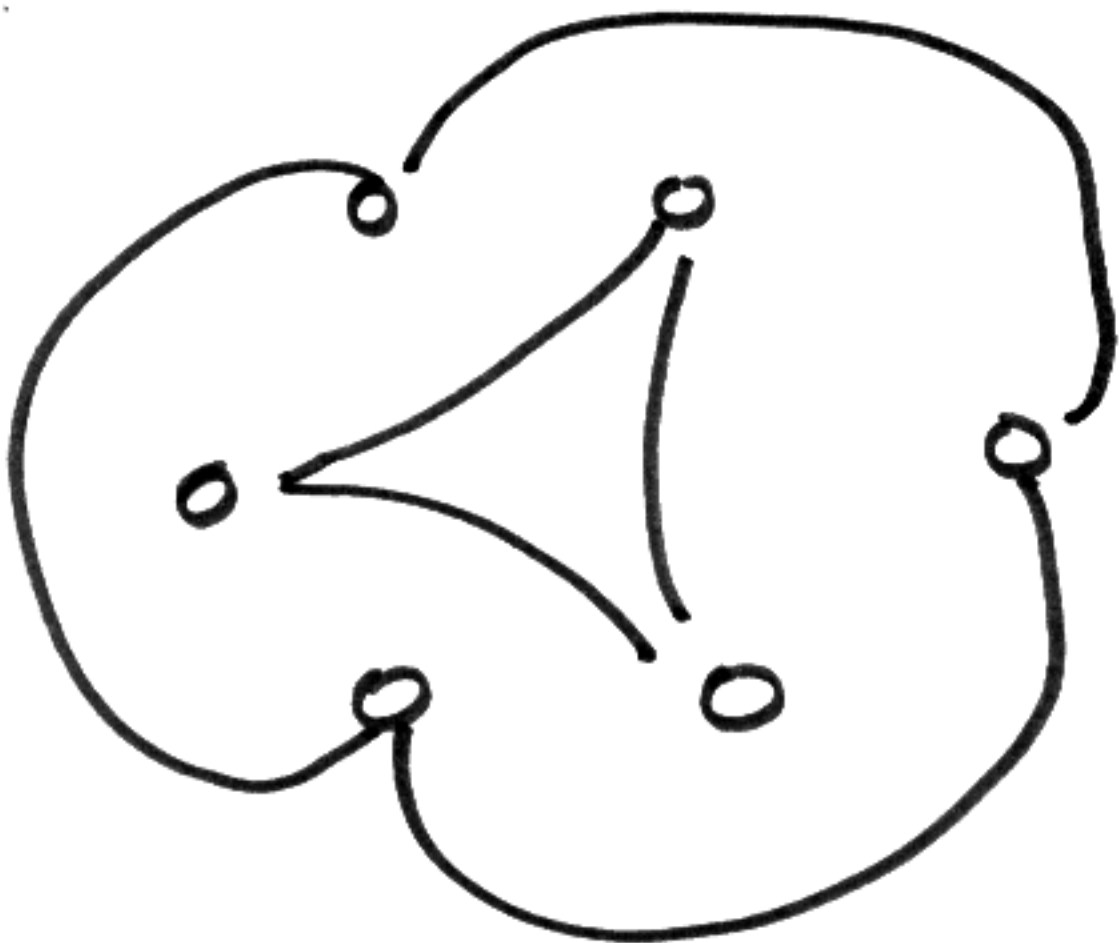
$$D_6 = G$$

⑨

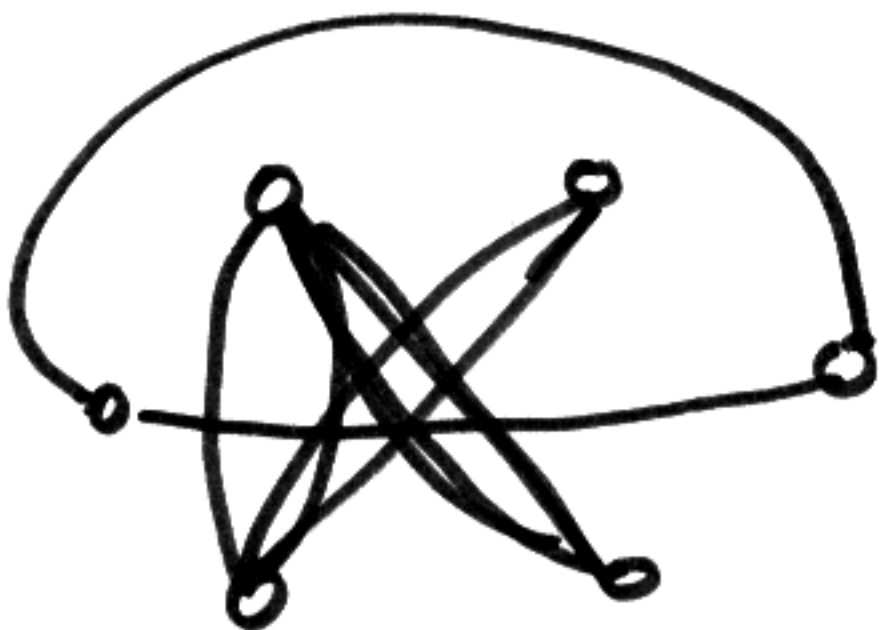
g	$F(g)$	
1	64	m^6
τ	2	m
τ^2	4	m^2
τ^3	8	m^3
τ^4	4	m^2
τ^5	2 2	m
σ_1	2^4	m^4
σ_2	2^3	m^3
σ_3	2^4	m^4
σ_4	2^3	m^3
σ_5	2^4	m^4
σ_6	2^3	m^3

$$\frac{1}{12} (64 + 2 + 4 + 8 + \dots + 2^4 + 2^3) = 13$$

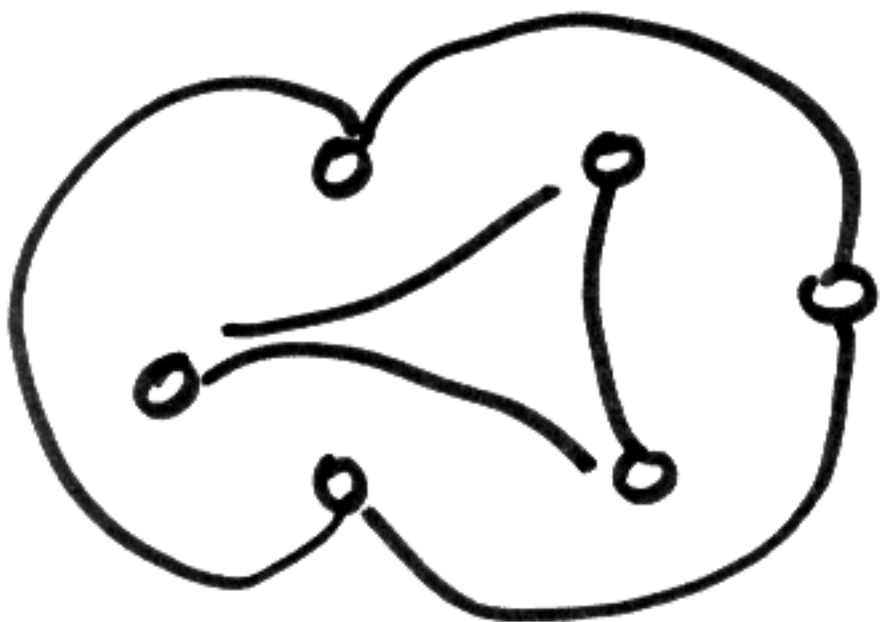
(10)



τ^2

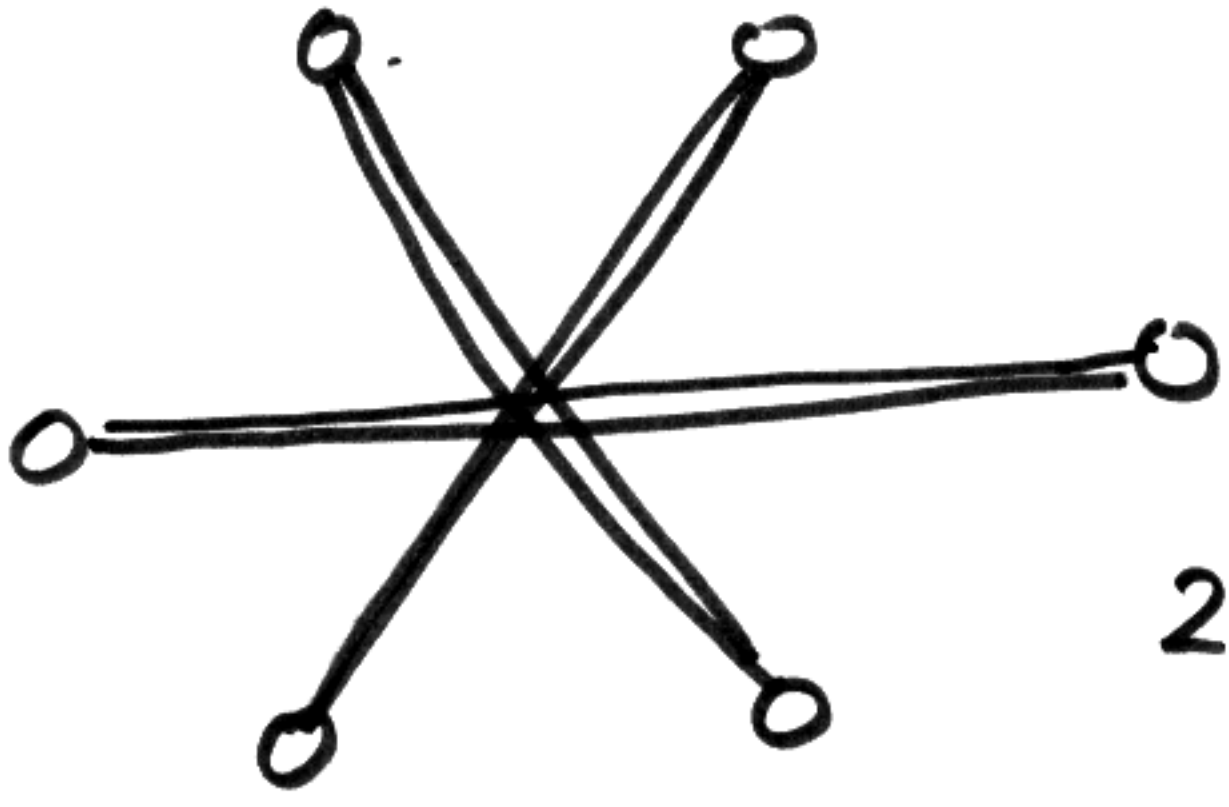


τ^3



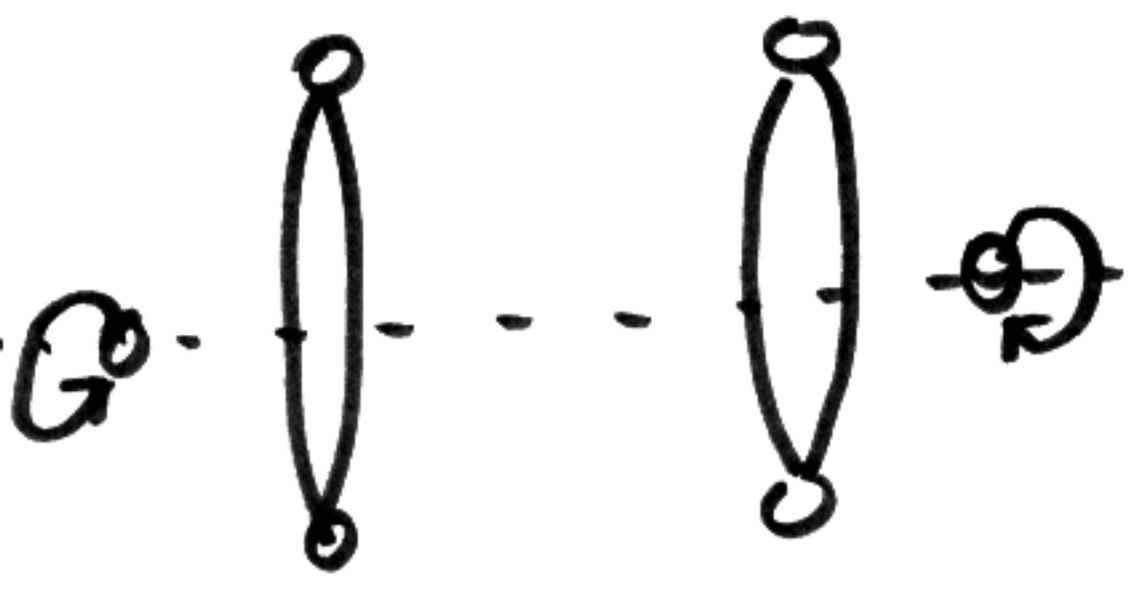
τ^4

①

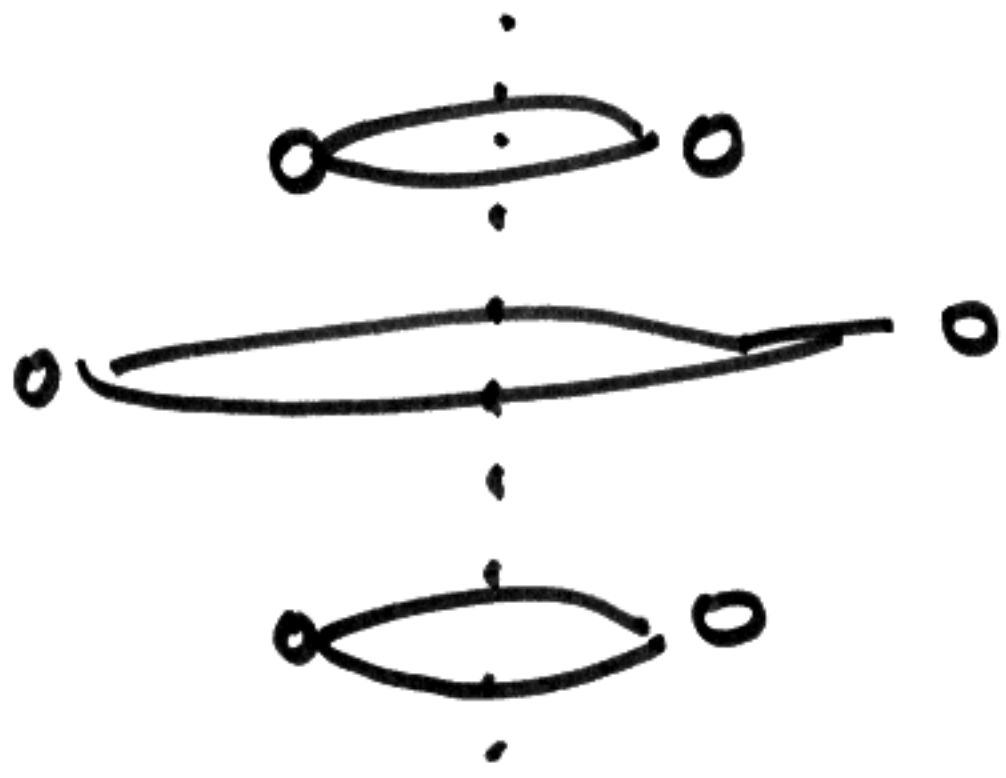


2^3

$$2 \cdot 2 \cdot 2 = 2^3 = 8$$



2^4
9



2^3

necklaces

$$= \frac{1}{12} (m^6 + 2m + 2m^2 + 4m^3 + 3m^4)$$

main term

$$\frac{1}{12} m^6$$

polynomial depends only
on how $g \in G$ act
on vertices of the polygon.

Colorings

XII

①

G finite group

acting on a set V

($G \subseteq S(V)$)

Coloring

set of colors

$$\lambda: V \rightarrow C$$

$$X = \{ \lambda \text{ coloring} \}$$

$$= \mathbb{R}^{V^C}$$

G acts on X

$$(g \lambda)(v) = \lambda(g^{-1}v)$$

$$v \in V$$

Want to use Burnside's
lemma. to compute the
number N of orbits.

②

$$N = \frac{1}{|G|} \sum_{g \in G} F(g)$$

$F(g) = \#$ fixed points
of g acting on X

$X =$ set of colorings

$$F(g) = \# \{ \lambda \mid g\lambda = \lambda \}$$

When is λ fixed
by g ?

③

$$g\lambda = \lambda$$

$$(g\lambda)(v) = \lambda(v)$$

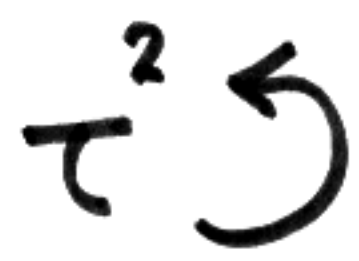
for every vertex
 $v \in V$

$$\lambda(g^{-1}v) = \lambda(v)$$

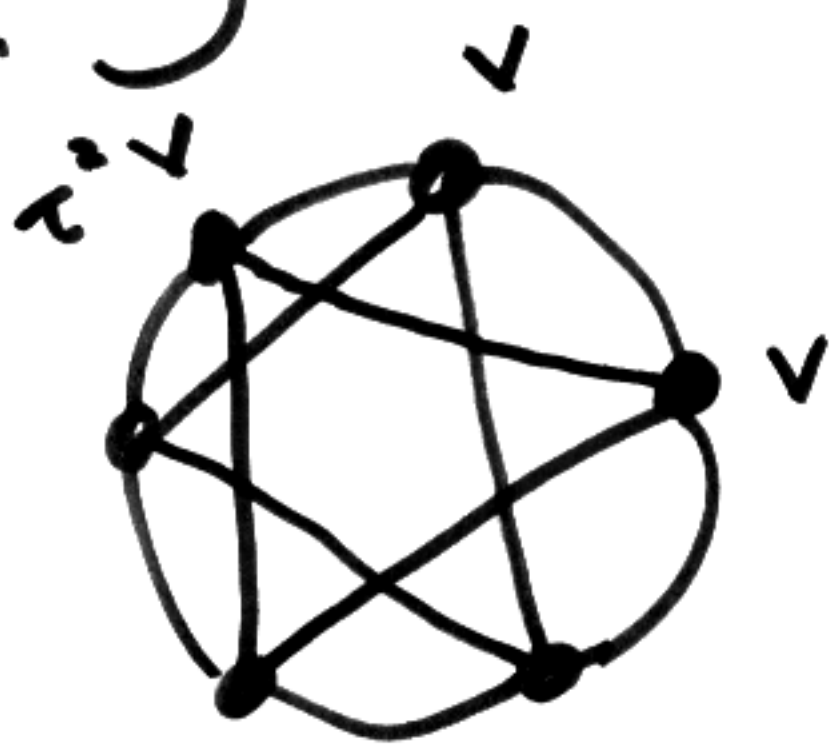
$$\begin{aligned} \Rightarrow \lambda(v) &= \lambda(gv) \\ &= \lambda(gv) = \lambda(g^2v) \\ &= \lambda(g^3v) = \lambda(g^4v) \dots \end{aligned}$$

$\Rightarrow \lambda$ is constant on an
orbit of g
 v, gv, g^2v, g^3v, \dots

E.g. Necklaces



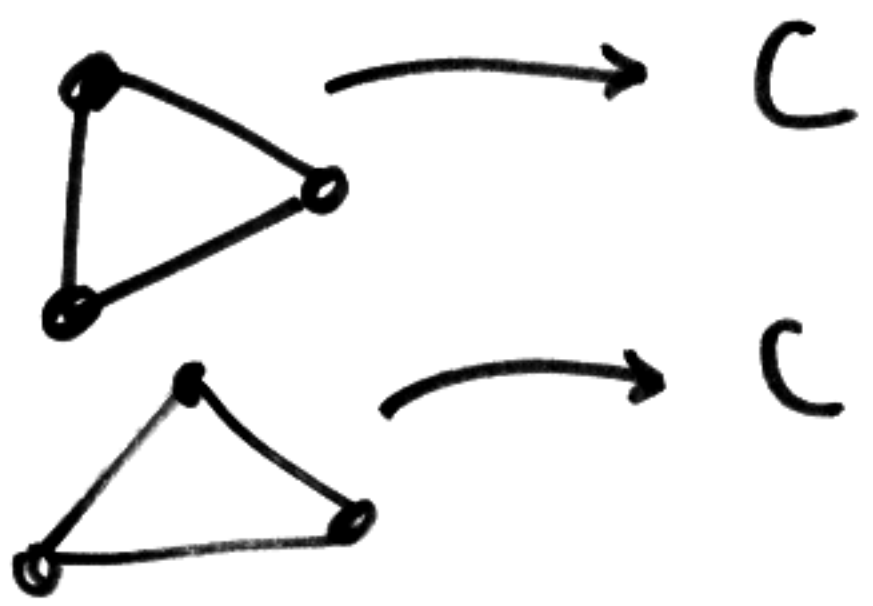
$n = 6$



$\tau^4 v$

λ is fixed by τ^2

\Leftrightarrow λ has constant value in the three vertices of each triangle.



To describe fixed colorings λ (fixed by g) it's ~~enough~~ equivalent to give a color on each orbit of g .

$$F(g) = m^{l(g)}$$

$l(g) := \#$ of orbits of g
cycles

$m = \# C$

$$N = \frac{1}{|G|} \sum_{g \in G} m^{l(g)}$$

cycle indicator

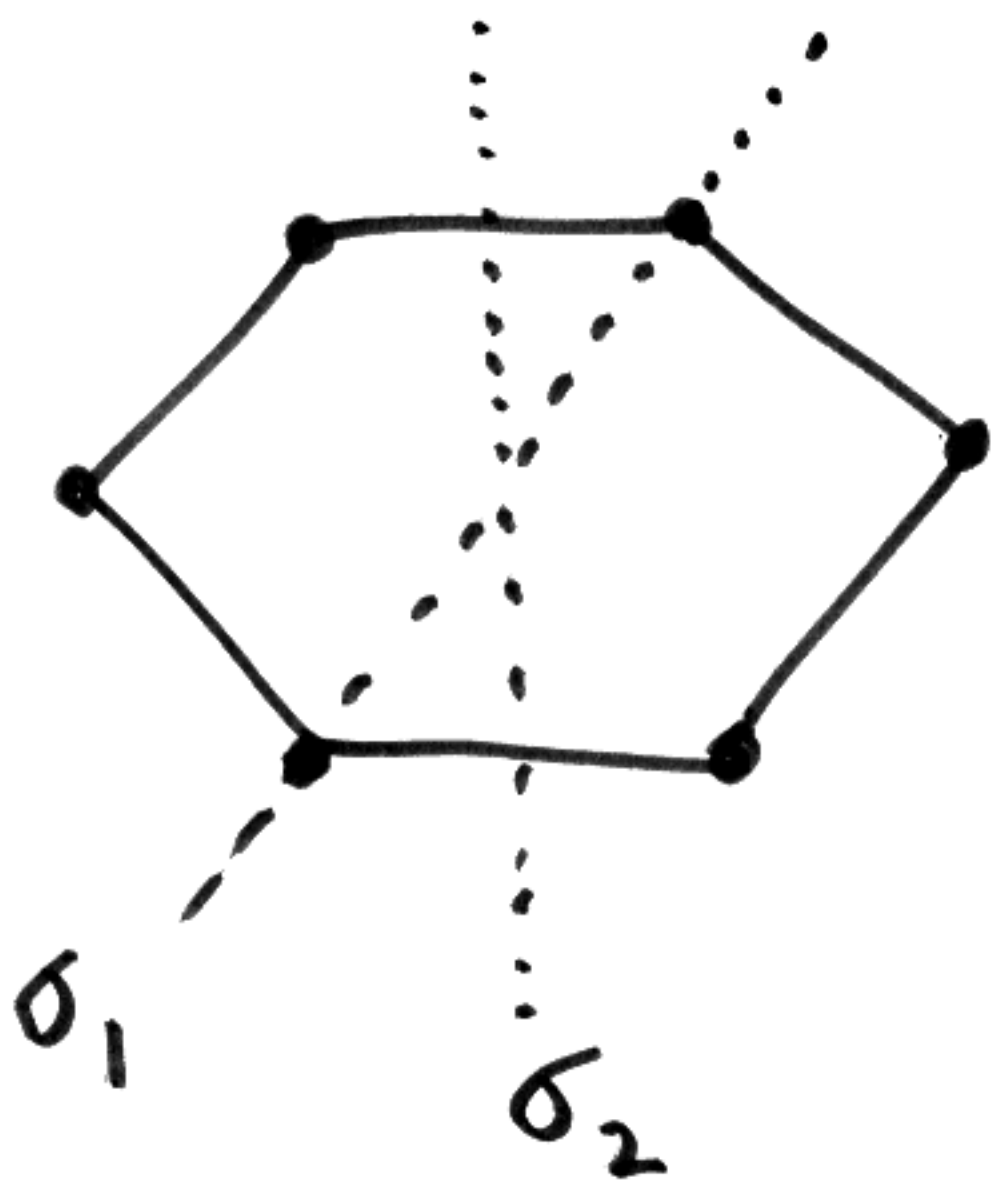
6

Dihedral group D_6

acting on $V =$ regular

hexagon $n = \#V = 6$

g	cycle decomp	$l(g)$
1	(.) (.) (.) (.) (.) (.)	6
τ	(.....)	1
τ^2	(...)(...)	2
τ^3	(..)(..)(..)	3
τ^4	(...)(...)	2
τ^5	(.....)	1
σ_1	(.)(.) (..)(..)	4
σ_2	(..)(..) (..)(..)	3
σ_3	(.)(.) (..)(..)	4
σ_4	(..)(..) (..)(..)	3
σ_5	(.)(.) (..)(..)	4
σ_6	(..)(..) (..)(..)	3



$$N = \frac{1}{12} (m^6 + 3m^4 + 4m^3 + 2m^2 + 2m)$$

↑
cycle indicator for
the action of D_6 on
vertices of the hexagon.

$G \curvearrowright X$

(8)

$F(g) = \#$ fixed pts of g

$N = \#$ orbits

$$N = \frac{1}{|G|} \sum_{g \in G} F(g)$$

• $|G_x| \cdot \# Gx = |G|$

• $y = gx \quad g \in G$

$$G_y = g G_x g^{-1}$$

$h \in G_x$

$ghy = ?$

$$\begin{aligned} ghg^{-1}y &= ghx \\ &= gx = y \end{aligned}$$

$$\Rightarrow |G_x| = |G_y| \quad (9)$$

Proof.

$$\sum_{g \in G} F(g) = \sum_{x \in X} |G_x|$$

$x \in X$ counted in $F(g)$

if $g x = x$

i.e. $|G_x|$ times in the whole

sum

$$\sum_{x \in X} |G_x| = \sum_{G_x} \sum_{y \in G_x} |G_y|$$

↑
orbits

$$= \sum_{G^x} |G_x| \sum_{Y \in G^x} 1$$

$$= \sum_{G^x} \underbrace{|G_x| |G_x|}_{|G|}$$

$$= |G| \cdot \sum_{G^x} 1$$

$$= |G| \cdot N$$

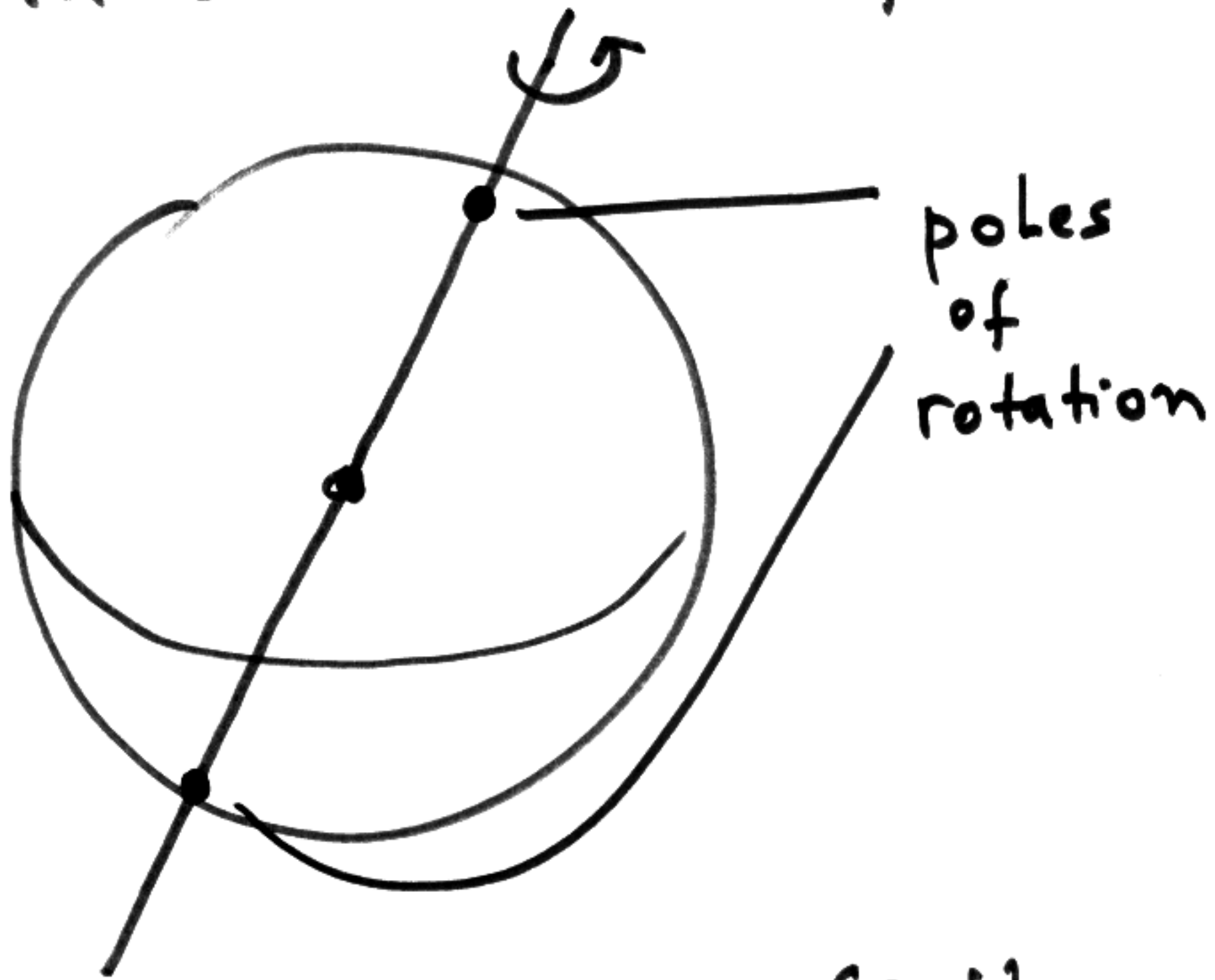
□

Rotations in \mathbb{R}^3

Finite groups

A rotation in \mathbb{R}^3

fixes the unit sphere



Assume we have a finite group G of rotations $|G| > 1$.

Let $\mathcal{P} = \{ \text{poles of rotations in } G \}$

finite set.

• G acts on ~~\mathbb{R}~~ \mathcal{P} .

Suppose $P \in \mathcal{P}$

$gP = P$ for some $g \in G$

$h \in G$

Claim hP is also in \mathcal{P}

$$\underbrace{hgh^{-1}}_{\in G} (hP) = hP \quad \square$$

$N :=$ # orbits of G
acting on \mathcal{P}

(13)

$$N = \frac{1}{|G|} \sum_{g \in G} F(g)$$

$$F(g) = \begin{cases} \#\mathcal{P} & g = 1 \\ 2 & g \neq 1 \end{cases}$$

$$N = \frac{1}{|G|} (\#\mathcal{P} + 2(|G| - 1))$$

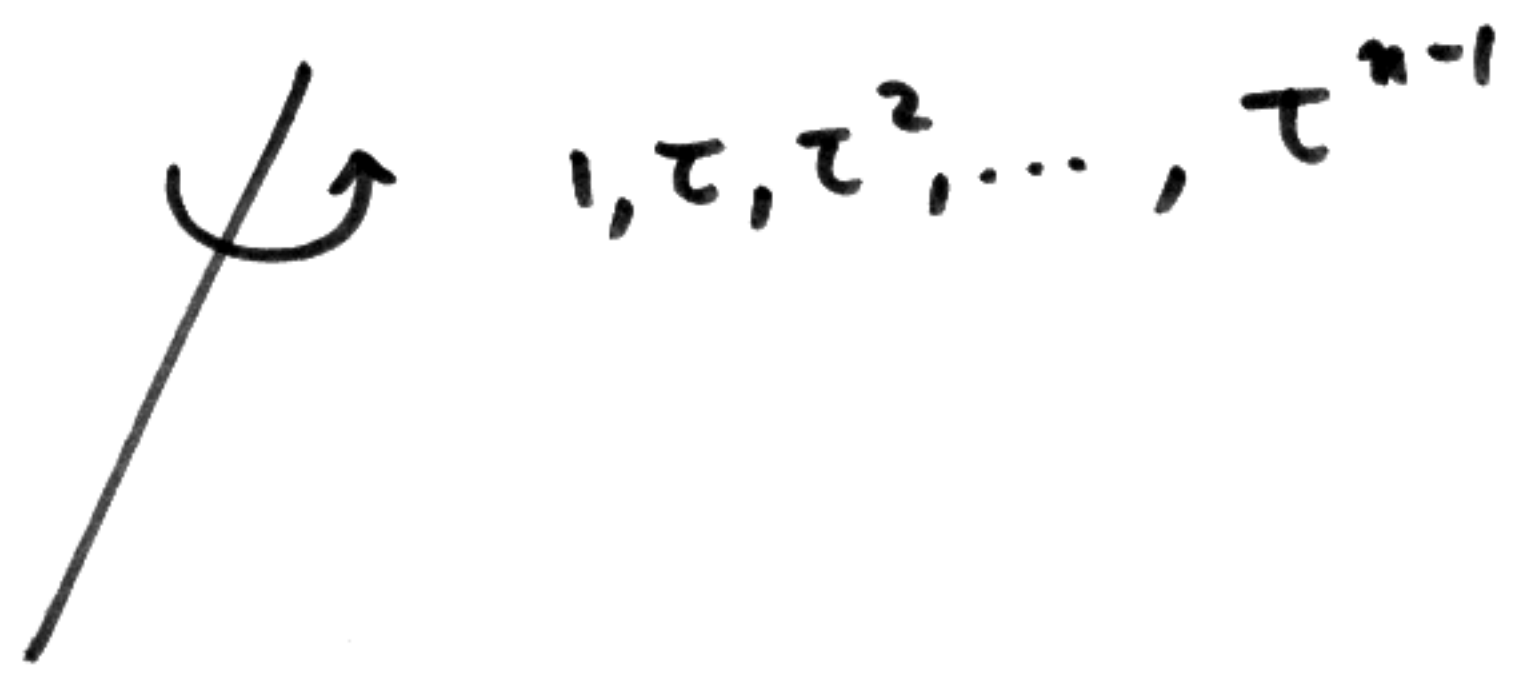
$$(N - 2)|G| = \#\mathcal{P} - 2$$

Since $|G| > 1 \Rightarrow \#\mathcal{P} \geq 2$

$$\Rightarrow \underline{N \geq 2}$$

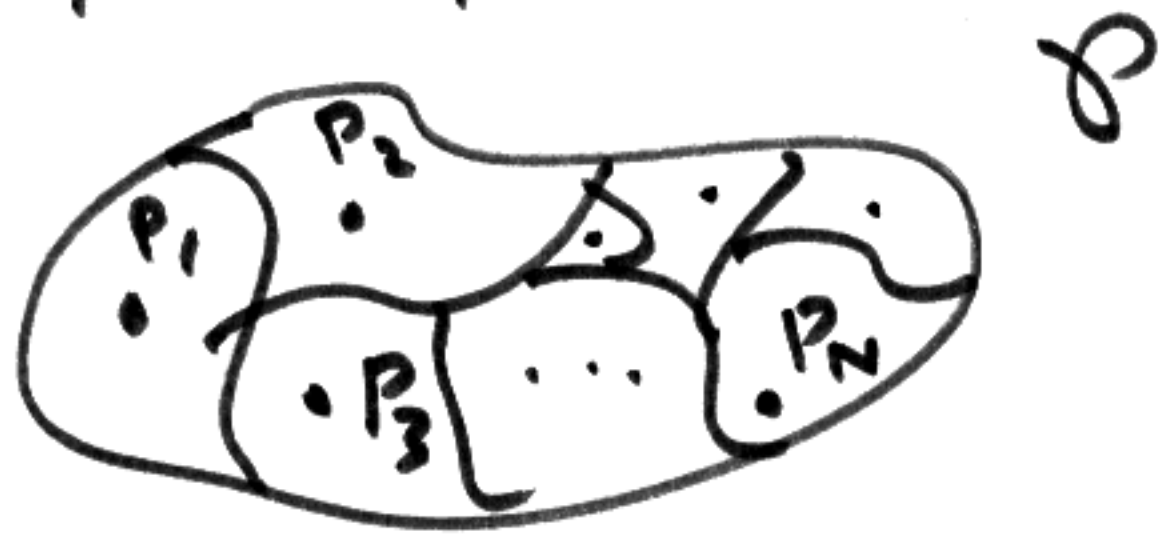
If $N=2$ then $\#P=2$

$\Rightarrow G$ cyclic



If $N=3$ then $\#P > 2$

Let P_1, P_2, \dots, P_N be
a pole per orbit



orbit of P_i has size

(15)

$$\frac{|G|}{|G_i|} = \# G P_i$$

where $G_i := \text{Stabilizer of } P_i$

$$\# \mathcal{P} = \sum_{i=1}^N \frac{|G|}{|G_i|}$$

$$\frac{\# \mathcal{P}}{|G|} = \sum_{i=1}^N \frac{1}{|G_i|}$$

$$\sum_{i=1}^N 1 = N = \frac{\# \mathcal{P}}{|G|} + 2 \left(1 - \frac{1}{|G|} \right)$$

Subtracting these eqns (16)

$$\sum_{i=1}^N \left(1 - \frac{1}{|G_i|} \right) = 2 \left(1 - \frac{1}{|G|} \right)$$

(Riemann-Hurwitz formula)

$$(|G| > 1 \Rightarrow |G| \geq 2)$$

$$\text{rhs} < 2$$

terms in lhs are at least $\frac{1}{2}$

$$|G_i| \geq 2$$

$$\Rightarrow N = 2 \text{ or } 3$$

N=3

G₁, G₂, G₃

n₁, n₂, n₃

sizes

2 ≤ n₁ ≤ n₂ ≤ n₃

1/n₁ + 1/n₂ + 1/n₃ = 1 + 2/|G|

|G| ≥ 2

⇒ n₁ = 2

$G \subset$ rotations of \mathbb{R}^3 ①
 \uparrow
 finite

$$\frac{1}{n_1} + \frac{1}{n_2} + \frac{1}{n_3} = 1 + \frac{2}{|G|}$$

$N=3$ orbits of poles

$$n_1 \leq n_2 \leq n_3$$

$$n_i := |G_{p_i}|$$

stabilizer
of i th orbit
of poles

$$n_i \geq 2$$

$$|G| > 1$$

We can't have $n_1, n_2, n_3 \geq 3$
because otherwise

$$\text{lhs} < 1$$

$$\text{whereas rhs} > 1.$$

Hence

$$n_1 = 2$$

$$\frac{1}{2} + \frac{1}{n_2} + \frac{1}{n_3} = 1 + \frac{2}{|G|}$$

$$\frac{1}{n_2} + \frac{1}{n_3} = \frac{1}{2} + \frac{2}{|G|}$$

If $n_2 = 2$ then n_3 could be any thing say n

$$\frac{1}{2} + \frac{1}{n} = \frac{1}{2} + \frac{2}{|G|}$$

$$|G| = 2n$$

~~There is such a G of order 2n~~

There is such a G of order $2n$
 $G = D_n$ dihedral gp of order $2n$
matches this solution.

$$n_1 = n_2 = 2 \quad n_3 = n \quad |G| = 2n$$

③

$$\frac{1}{m_2} + \frac{1}{m_3} = \frac{1}{2} + \frac{2}{|G|}$$

If $m_2 > 2$ ($m_3 \geq m_2$)

then $m_2 = 3$

otherwise

$$\text{lhs} \leq \frac{1}{4} + \frac{1}{4} = \frac{1}{2}$$

whereas

$$\text{rhs} > \frac{1}{2}$$

$m_2 = 3$

$$\frac{1}{3} + \frac{1}{m_3} = \frac{1}{2} + \frac{2}{|G|}$$

$$\frac{1}{m_3} = \frac{1}{6} + \frac{2}{|G|}$$

$$m_3 < 6$$

$$m_3 = 3, 4, 5$$

The equation

$$1 + \frac{2}{|G|} = \frac{1}{n_1} + \frac{1}{n_2} + \frac{1}{n_3} > 1$$

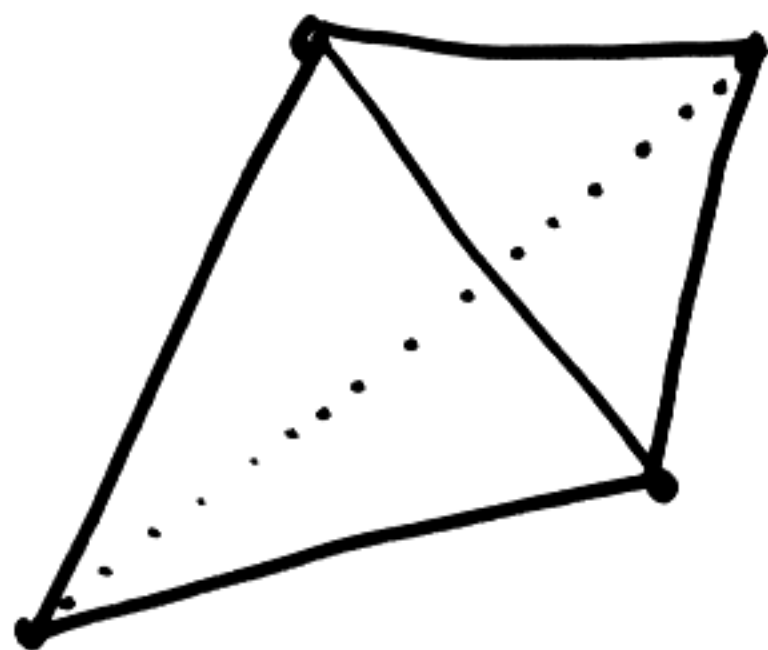
$n_i \geq 2$ n_i integer.

has solutions	$ G $	n_1	n_2	n_3	
D_n	$2n$	2	2	n	Dihedral, n -gon
A_4	12	2	3	3	Tetrahedron
S_4	24	2	3	4	Cube / octahedron
A_5	60	2	3	5	Icosahedron / Dodecahedron

There is a corresponding group rotations fixing either a regular n -gon or one of the platonic solids

Tetrahedron

5



$R_V = R_F$ order 3, 4 vertices
(4 faces)

total of 8

R_E order 2, 6 edges

total of 3

identity

$$1 + 8 + 3 = 12$$

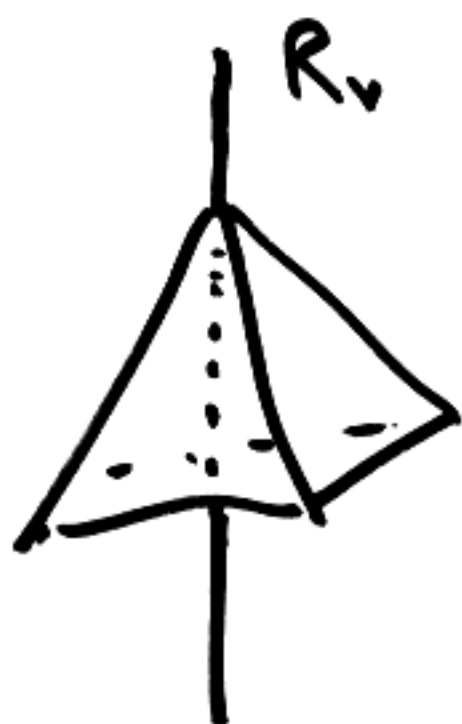
corresponds to $(2, 3, 3)$

$$G \cong A_4$$

⑥

$$G \cong A_4$$

comes from viewing G
as acting on vertices
(or equivalently on faces)



$$R_v \leftrightarrow (123)$$

$$R_E \leftrightarrow (12)(34)$$

$$R_v \text{'s} \leftrightarrow 3\text{-cycles}$$

3-cycles	}	(123)	(132)
		(124)	(142)
		(134)	(143)
		(234)	(243)

R_E 's



$(\dots) (\dots)$

⑦

$\left\{ \begin{array}{ll} (12) & (34) \\ (13) & (24) \\ (14) & (23) \end{array} \right.$

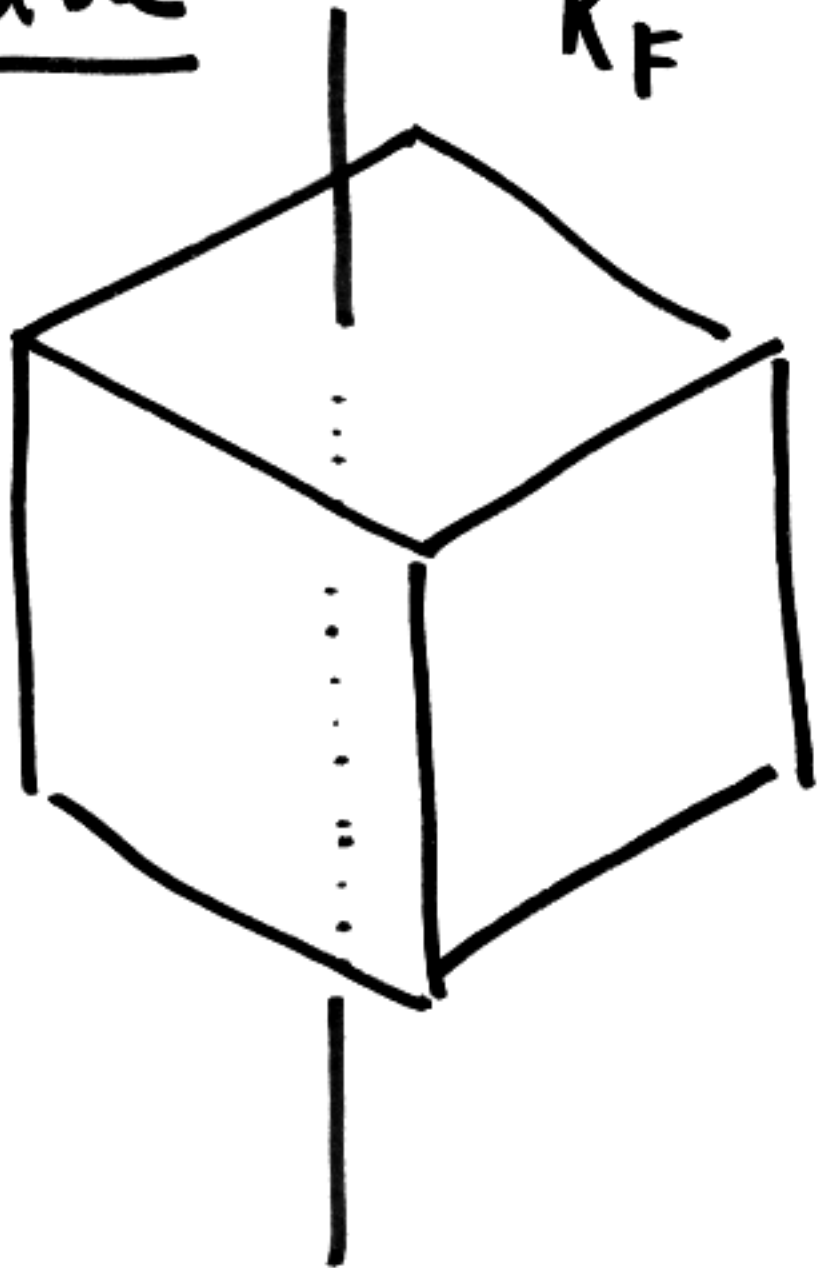
Cube

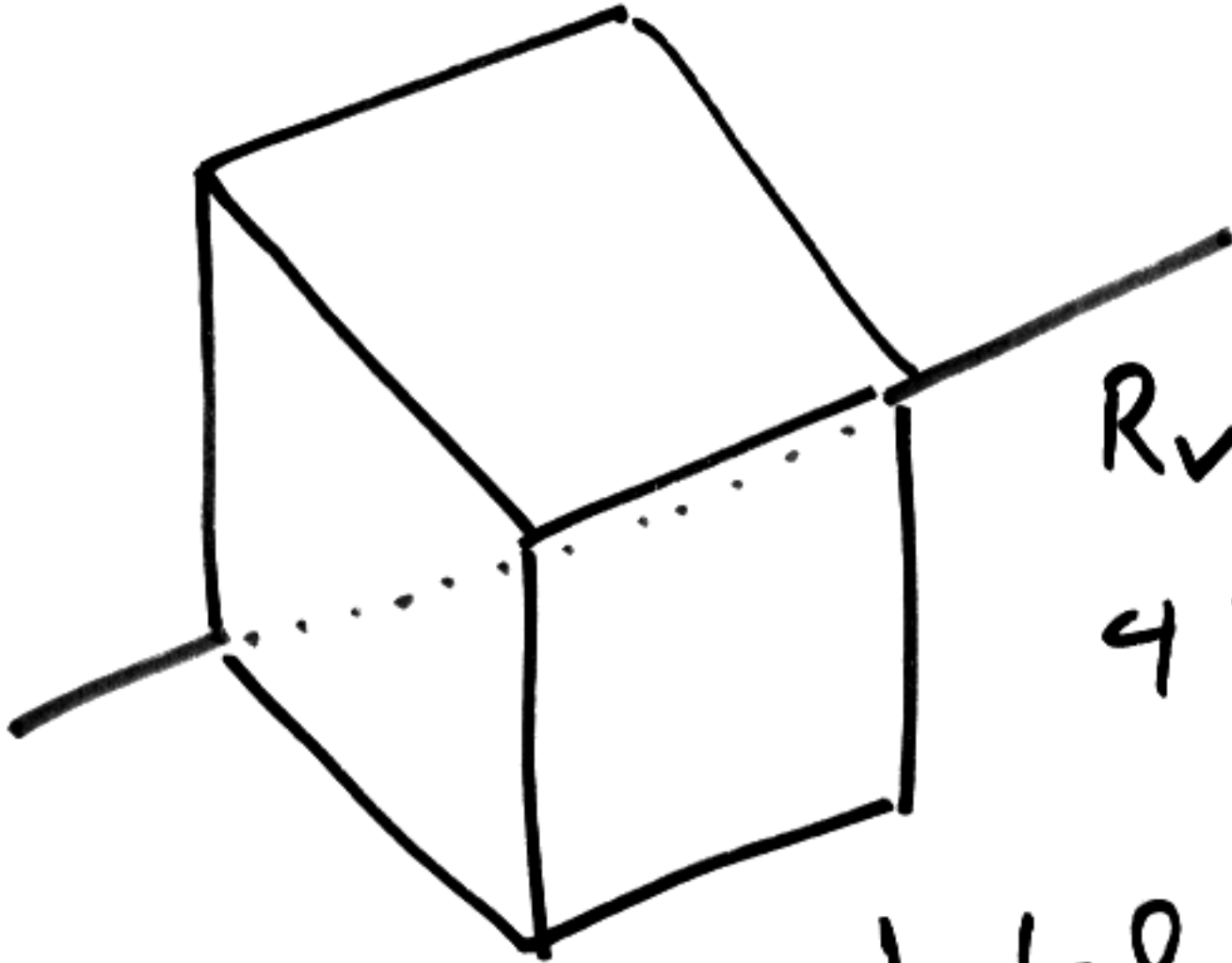
R_F

order 4

3 pairs of faces

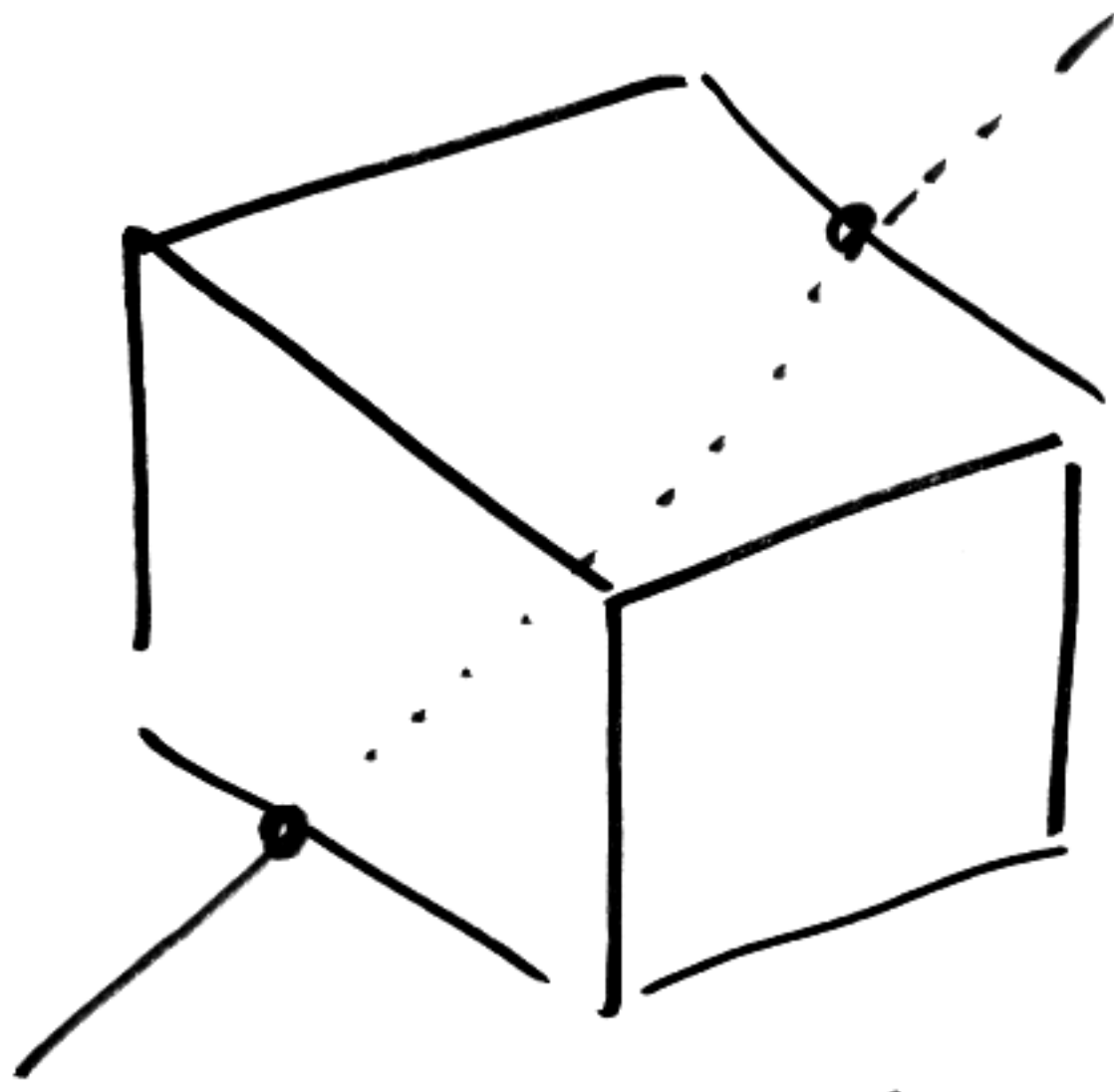
total = 9





R_v order 3
4 pairs of opp vertices

total = 8



R_E order 2
6 pairs of opp. edges

total = 6

$$1 + 9 + 8 + 6 = 24$$

corresponds (2, 3, 4)

$$G \cong S_4$$

We can see this by looking at the action of G on pairs of opposite vertices (or diagonals) $R_F^2 \leftrightarrow (\dots)(\dots)$

$$R_F \leftrightarrow (\dots)$$

$$R_V \leftrightarrow (\dots)$$

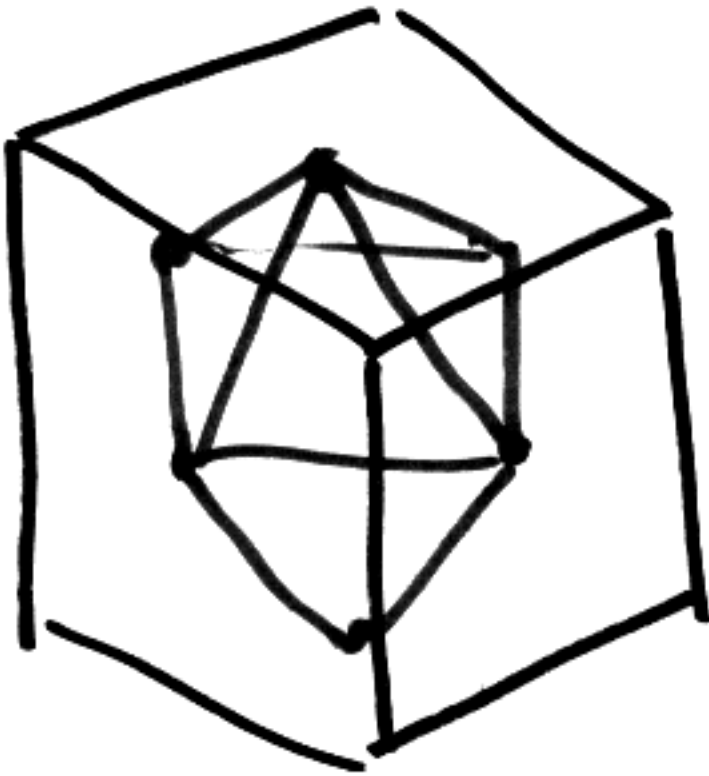
$$R_E \leftrightarrow (\dots)$$

swaps diagonals connected to edge

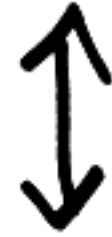
- (12) (13) (14)
- (23) (24)
- (34)

Platonic Solids

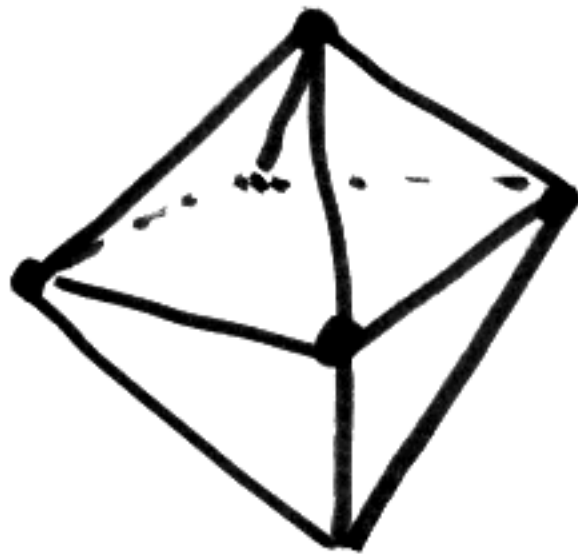
10



cube



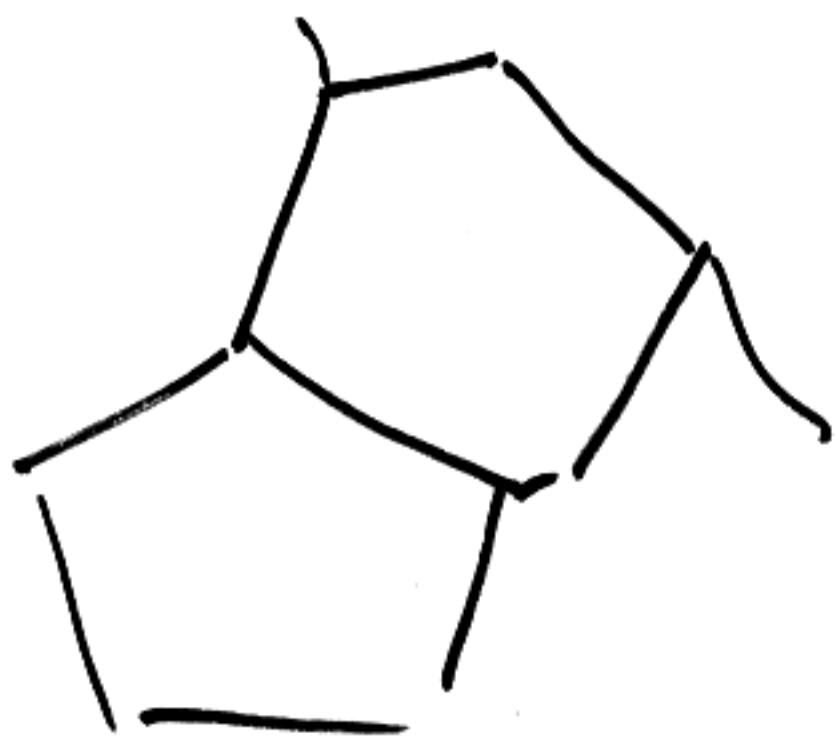
octahedron



share same
group of
rotations

(2,3,5) solution

corresponds dodecahedron
/icosahedron

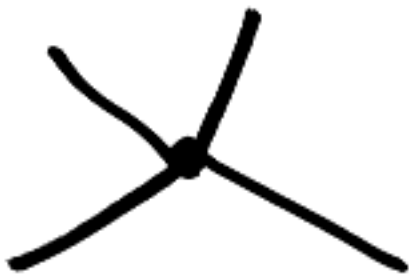


$$G \cong A_5$$

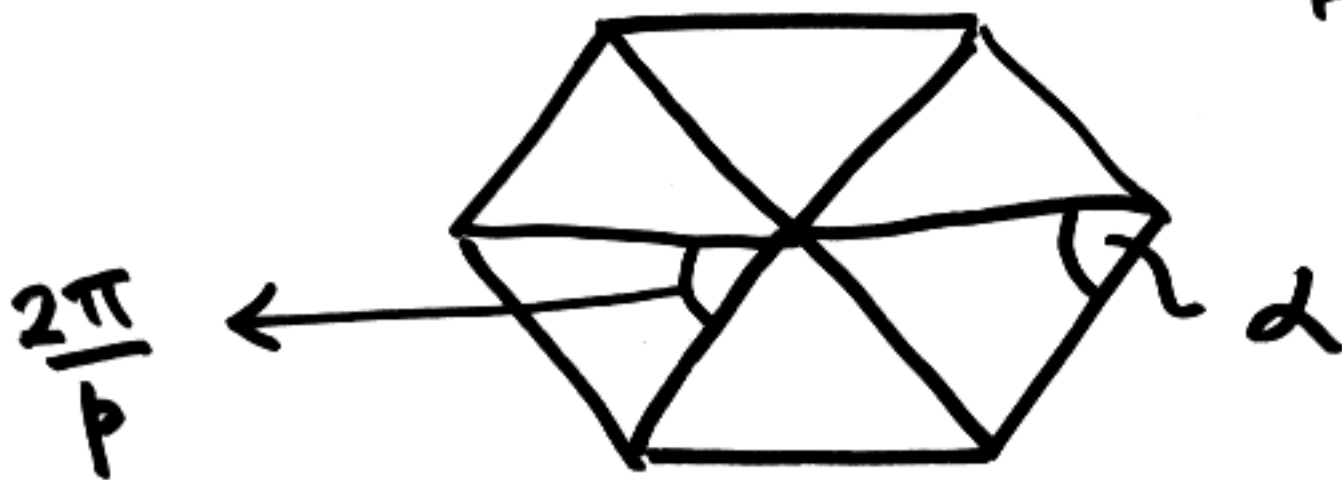
Regular polyhedron

Putting together faces

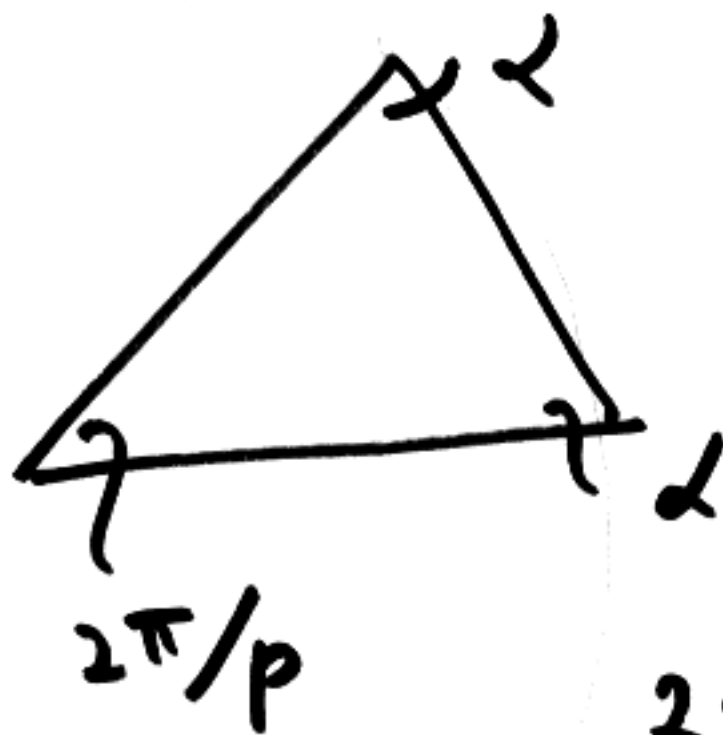
- all faces are the same
- same number of faces per vertex



(As symmetrical as possible)
Face



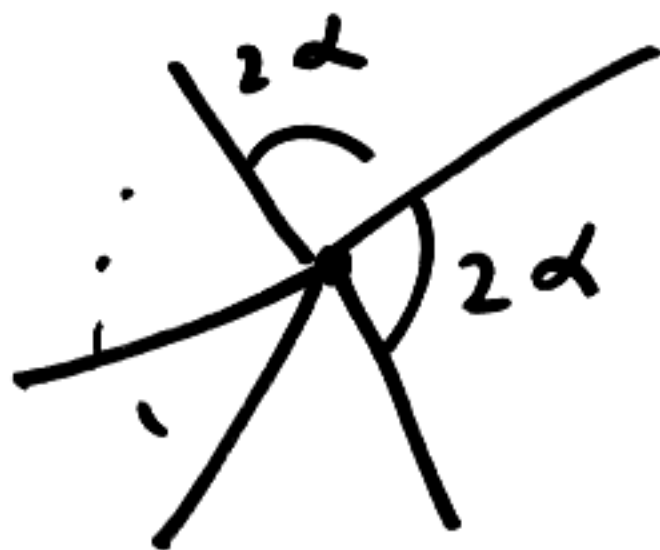
p = the number of edges
on a face



$$2\alpha + \frac{2\pi}{p} = \pi$$

$$\Rightarrow 2\alpha = \pi \left(1 - \frac{2}{p}\right)$$

At a vertex



$q = \#$ of faces at a vertex
 $= \#$ of edges at a vertex

$$q 2\alpha < 2\pi$$

$$9\pi\left(1 - \frac{2}{p}\right) < 2\pi$$

$$9\left(1 - \frac{2}{p}\right) < 2$$

$$9(p - 2) < 2p$$

$$9p - 2q - 2p < 0$$

$$9p - 2q - 2p + 4 < 4$$

$$(9 - 2)(p - 2) < 4$$

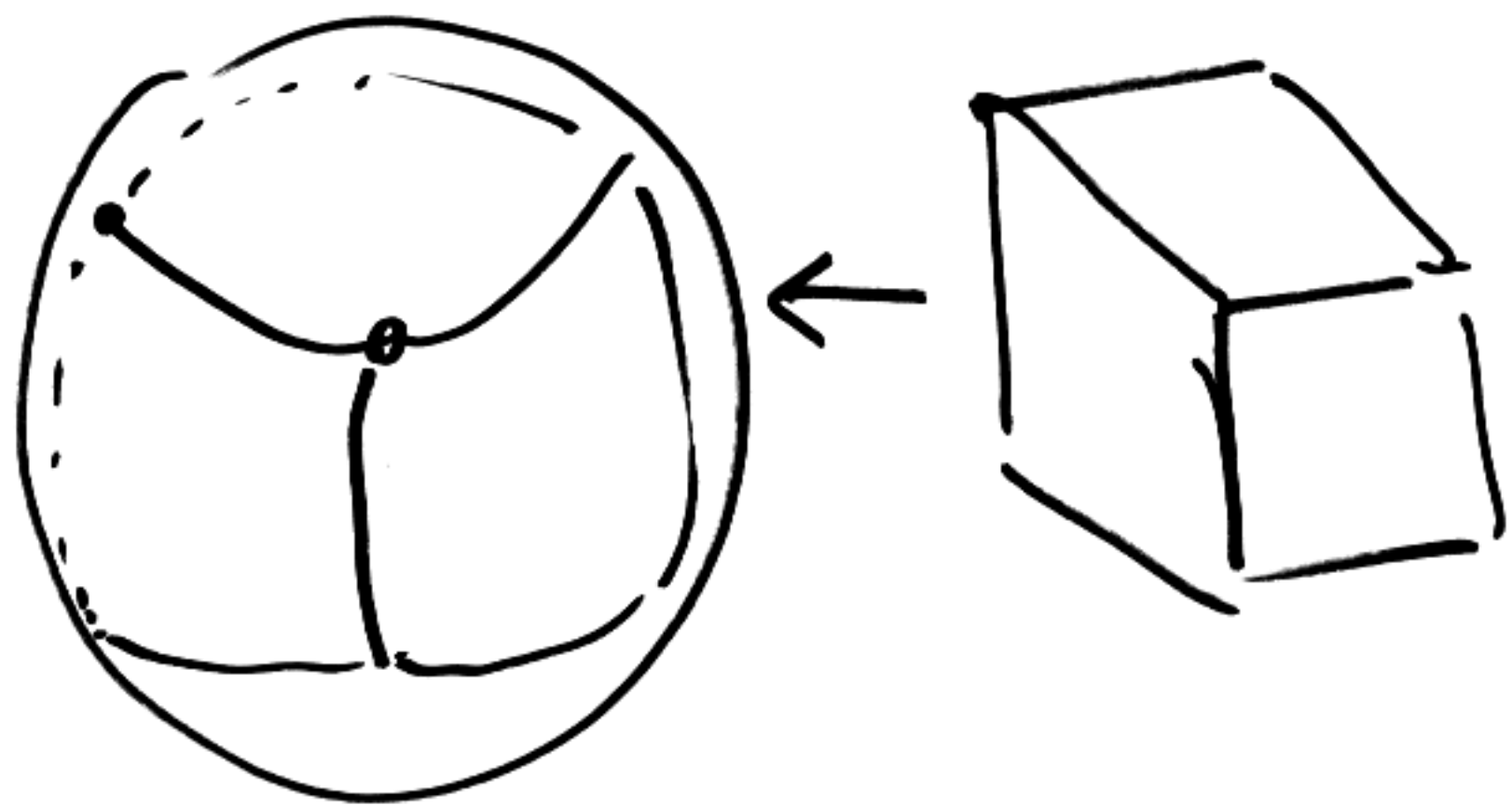
$$\Rightarrow (p, q) = \begin{array}{l} 3, 3 \leftrightarrow T \\ [3, 4 \leftrightarrow O \\ 4, 3 \leftrightarrow C \\ [3, 5 \leftrightarrow \\ 5, 3 \leftrightarrow \end{array}$$

Euler

$$V - E + F = 2$$

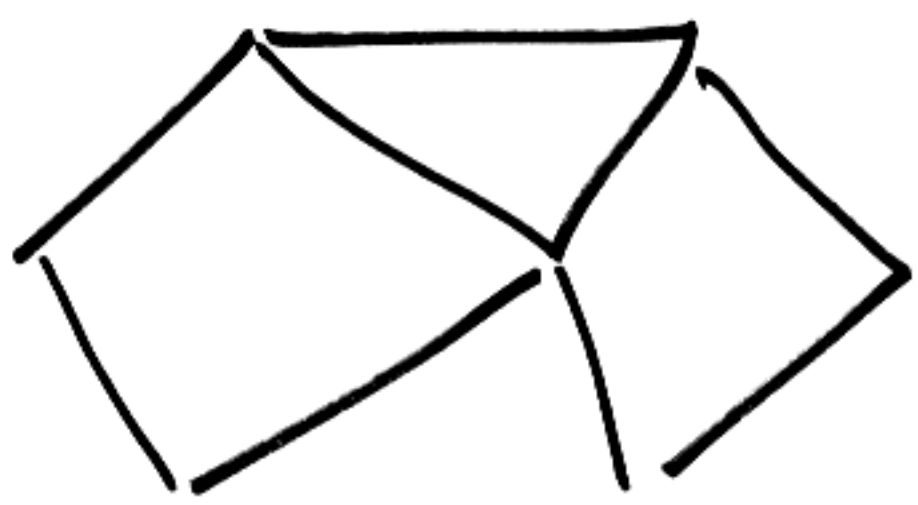
$$0 \quad 1 \quad 2$$

Take platonic solids
and pump air into them



Euler number = $V - E + F$
 is a topological invariant
 Sphere has Euler number
 2.

Take



Group fixing corners

$$\cong \{ (\alpha_1, \dots, \alpha_g) \mid \alpha_1 + \dots + \alpha_g \equiv 0 \pmod{3}$$

$$\alpha_i \pmod{3}$$

= angle of rotation at corner i

Moves that do little.

Commutators

$x, y \in G$

$$[x, y] = xyx^{-1}y^{-1}$$

Note $xy = yx \Rightarrow xyx^{-1} = y$
 $\Rightarrow xyx^{-1}y^{-1} = 1$
 x, y commute

$$[x, y] = 1$$

Conversely if

$$[x, y] = 1 \Rightarrow xy = yx$$

G a group of permutations

(2)

$$x, y \in G$$

Know: x, y are disjoint

(i.e. involve different sets of objects) they commute

e.g. $x = (123)(45)$

$$y = (6789)$$

$$\Rightarrow xy = yx$$

$$\Rightarrow [x, y] = 1$$

Example $x = (1234)$

$$y = (1567)$$

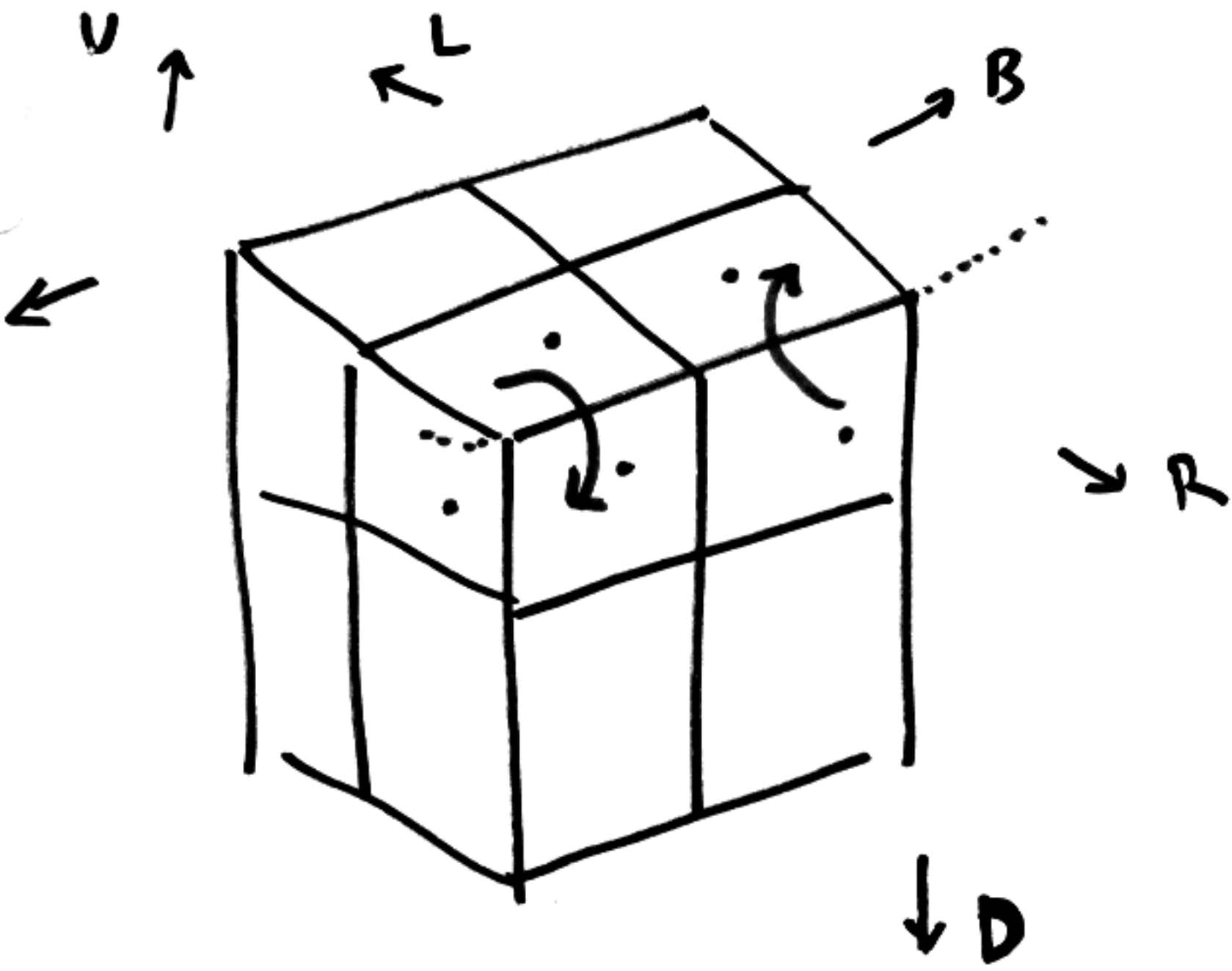
$$[x, y] = xyx^{-1}y^{-1} = (xyx^{-1}) \cdot y^{-1}$$

$$xyx^{-1} = (2567)$$

$$xyx^{-1}y^{-1} = (2567)(7651)$$

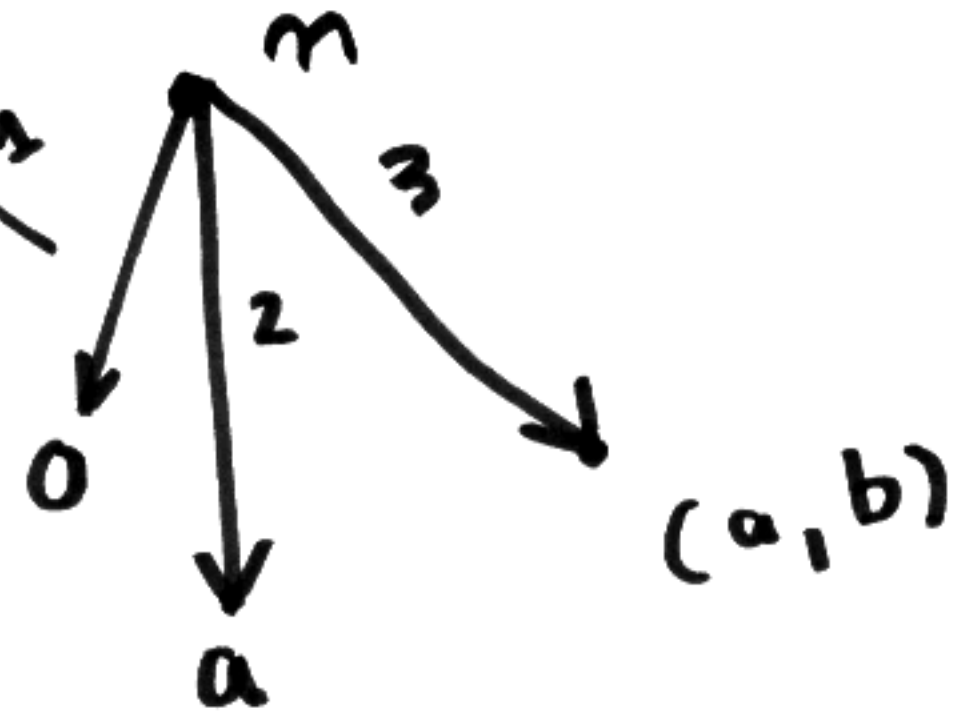
$$= (125)$$

③



$$[F, D]^2 U [D, F]^2 U^{-1}$$

(turn only the 4th H)



$$a < m$$

(3)

$$a < b < m$$

a
x
↓
x, H

m
H
↓
T

$$G(n) = \text{mex} \{ 0, G(a), G(a) * G(b) \}$$

$$a < m$$

$$a < b < m$$

n	1	2	3	4	5	6	7	8	9
	1	2	4	7	8	11	13	14	16

$$G(n+1) = \begin{cases} 2^n \\ 2^{n+1} \end{cases}$$

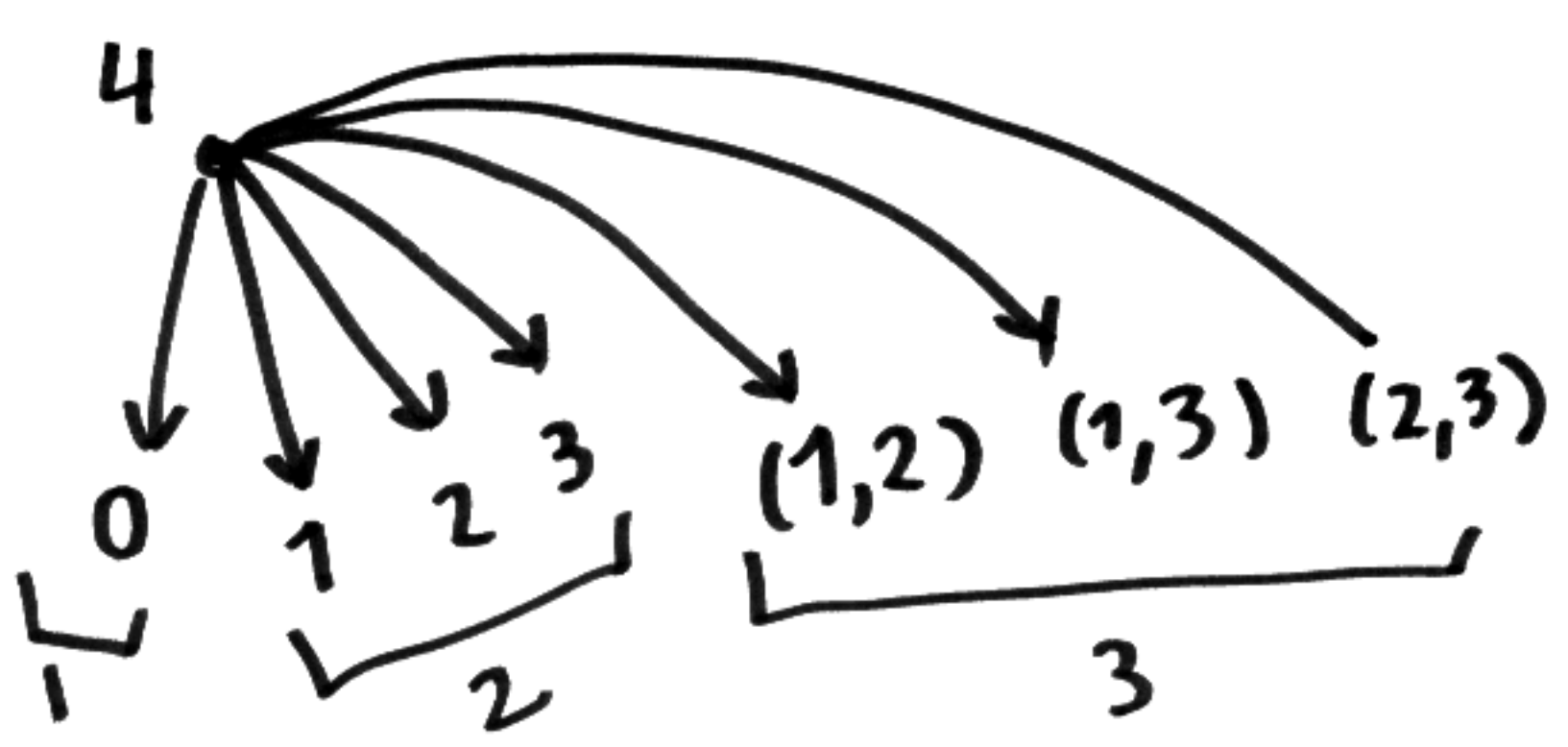
④

which one depends on the parity of the sum of the binary digits. Want the answer to have ~~an~~ sum to be odd.

1	2	3	4	5	6	7	8	9
H	T	T	H	T	H	H	T	T
1			7		11	13		

$$\begin{aligned}
 1 * 7 * 11 * 13 &= 6 * 11 * 13 \\
 &= 13 * 13 \\
 &= 0
 \end{aligned}$$

This is a P-position



$$G(4) = \text{mex} \{ 0, 1, 2, 4, 3, 5, 6 \} = 7$$



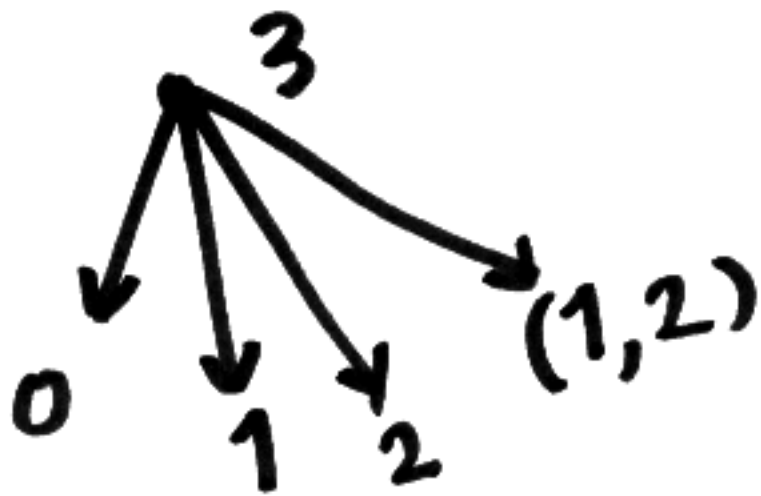
1 2 3 4
H T T T... (4)

$$G(1) = \text{mex} \{0\} = 1$$



X H T T...

$$G(2) = \text{mex} \{0, 1\} = 2$$



X Y H T...

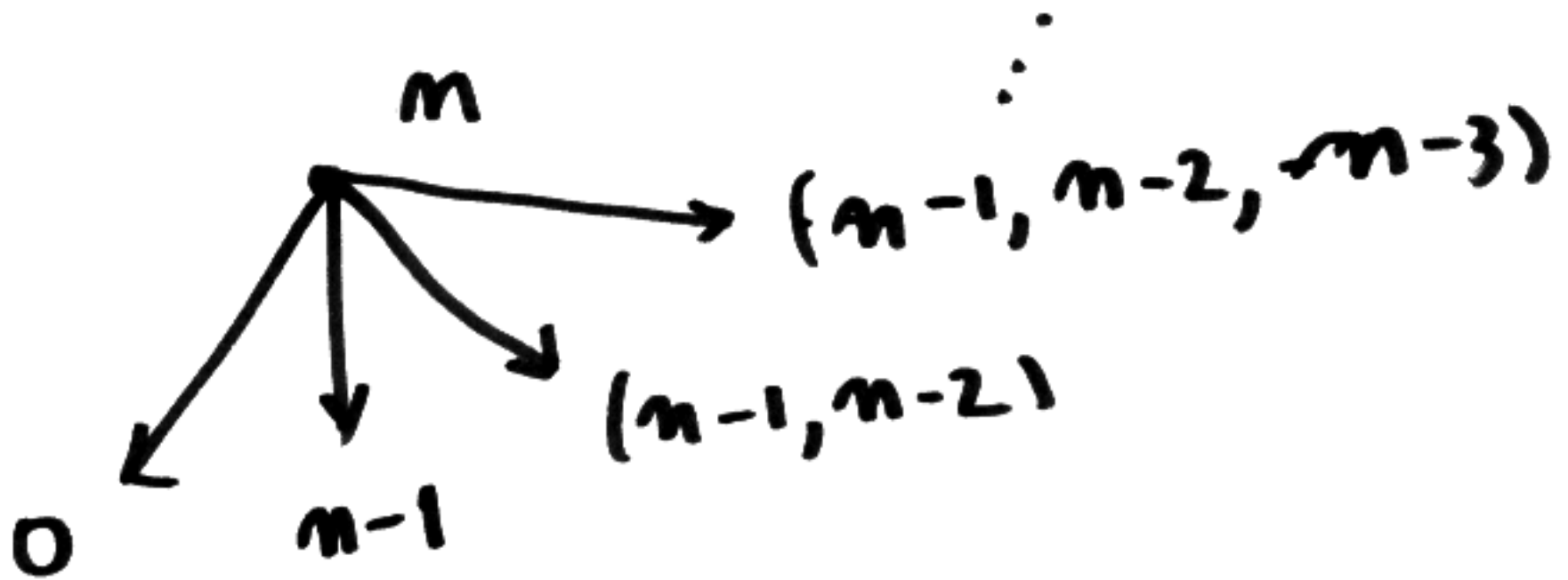
$$G(1,2) = G(1) * G(2) = 3$$

$$G(3) = \text{mex} \{0, 1, 2, 3\} = 4$$

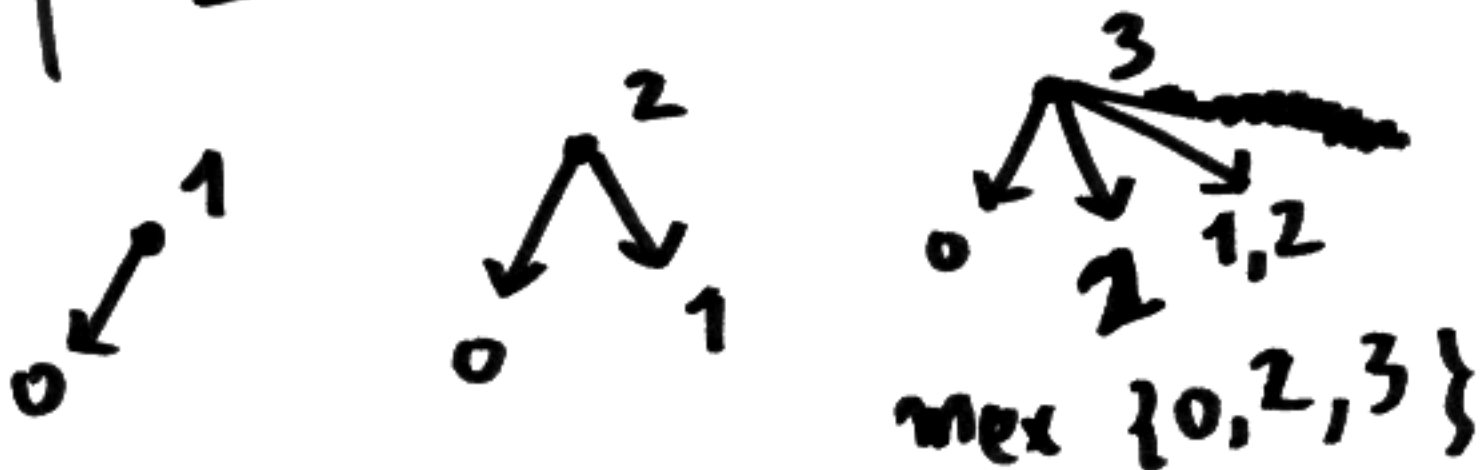
Ruler Game

Turn any number of consecutive coins.

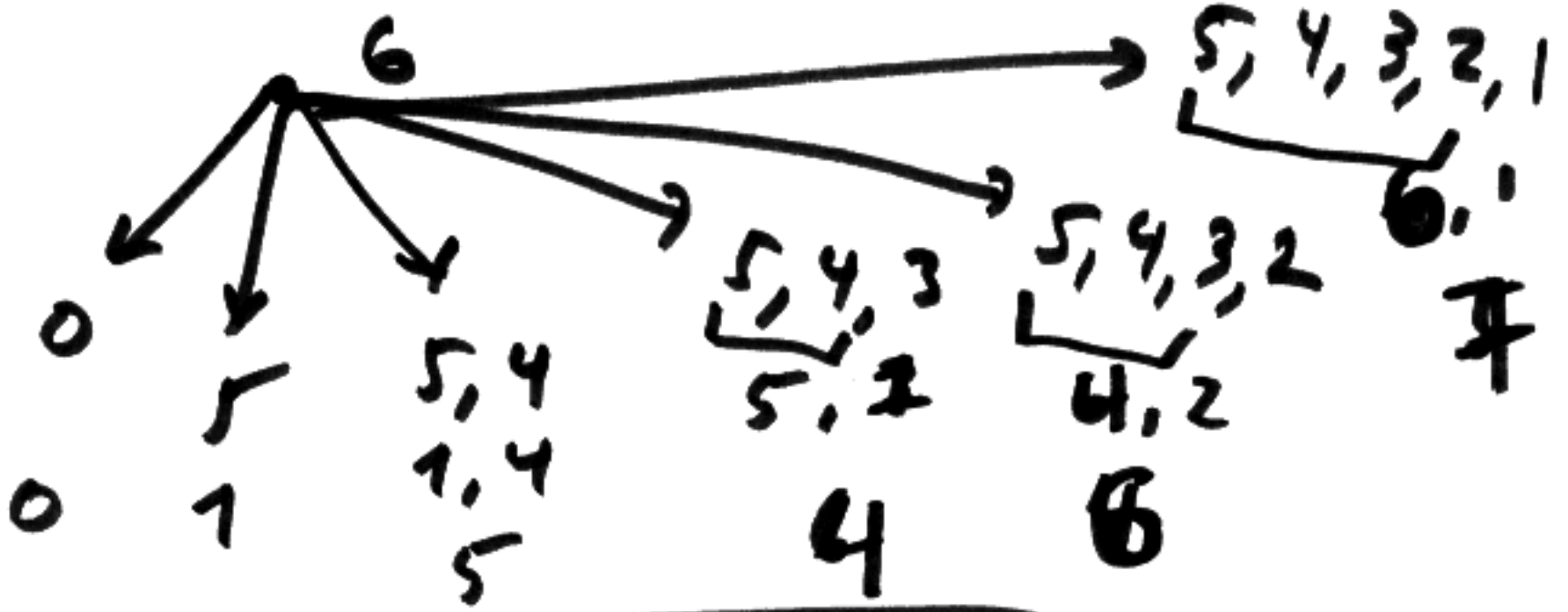
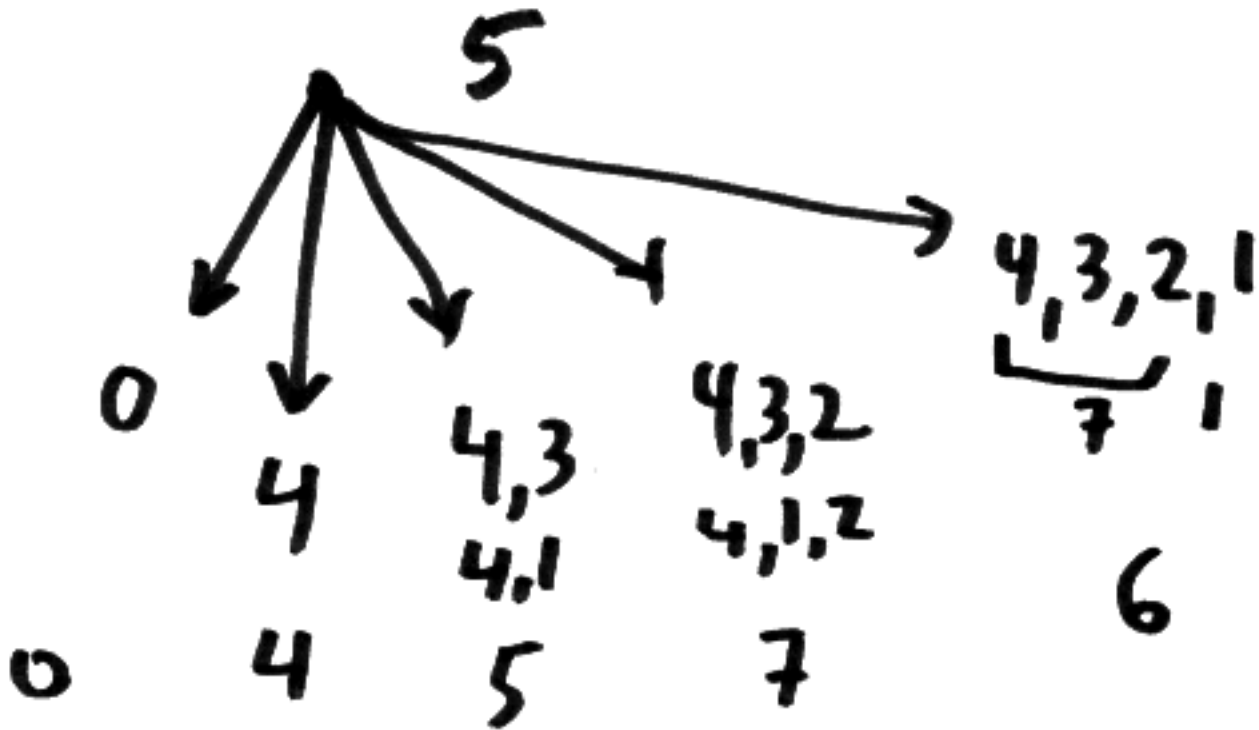
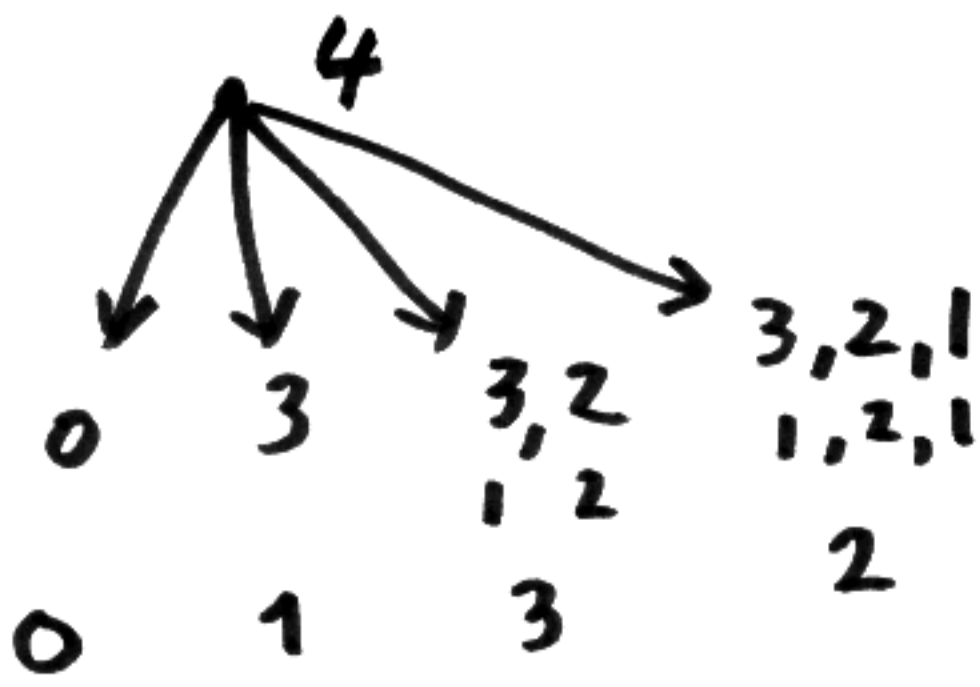
(Rightmost $H \rightarrow T$)



n	1	2	3	4	5	6	7	8
	1	2	1	4	1	2	1	8



6



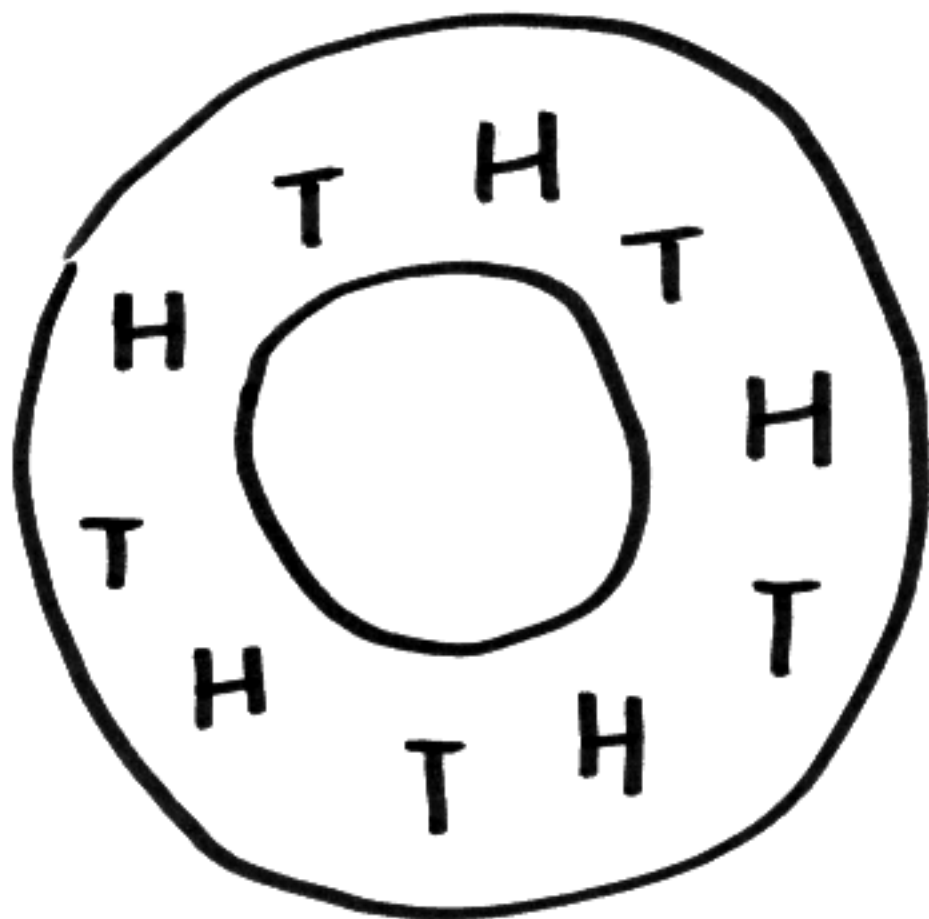
$$G(n) = 2^{\lfloor \frac{n}{2} \rfloor}$$

Theory for Misère

⑦

person unable to play wins.

BLET



Rule

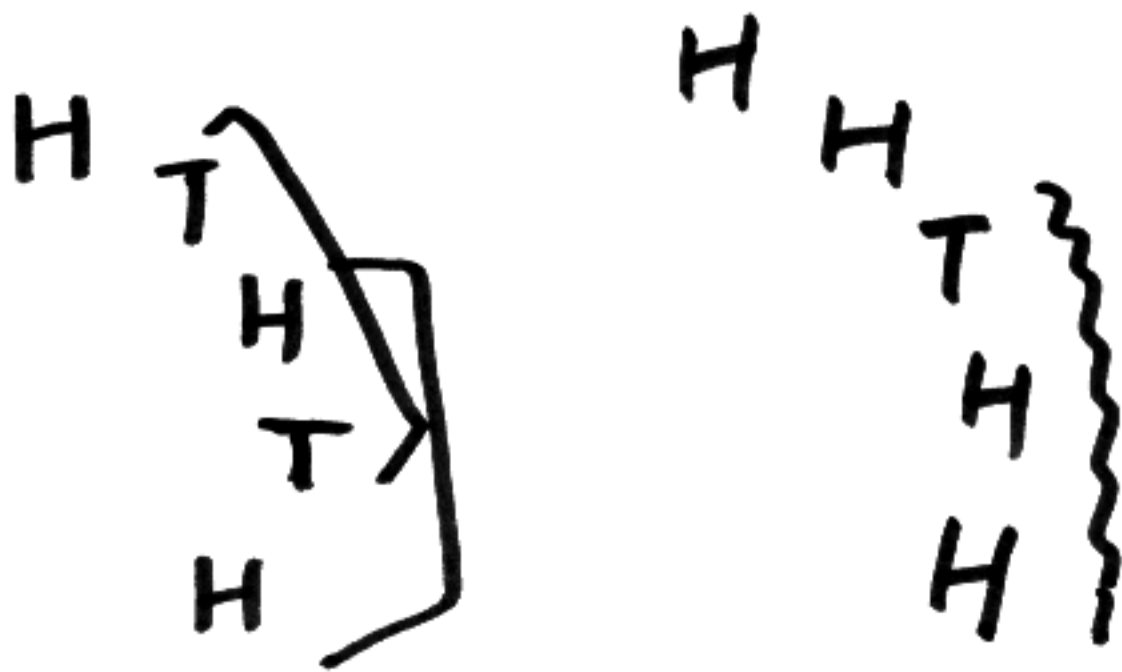
HTH \leftrightarrow THT

Goal Find the largest number of H's possible

⑧

Greedy play

THT → HTH

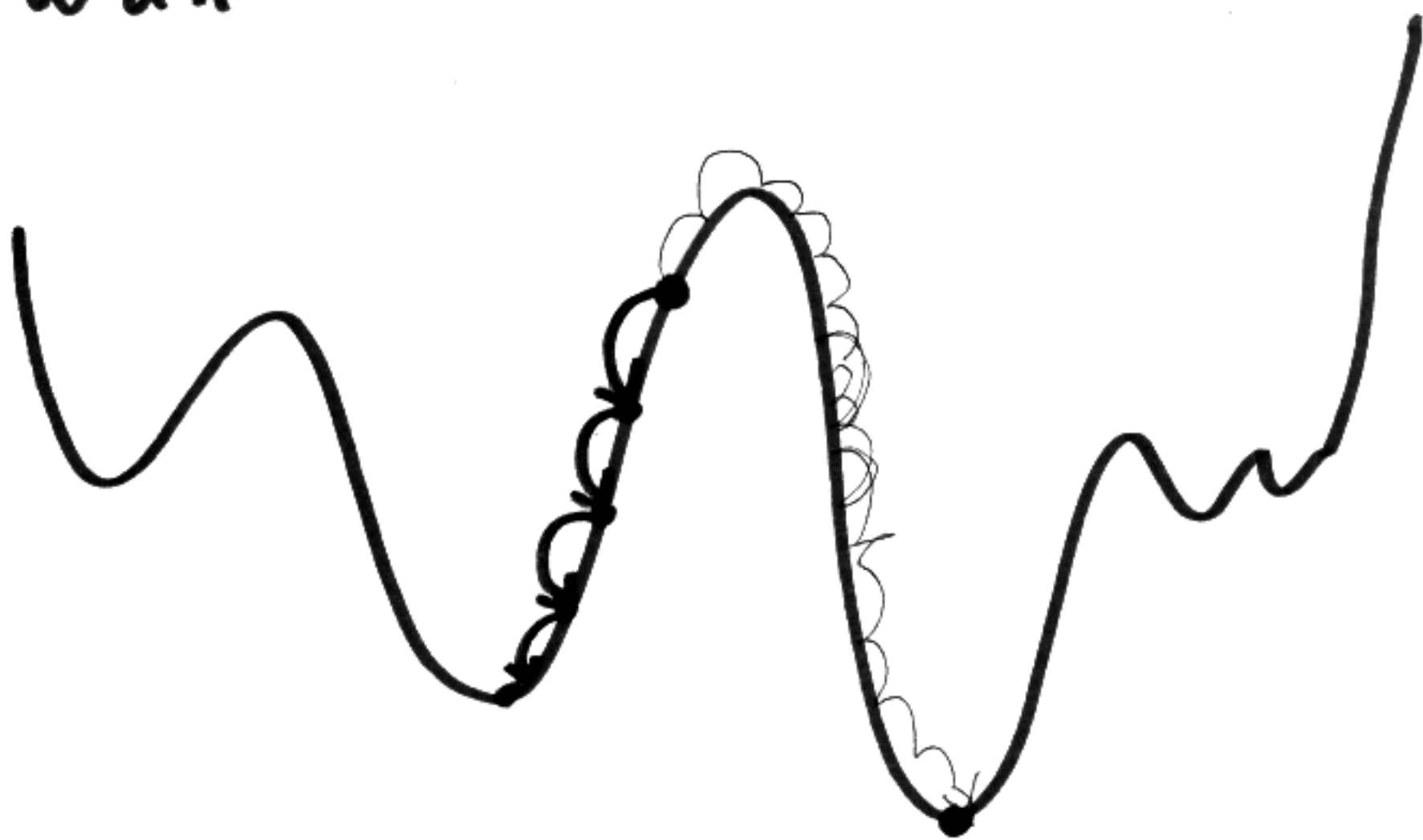


Greedy fails

Simulated annealing

9

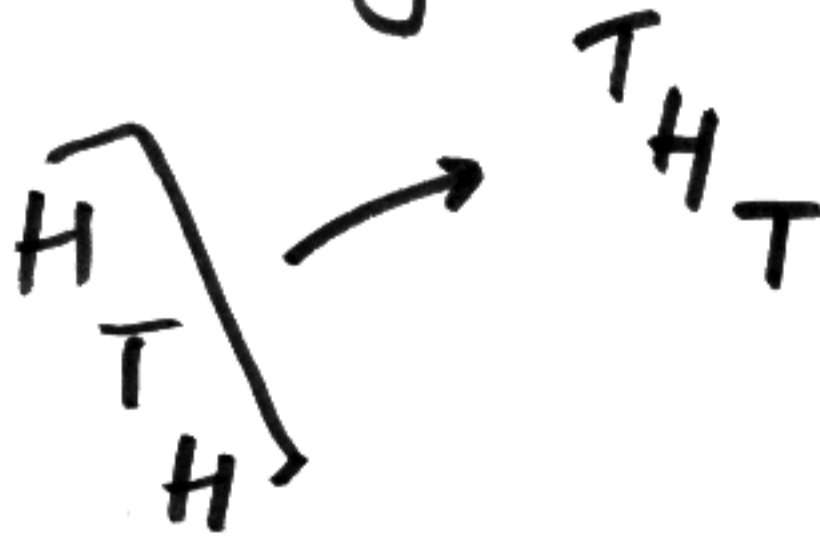
- Discrete space
- function
- Want: minimum value



Pick neighbor

- If smaller value take it
- If not flip coin and take it or not according to result.

Let the computer play 10
the game by simulated
annealing.

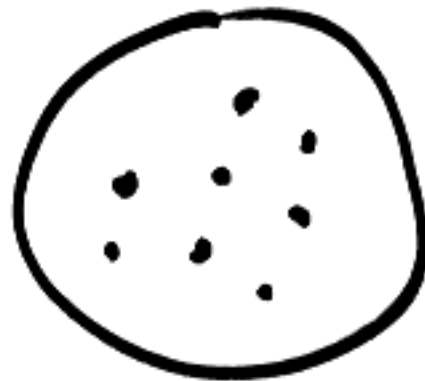


Conway, Berlekamp  ①
Guy.

Winning Ways

Impartial games

NIM



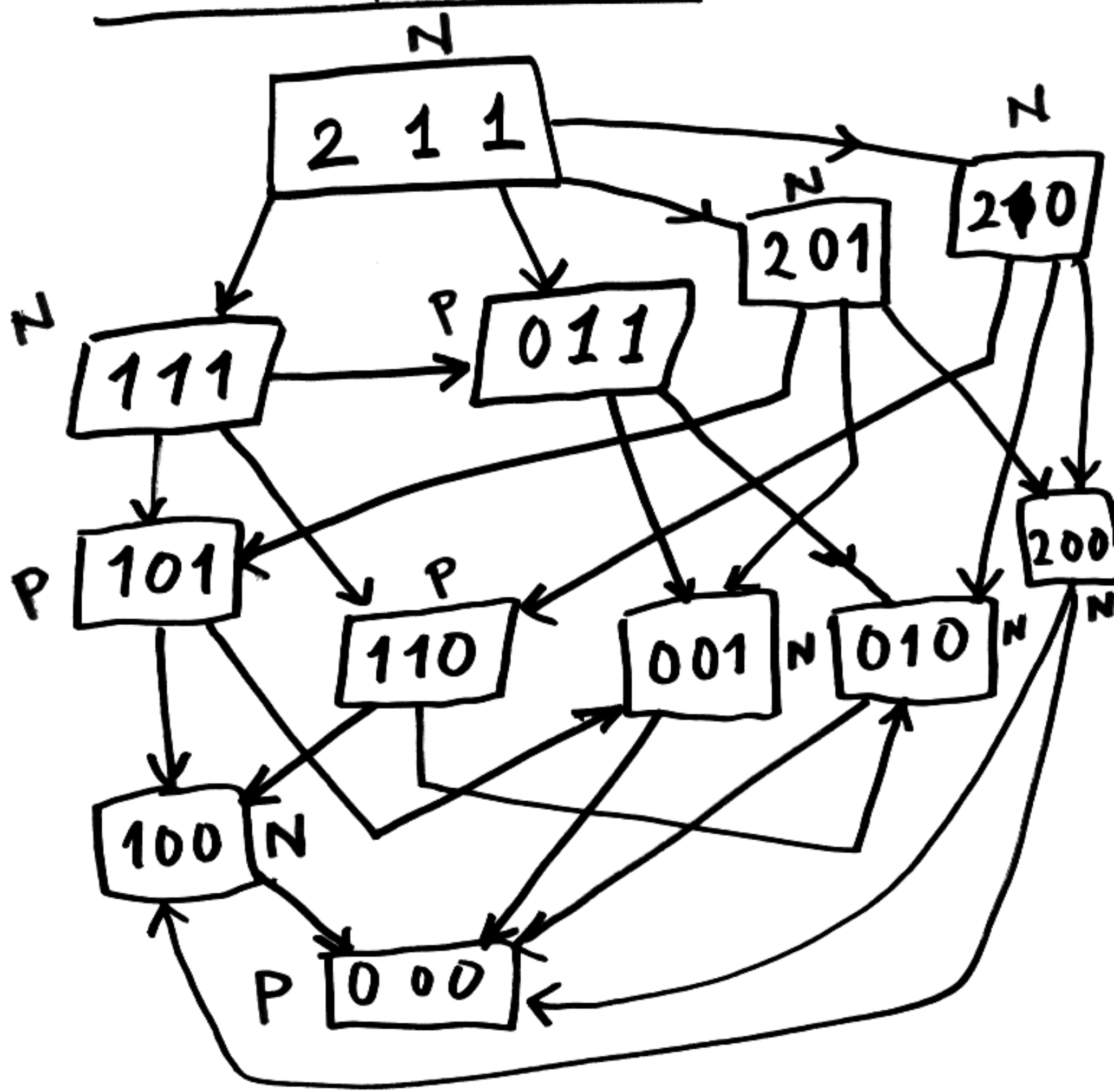
Play: Take away any number
of coins from one pile.

End: If you can't play you
lose

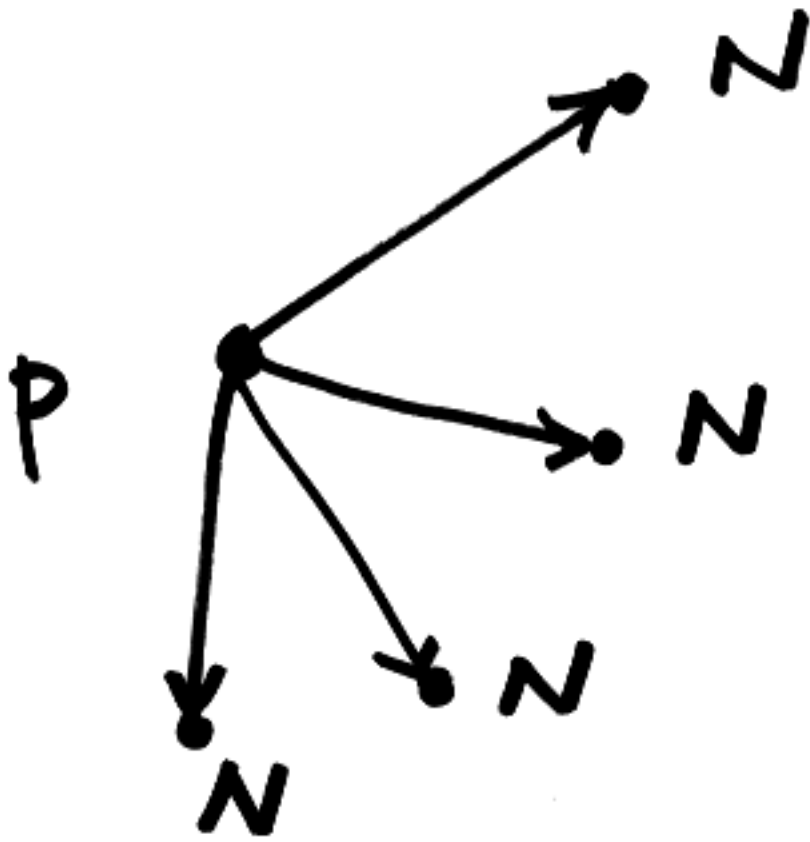
(Normal play)

Complete Analysis & Strategy

P/N - positions

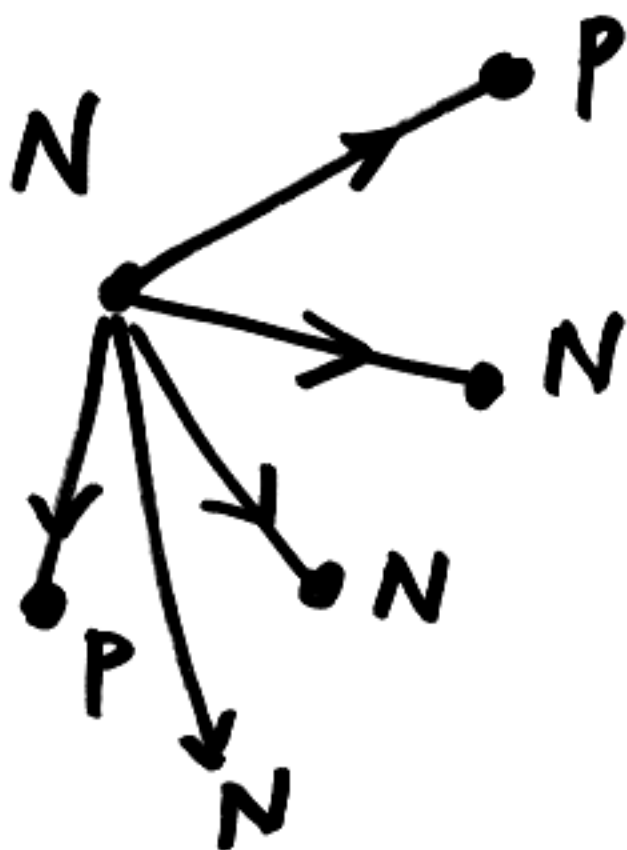


P-position



reach only N

N-position



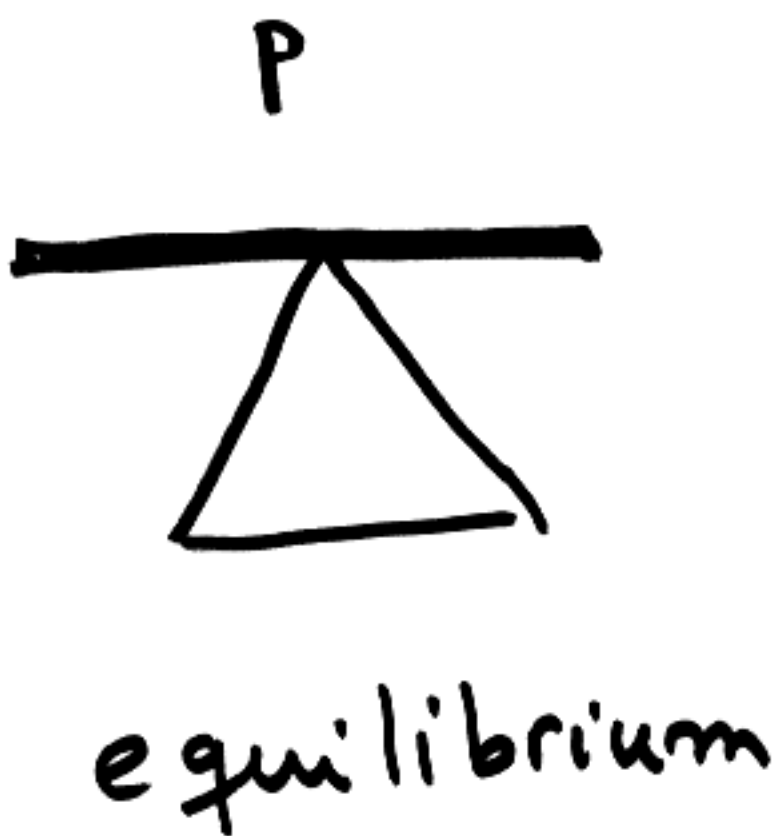
reach at least
one P

Strategy



- Reach P
- Opponent moves to N

Analogy



For any directed graph (w/ no loops) we can define recursively P/N labelling of the vertices.

Ch. Bouton

1901-02

Ann. of Math.

JSTOR

Nim addition

5 * 7 = 2

$$\begin{array}{r}
 101 \\
 111 \\
 \hline
 010
 \end{array}$$

Bouton

1) (n_1, n_2, \dots, n_k)

$$n_i = 0, 1, 2, \dots$$

of objects in i th pile

position is P
if and only if

$$n_1 * n_2 * \dots * n_k = 0$$

$(2, 1, 1) \quad N$

$$\begin{array}{r} 10 \\ 01 \\ 01 \\ \hline 10 \end{array}$$

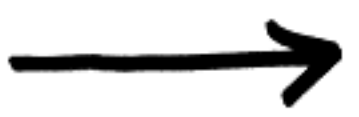
$$n * n = 0$$

2) If we have an N position we can move to P as follows.

E.g. (7, 5, 6)

① 1 1	7
1 0 1	5
1 1 0	6
1 0 0	4

7 * 5 * 6 = 4



change 7 → 0 1 1 = 3

0 1 1
1 0 1
1 1 0
0 0 0

3 * 5 * 6 = 0

8

1	1	1	1	15
0	1	0	1	5
1	1	0	1	13
<hr/>				
0	1	1	1	7

(15, 5, 13)

N



(15, 2, 13)

P

1	1	1	1	15
0	0	1	0	2
1	1	0	1	13
<hr/>				
0	0	0	0	0

$x_1 * \dots * x_k = 0$

Impartial games

9

- Two players, alternate
- same moves
- No chance
- complete information
- no ties / endgames

player unable to move loses

(Normal play)

(opposite

Misère play

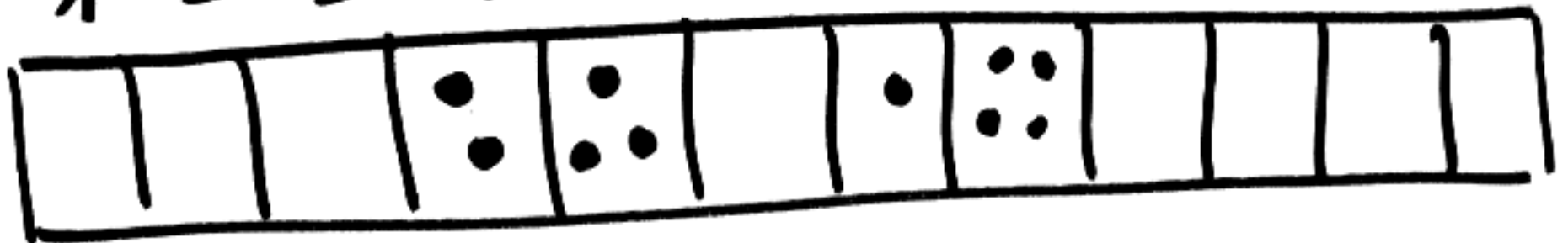
... wins)

THM All impartial games (10)
are isomorphic to NIM.

1) Nimble

k pennies

1 2 3 4 5 6 7 8



Rule: take one penny and
move it to the left.

penny \longleftrightarrow pile

position \longleftrightarrow # of objects
in pile.

n_1, \dots, n_k position of pennies,

$$P \iff n_1 * \dots * n_k = 0$$

2) Turning turtles

H T H H T T H

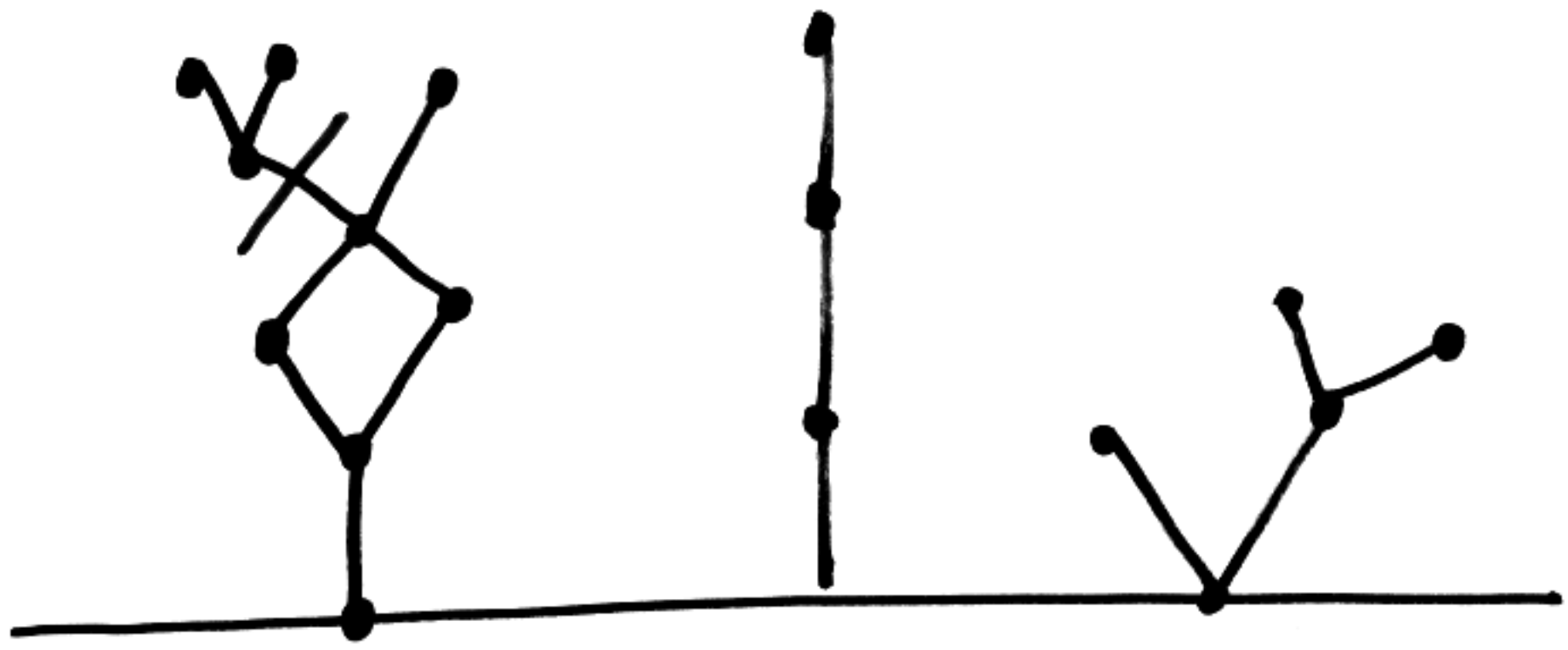
Rule: Turn some $H \rightarrow T$

and if want turn any one
coin to its left $H \leftrightarrow T$

E.g.

$T \rightarrow H$	$H \rightarrow T$
↓	↓
H H H	T T T H

3) Hackenbush



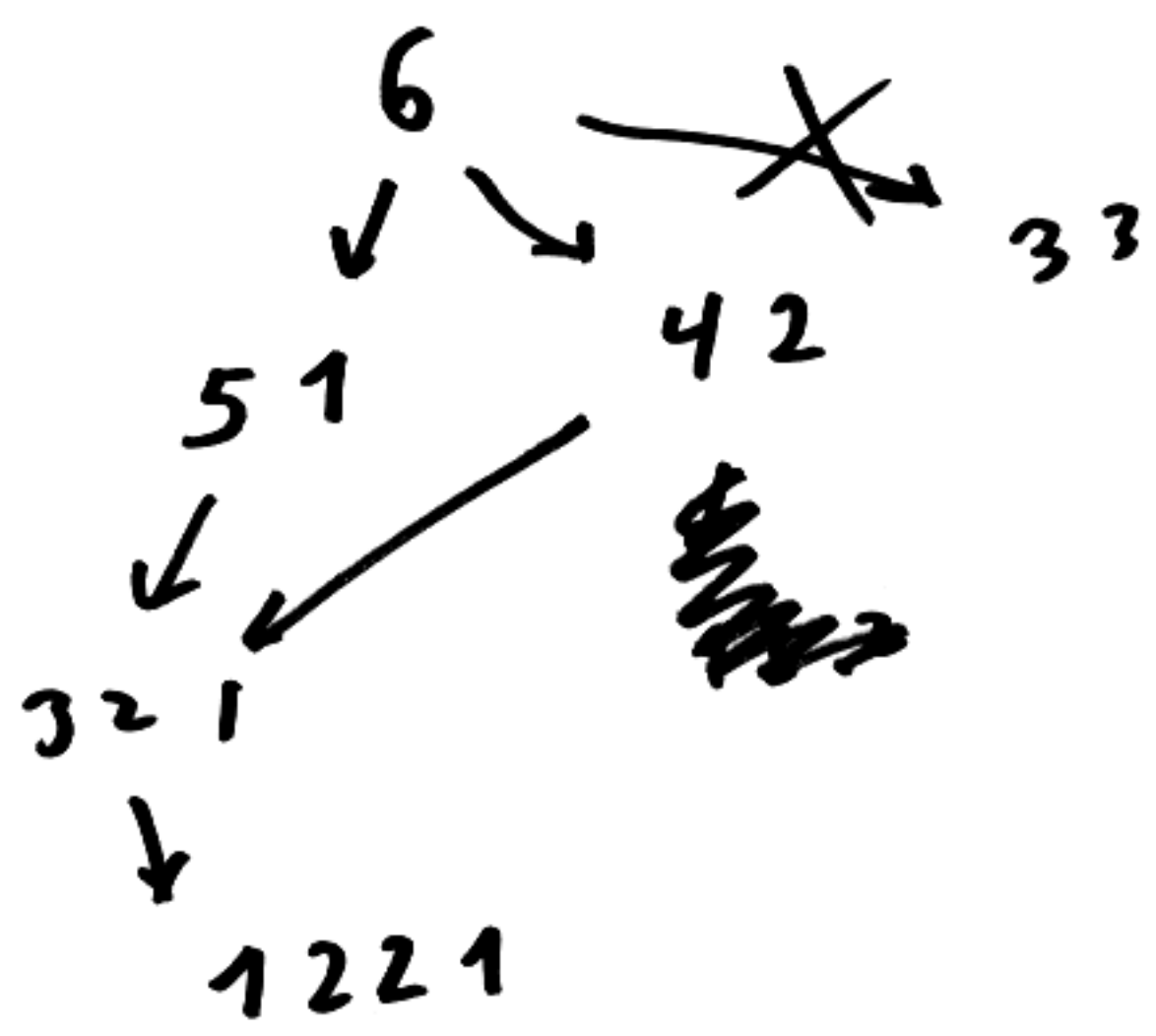
Rule Hack any segment
remove all unrooted pieces



4) Grundy's game

Start pile n things

Rule Take any one pile and divide it ~~into~~ into two unequal piles



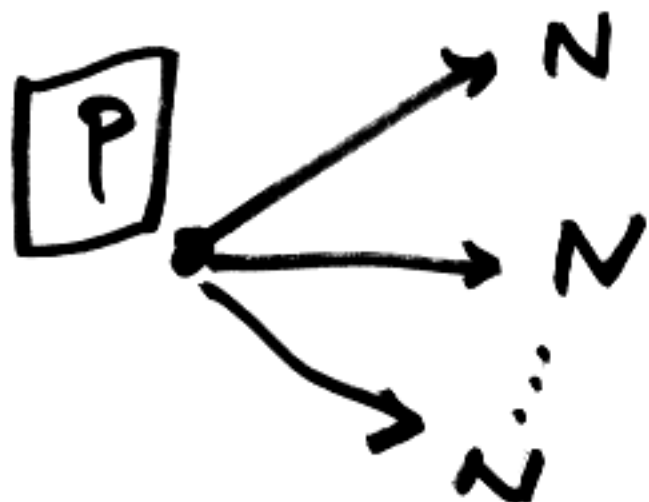
Impartial game

XVI

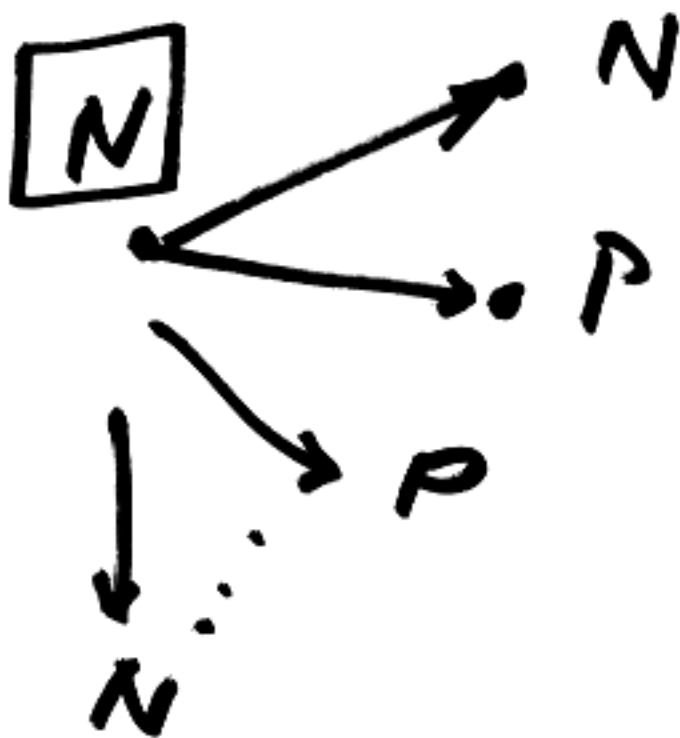
①

Directed graph w/ no loops

Labelling of the vertices



all N



at least
one P

Subtraction games

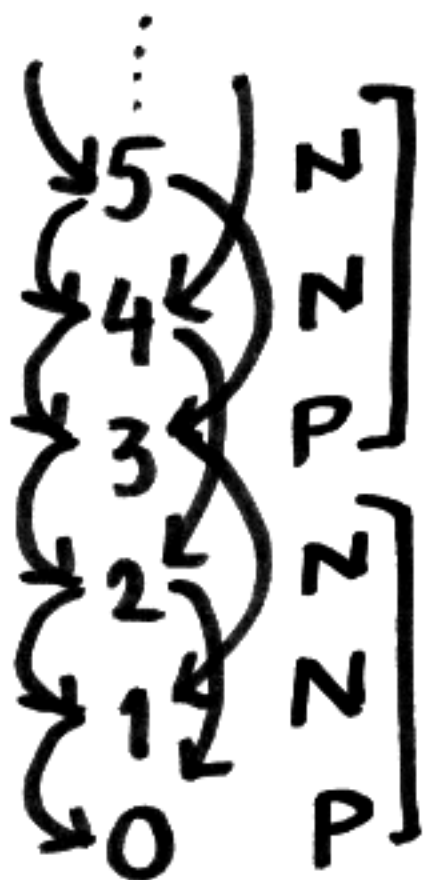
(2)

Start: A pile of n things S

$$S \subseteq \mathbb{N} = \{1, 2, 3, \dots\}$$

Move: Take away s things from the pile where $s \in S$

E.g. $S = \{1, 2\}$



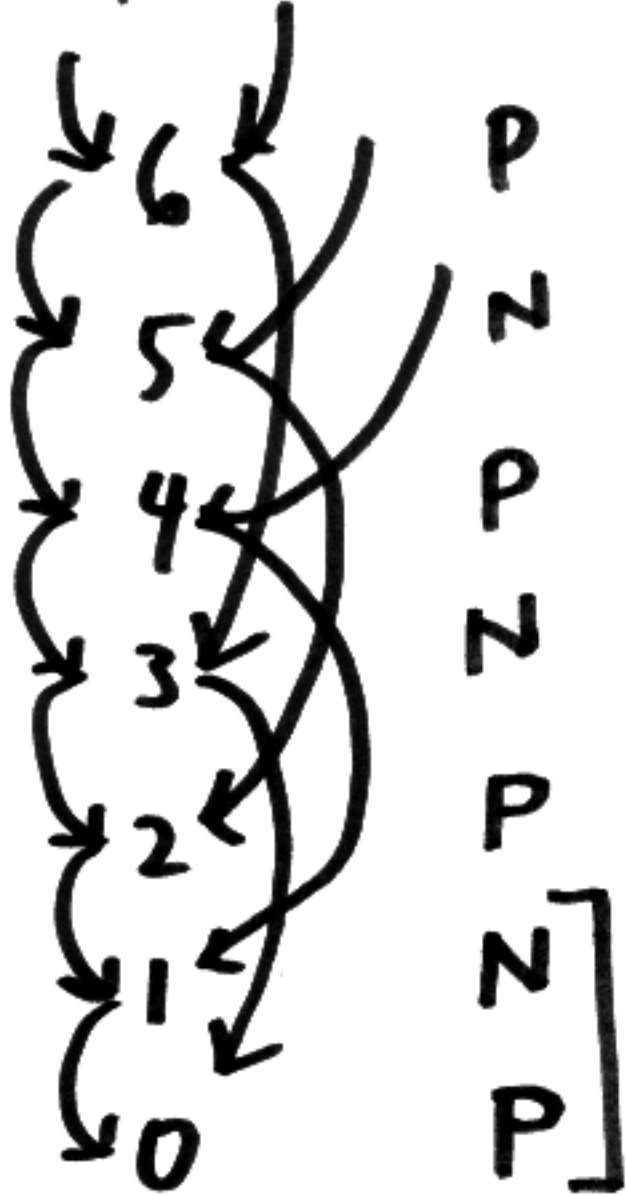
n is P



3 divides n

↖ pattern repeats

$S = \{1, 3\}$



n is P ⇔ 2 | n

Sum of games

(4)

Γ_1, Γ_2 (impartial games)

Define

$$\Gamma = \Gamma_1 * \Gamma_2$$

In Γ a move is

either a move in Γ_1

or a move in Γ_2

E.g. Nim with k -piles

is $\underbrace{\Gamma * \dots * \Gamma}_k$

Γ subtraction game $S = \mathbb{N}$

⑤

Labellings on $\Gamma_1 * \Gamma_2$

are not determined by
the labellings in Γ_1 & Γ_2

For example

Γ_1 subtraction game $S = \{1, 2\}$

Γ_2 " " $S = \{1, 3\}$

$$\Gamma = \Gamma_1 * \Gamma_2$$

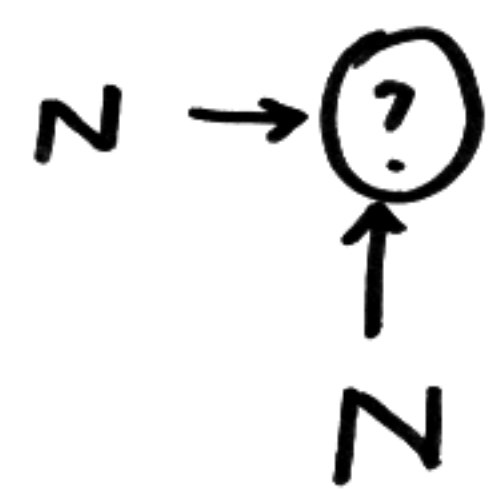
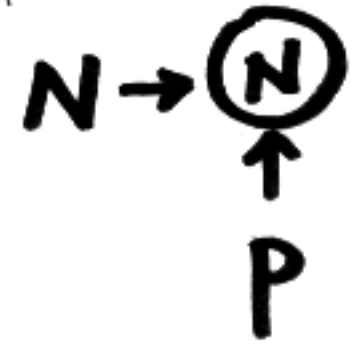
positions in Γ are
pairs of positions (n_1, n_2)

$$n_1 = 0, 1, 2, \dots$$

$$n_2 = 0, 1, 2, \dots$$

Γ_2
{1,3}

7	N								
6	P								
5	N								
4	P	N	N	P					
3	N	P	N	N					
2	P	N	N	P	N				
1	N	P	N	N	P				
0	P	N	N	P	N	N	P		
	0	1	2	3	4	5	6		
	0	1	2	0	1	2	0	1	



{1,2}
 Γ_1

1st row & column do not determine the square.

We need something more elaborate than just labellings. ⑦

Define a numerical value to any position in a game

Nim value, Grundy function

In case of NIM

$$G = m_1 * \dots * m_k$$

↑ ↑ ↑ ↑
number of objects
in the piles

P label $\leftrightarrow G = 0$

N label $\leftrightarrow G \neq 0$

Grundy function

on vertices of Γ

Define recursively

$$G_{\Gamma}(v) := \text{mex} \{ G_{\Gamma}(w) \mid v \rightarrow w \}$$



mex = minimum excludant

$$S \subseteq \{0, 1, 2, 3, \dots\}$$

mex(S) := smallest number NOT in S

Example

9

$$S = \{0, 1, 2, 4, 6, 7, 10, 15\}$$

$$\text{mex}(S) = 3$$

$$\text{mex}(\phi) = 0$$

KEY PROPERTY OF MEX

$$\text{mex}(S) = 0$$



$$0 \notin S$$

$$\Gamma_1 \bullet S = \{1, 2\}$$

⋮

6 P

5 N

4 N

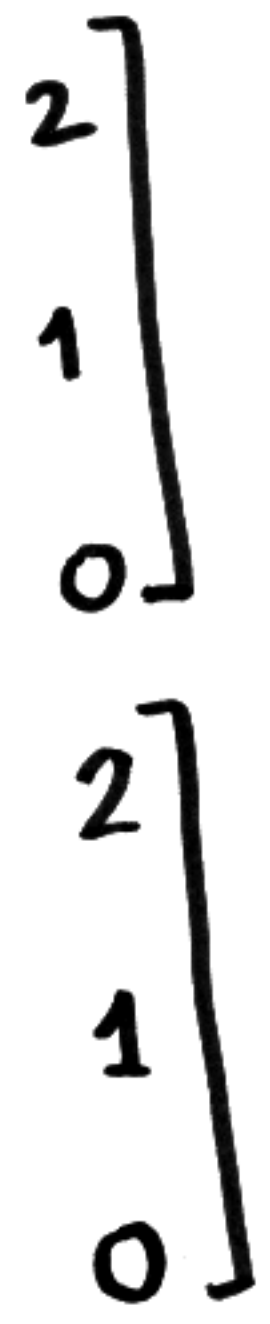
3 P

2 N

1 N

0 P

n



$G_P(n)$

$$G_P(1) = \max\{0\} = 1$$

(11)

$$G_P(2) = \max\{0, 1\} = 2$$

$$G_P(3) = \max\{1, 2\} = 0$$

$$G_P(v) = 0$$

$$\Leftrightarrow \max\{G_P(w) \mid v \mapsto w\} = 0$$

$$\Leftrightarrow 0 \neq G_P(w) \quad v \mapsto w$$

$$P \quad \Leftrightarrow \quad G_P(v) = 0$$



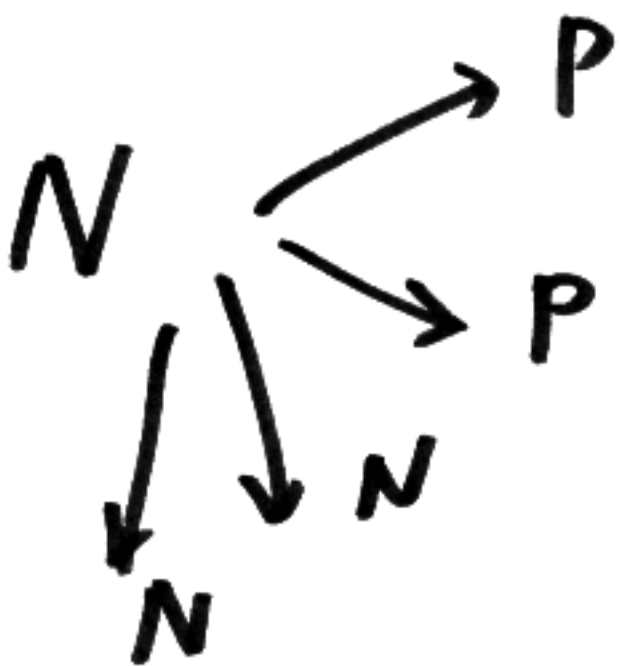
$$G_P(v) > 0 \iff N$$

(12)

$$0 \neq \text{mex} \{ G_P(w) \mid v \mapsto w \}$$



at least one child of
 v has value 0 .



$$S = \{1, 3\}$$

$$\begin{array}{r}
 6 \ 0 \\
 5 \ 1 \\
 4 \ 0 \\
 3 \ 1 \\
 2 \ 0 \\
 1 \ 1 \\
 0 \ 0
 \end{array}
 \left. \vphantom{\begin{array}{r} 6 \\ 5 \\ 4 \\ 3 \\ 2 \\ 1 \\ 0 \end{array}} \right]$$

$$G_{\Gamma_2}(2) = \text{mex} \{ 1 \} = 0$$

$$1 * 2 = 3$$

$$\begin{array}{r}
 0 \ 1 \\
 1 \ 0 \\
 \hline
 1 \ 1
 \end{array}$$

THM (Sprague-Grundy)

(15)

$$\Gamma_1, \Gamma_2, \dots, \Gamma_k$$

$$G_{\Gamma_i} = G_{\Gamma_1} * \dots * G_{\Gamma_k}$$

$$\Gamma = \Gamma_1 * \dots * \Gamma_k$$

(vast generalization of
Bouton)

For Nim

1 ~~column~~ pile

$$G(n) = n$$

k columns n_1, n_2, \dots, n_k

$$G = n_1 * \dots * n_k$$

We may think of

$$G_{\Gamma_1}(v_1) = m_1$$

as the size of a virtual pile in Nim

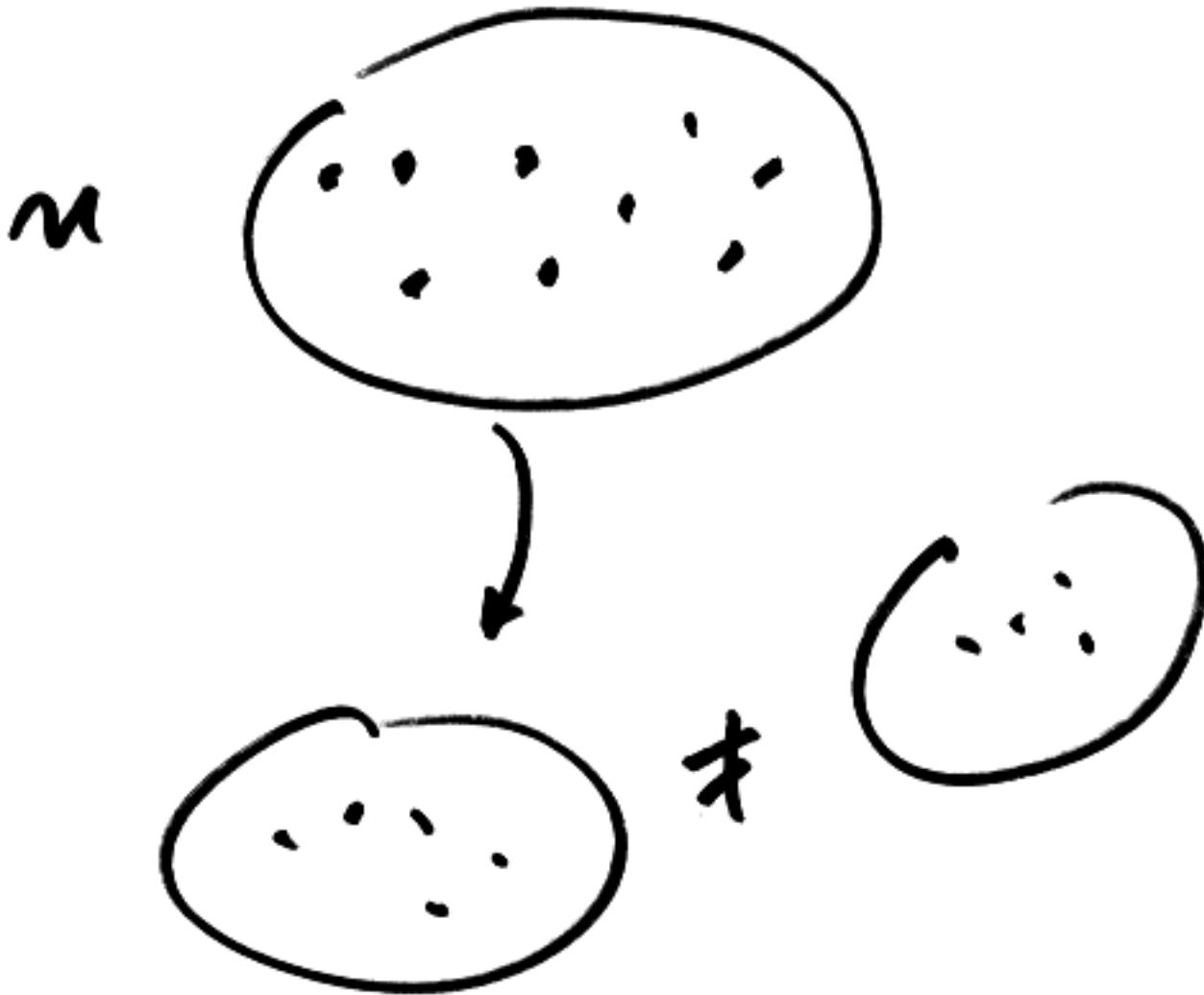
v_1, v_2, \dots, v_k in each

game $\Gamma_1, \dots, \Gamma_k$

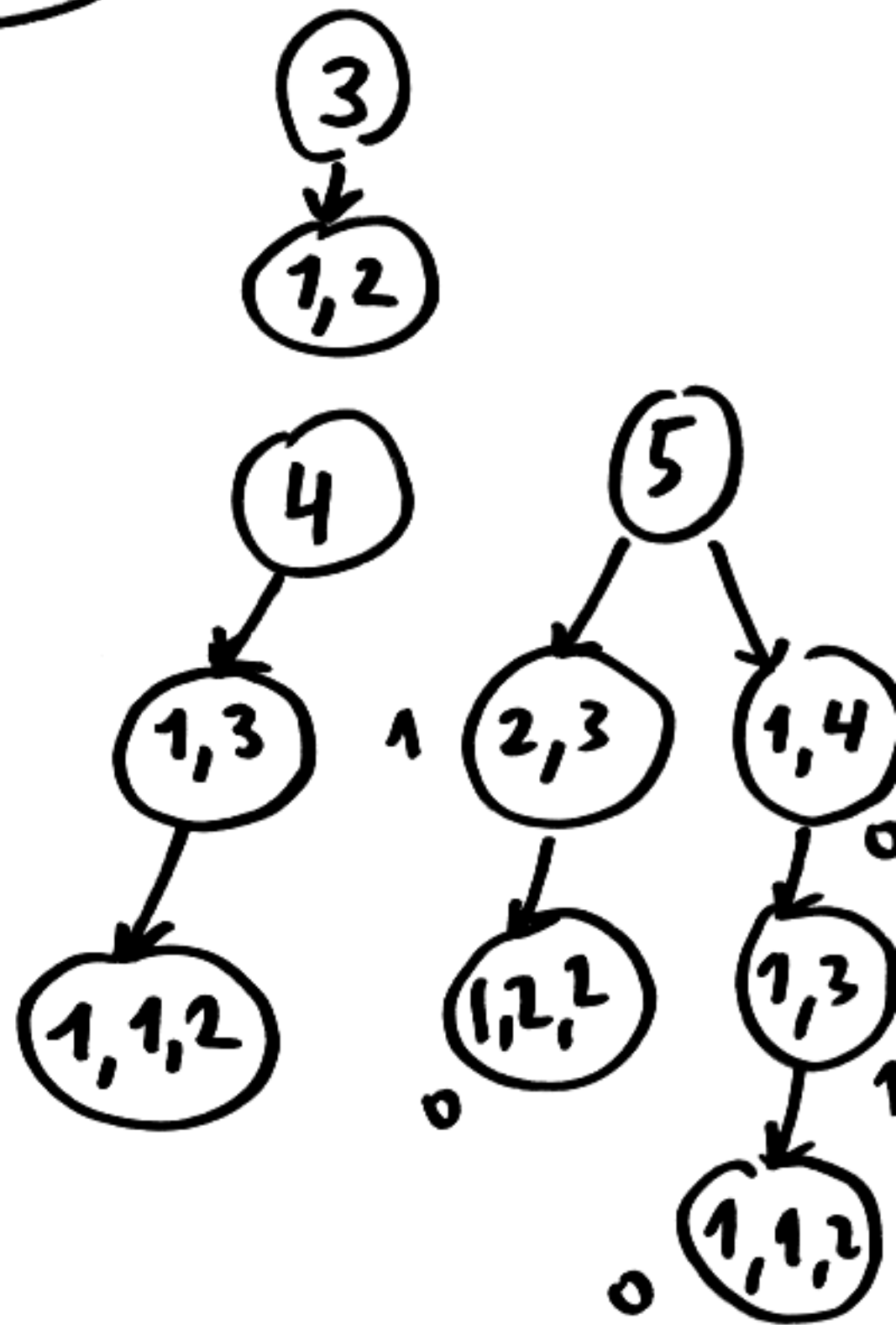
$$G_{\Gamma_2}(v_2) = m_2 \dots$$

$$G_{\Gamma}(v) = m_1 * \dots * m_k$$

Grundy game



n	$G(n)$
1	0
2	0
3	1
4	0
5	2



Turning Turtles

①

1 2 3 4 5 6 7 8 9 10
T H T T H T H T T T ...

Rule Turn at most two coins. (H \rightarrow T rightmost)

Positions (n_1, n_2, \dots, n_k)

Positions of the H's.

If I play

4	7
T	H
↓	↓
H	T

replaced the 7 \rightarrow 4

$(2, 5, 7) \rightarrow (2, 5, 4)$

If I play

2
↓
T

7
↓
T

$$(2, 5, 7) \mapsto (2, 5, 2) \textcircled{2}$$



$$G(n) = \max \{ G(0), G(1), \dots, G(n-1) \}$$

$$G(0) = 0$$

$$G(n) = n$$

Mock Turtles

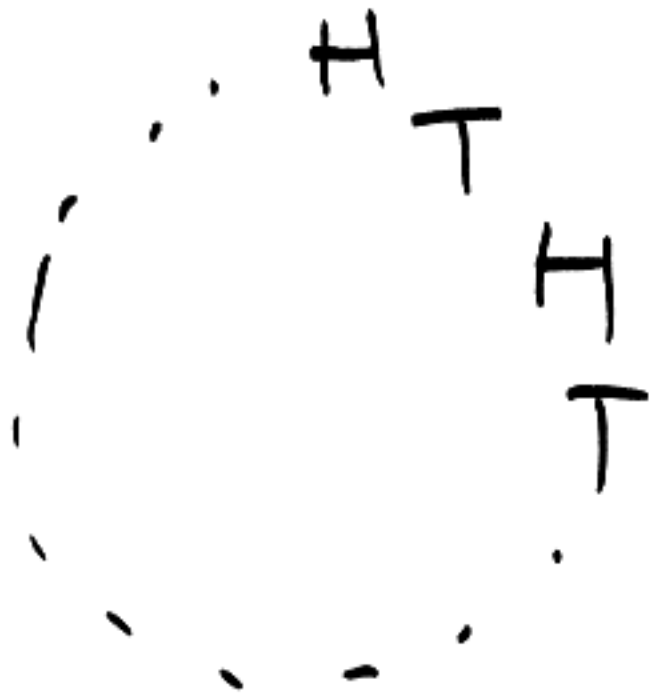
Turn up to 3 coins
(right most $H \rightarrow T$)

positions (n_1, n_2, \dots, n_k)

$n_i = \text{square with an } H$

Blot

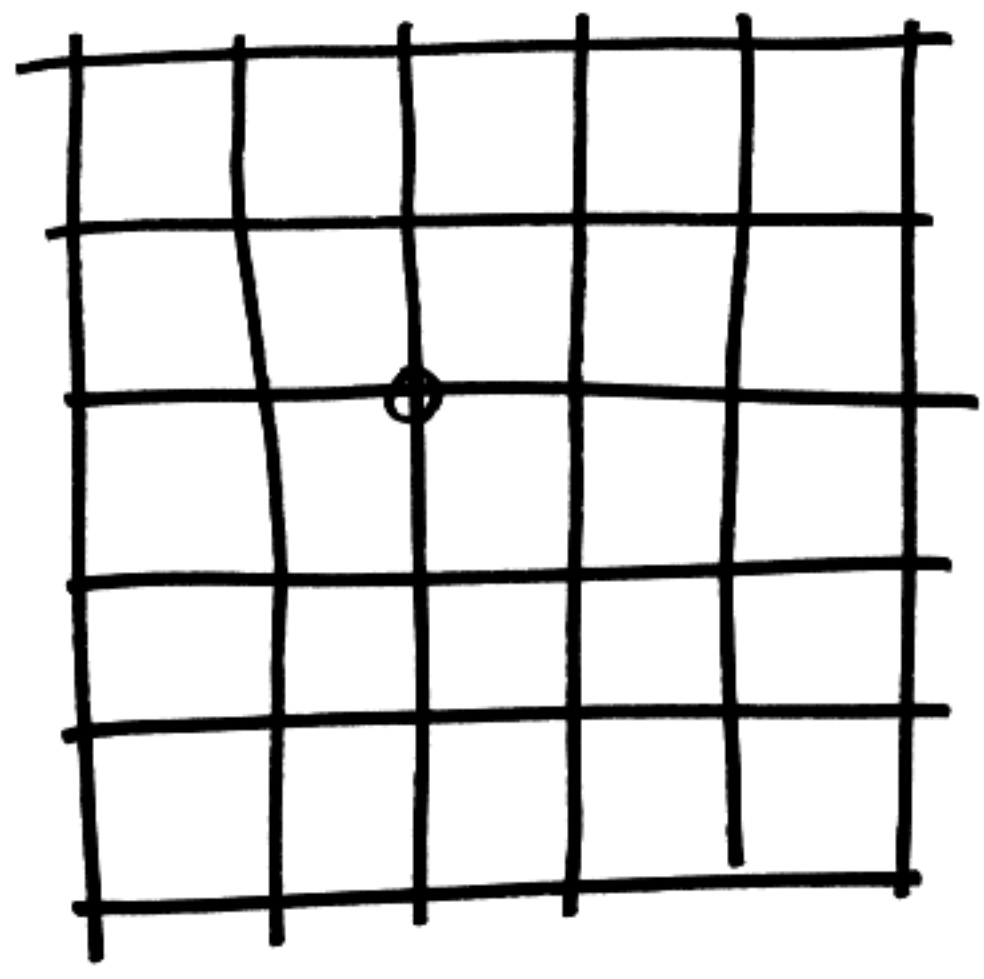
①



Rule: $\boxed{HTH} \leftrightarrow \boxed{THT}$

Goal: Minimum # of T's
(maximum # of H's)

Moves available depend on
the state puzzle.



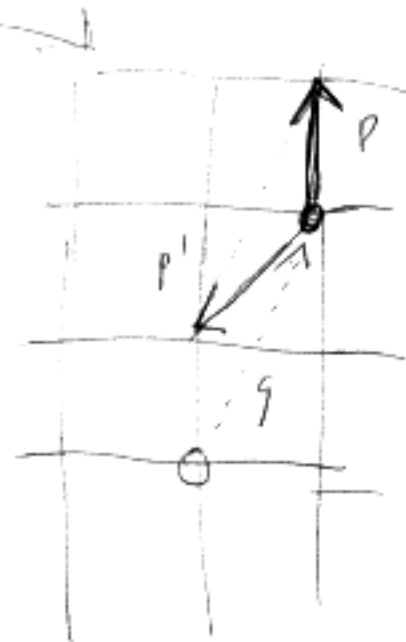
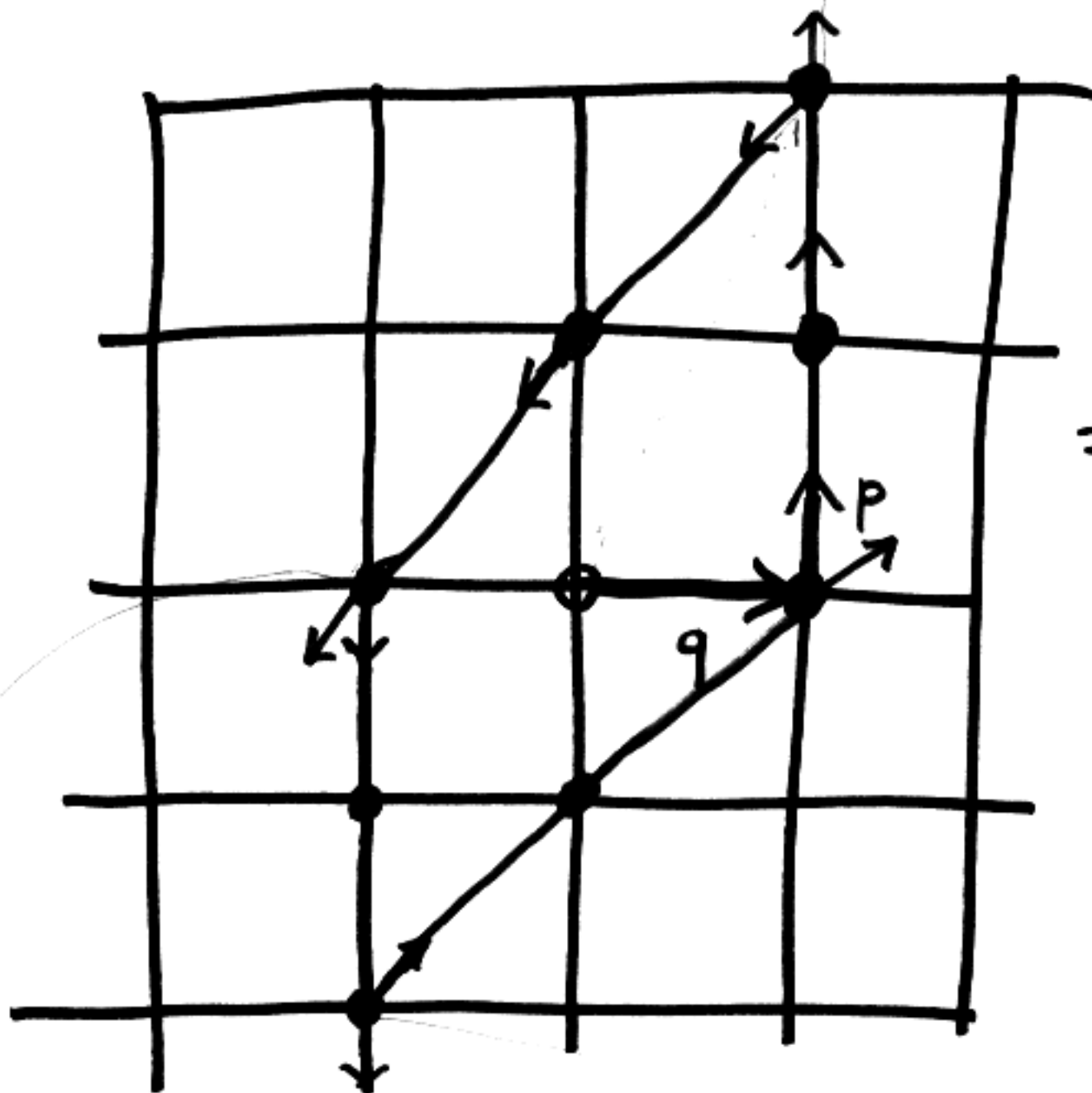
$q = \text{position}$
 $p = \text{momentum}$

Associate a path in this lattice to any sequence of H's & T's.

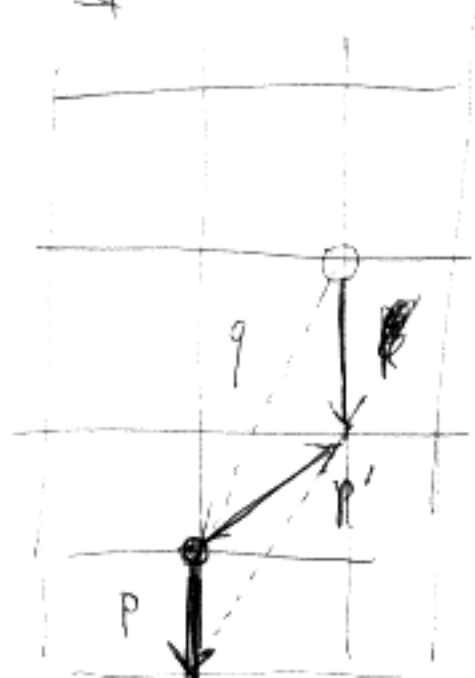
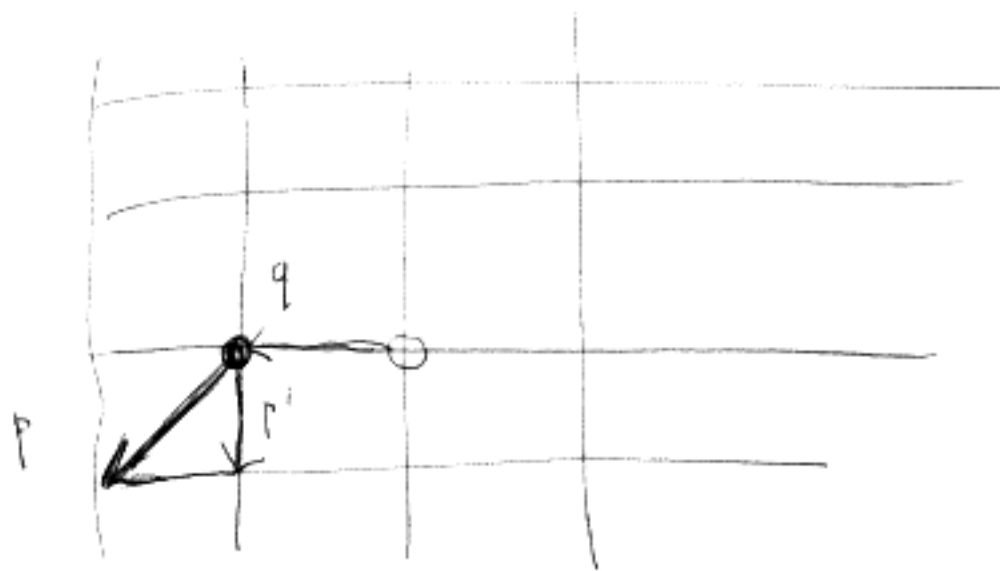
$$\begin{aligned}
 H : & \left\{ \begin{array}{l} q \mapsto q + p \\ p \mapsto p \end{array} \right. \\
 T : & \left\{ \begin{array}{l} q \mapsto q \\ p \mapsto p - q \end{array} \right.
 \end{aligned}$$

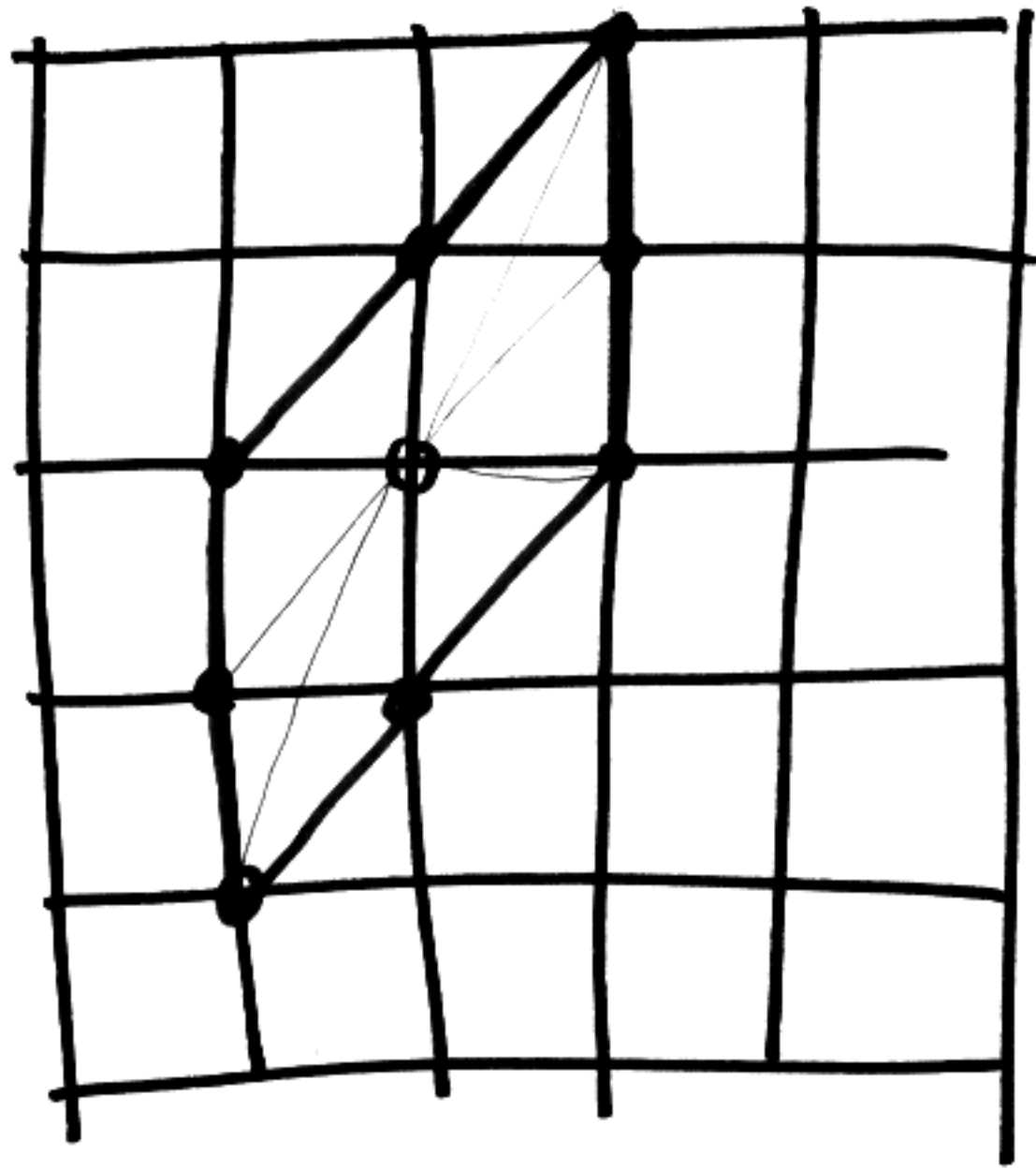
3

T H T H H T H H T H H

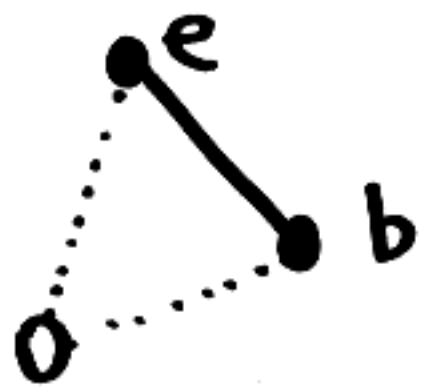


grid points on this path = 8





Paths that we obtain ~~by~~ from a sequence of H's & T's can be described as follows:

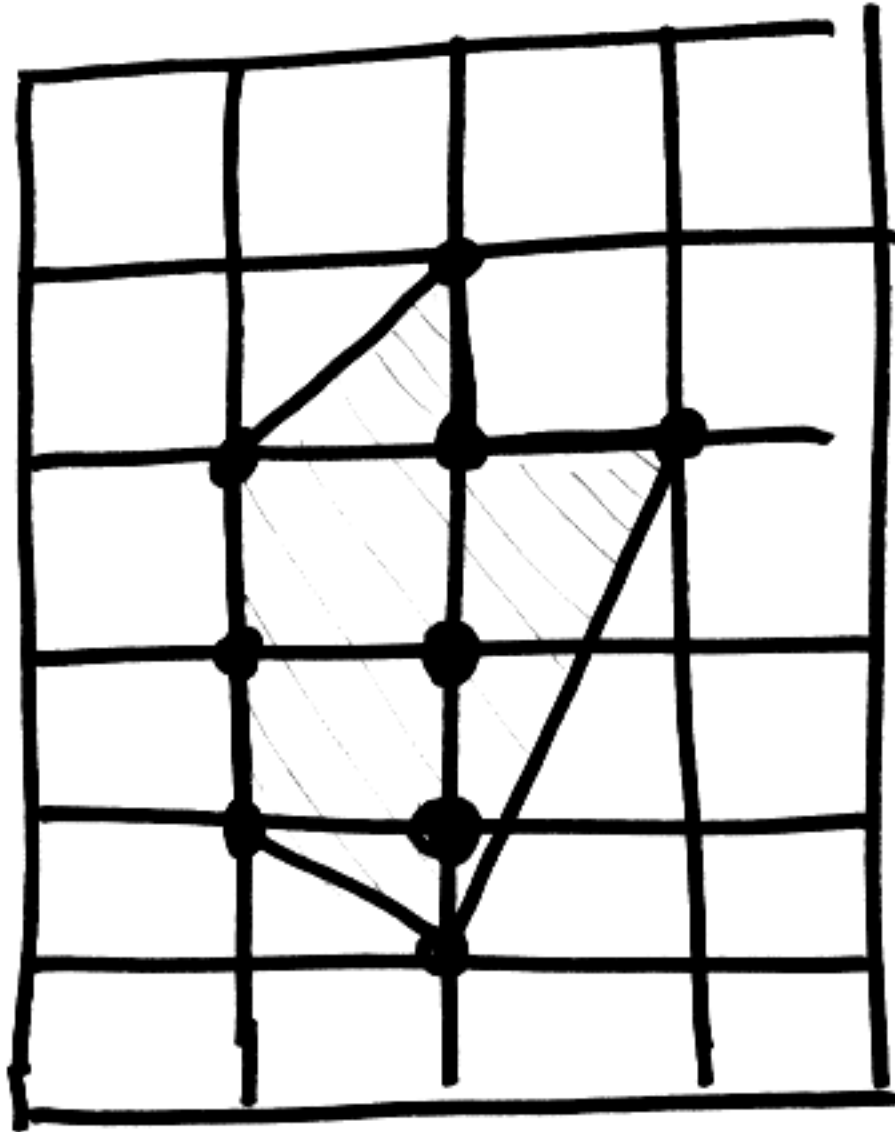


This triangle should contain only o, e, b as grid points

Equiv. Area of the triangle = $\frac{1}{2}$

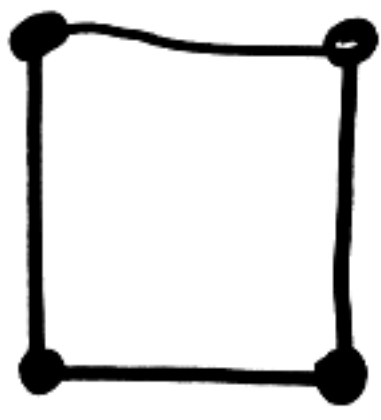
Pick's theorem

(5)



$$\begin{aligned} \text{Area} &= \# \text{ interior pts} \\ &+ \frac{1}{2} \# \text{ boundary points} \\ &- 1 \end{aligned}$$

$$A = 2 + \frac{1}{2} 7 - 1 = \frac{9}{2}$$



$$A = 1$$

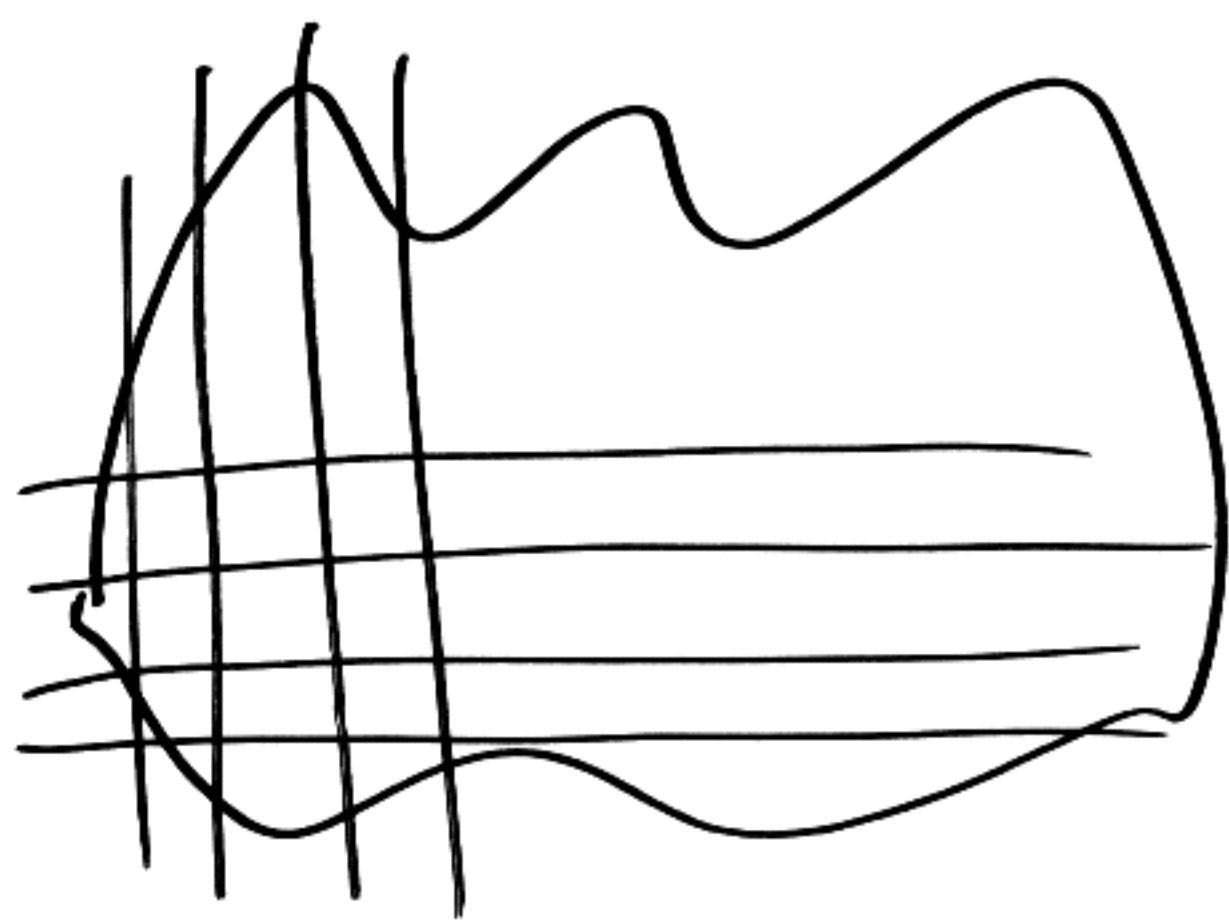
$$0 + \frac{1}{2} \cdot 4 - 1 = 1$$



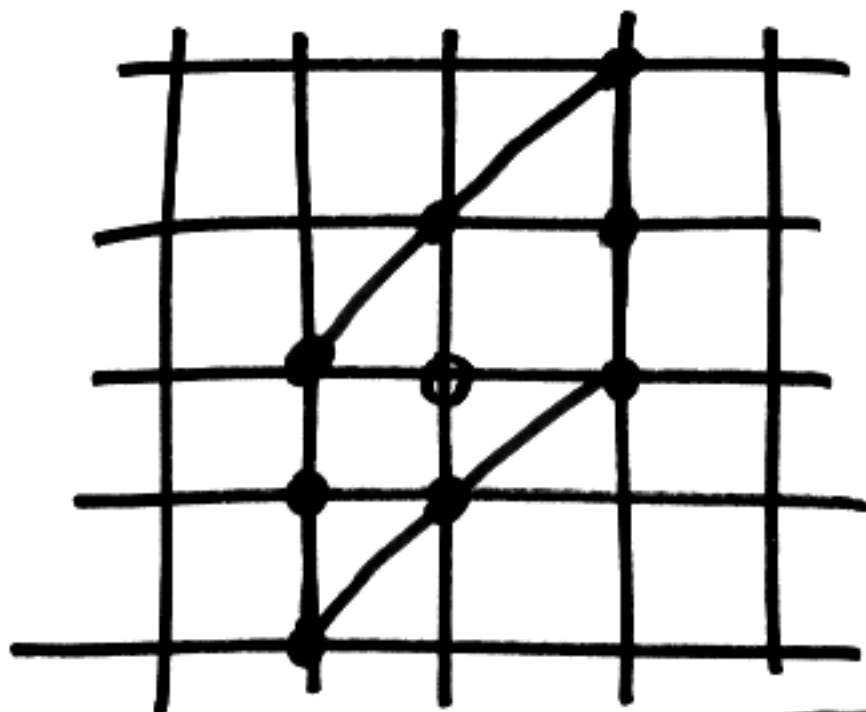
$$A = \frac{1}{2}$$

$$0 + \frac{3}{2} - 1 = \frac{1}{2}$$

⋮



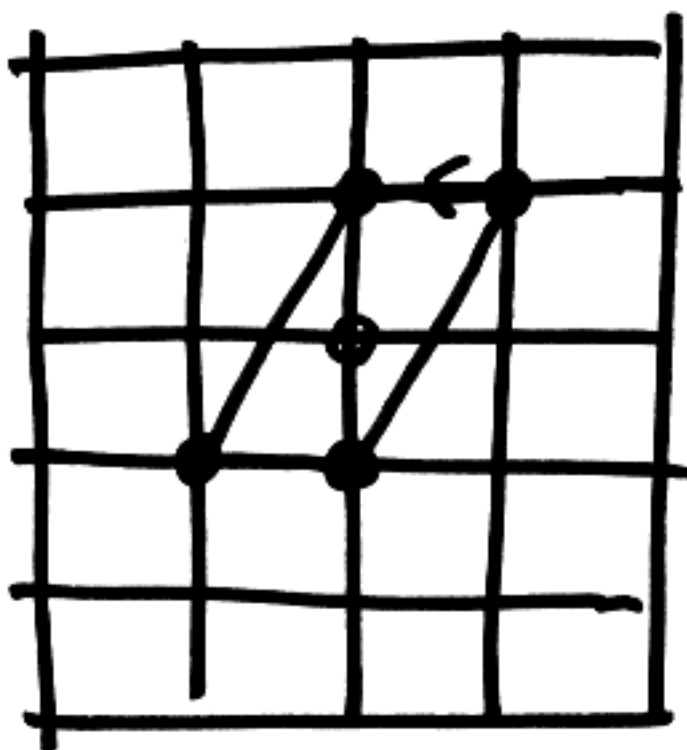
dots
q's



8

..... THH

dots
p's



4

$$8 + 4 = 12$$

TTT

THH THH THH THH

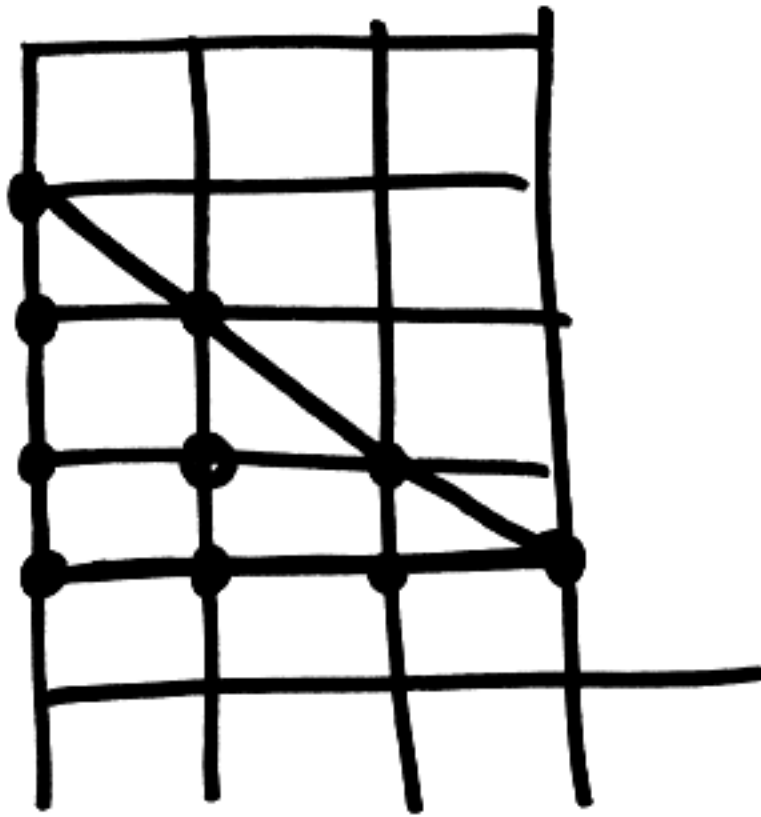
In original path \mathcal{L}

of boundary points is
= # H's in the sequence

In dual path $\hat{\mathcal{L}}$

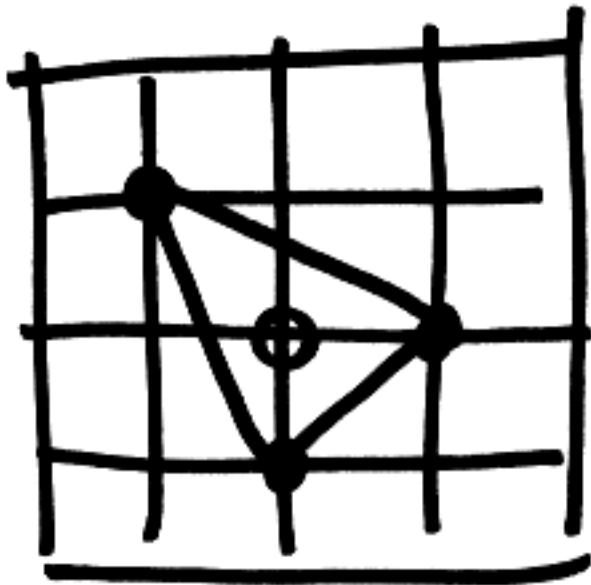
boundary points is
= # T's in the sequence

2



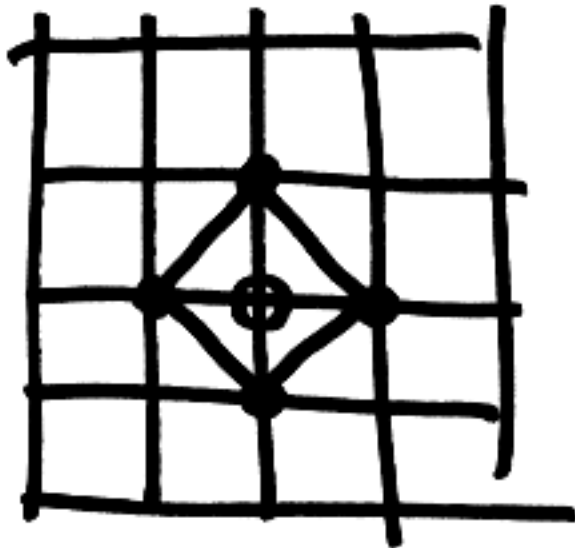
9 pts

2



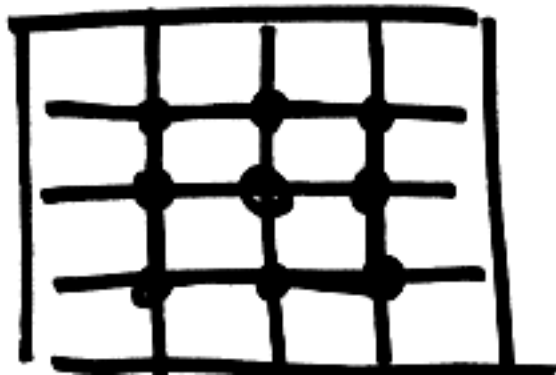
3 pts

2



4 pts

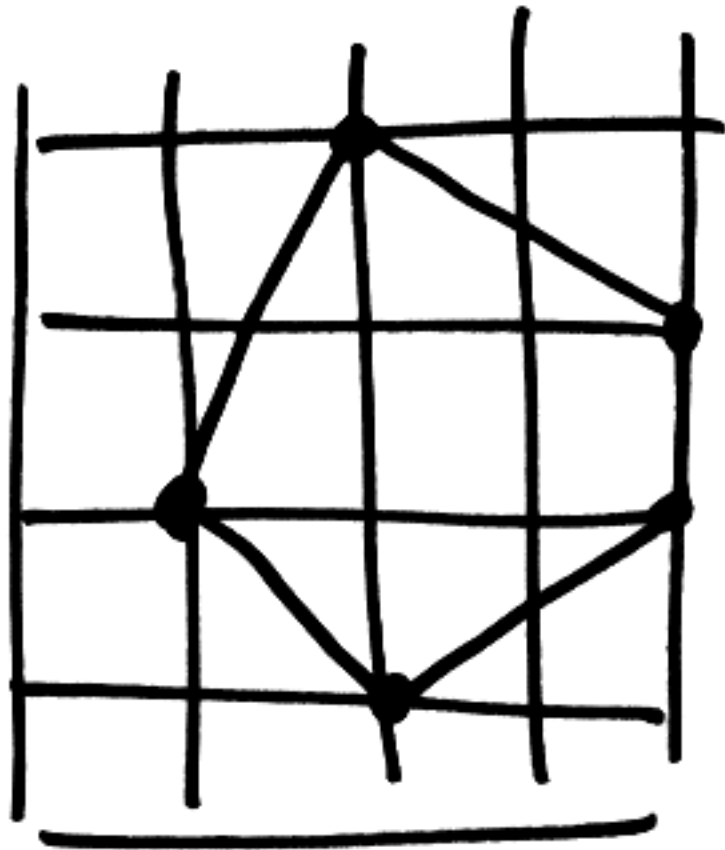
2



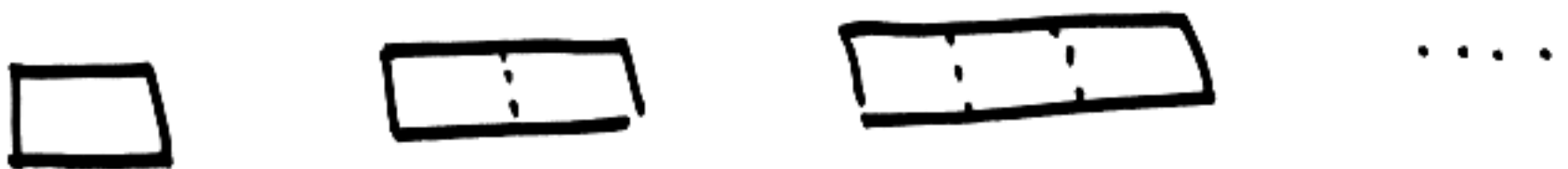
8 pts

Theorem (Scott).

10

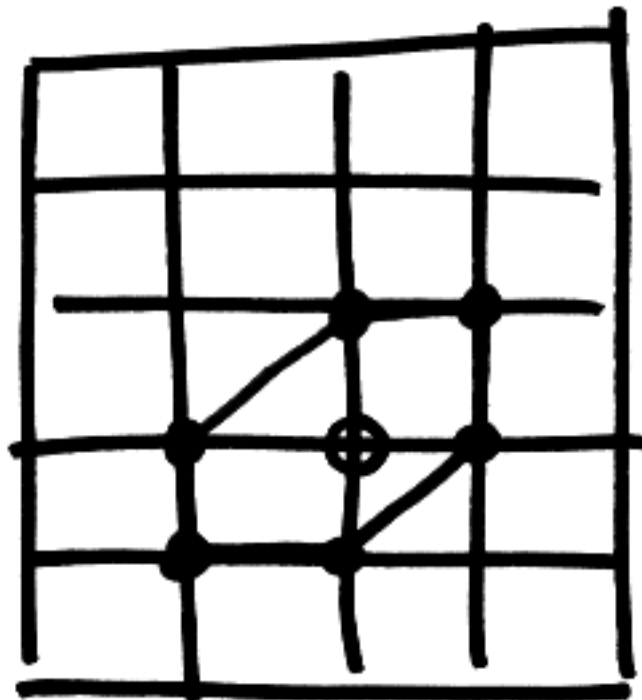


Consider convex polygons with R interior points $R > 0$. Then up to change of basis there are only finitely many.



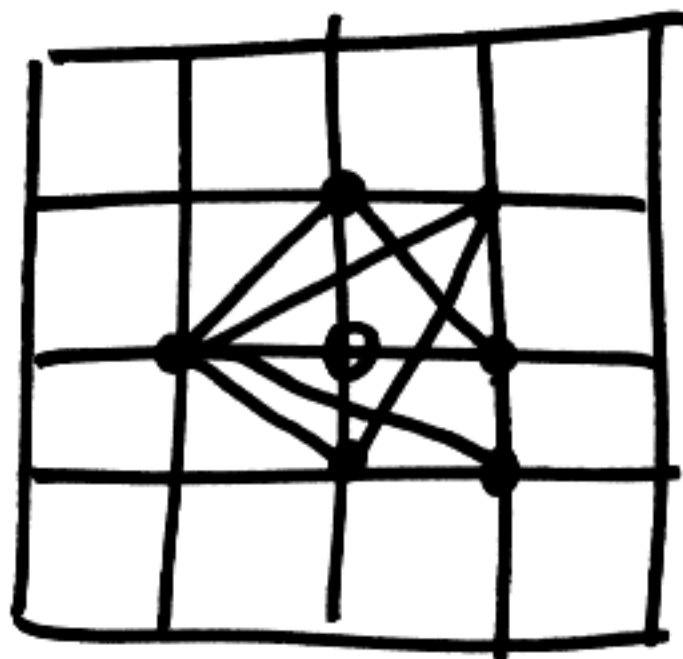
Sequence of H's, T's

→ path



T H
T H

E.g.
total 24



winding
number
of path
= 2

H's = # of boundary pts

THM

$$l_H = \# \text{ H's}$$

$$l = \# \text{ H's \& T's}$$

(total length)

$$\frac{1}{6} < \frac{l_H}{l} < \frac{5}{6}$$

→ gives an upper bound
for how many H's we
can possibly have.

$$l_H < \frac{5}{6} l$$

E.g. $l = 12 \implies l_H < 10$
 $l = 24 \implies l_H < 20$

(13)

In fact: this upper bound is achieved

Hence ~~we~~ gives the largest number of H's that we can achieve.

on the web $l = 28$

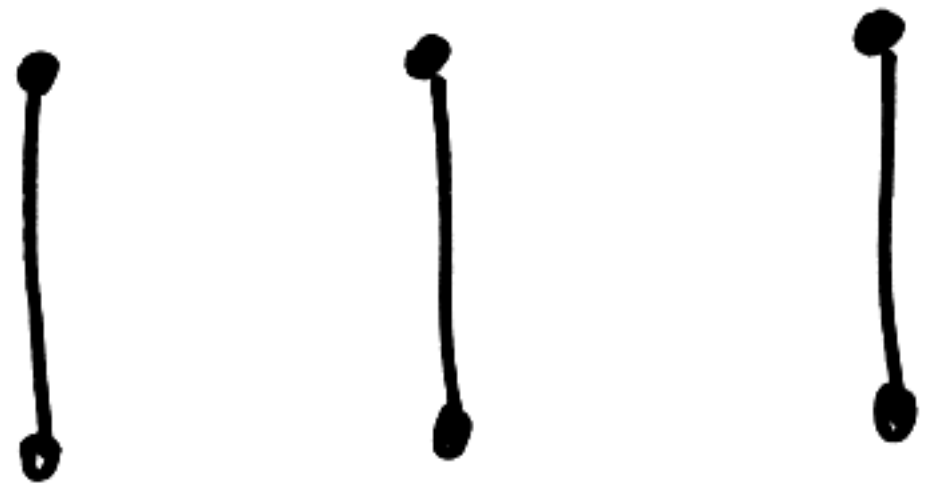
$$f_H < \frac{5}{6} \cdot 28 = ?$$

$$* f_H \leq 23$$

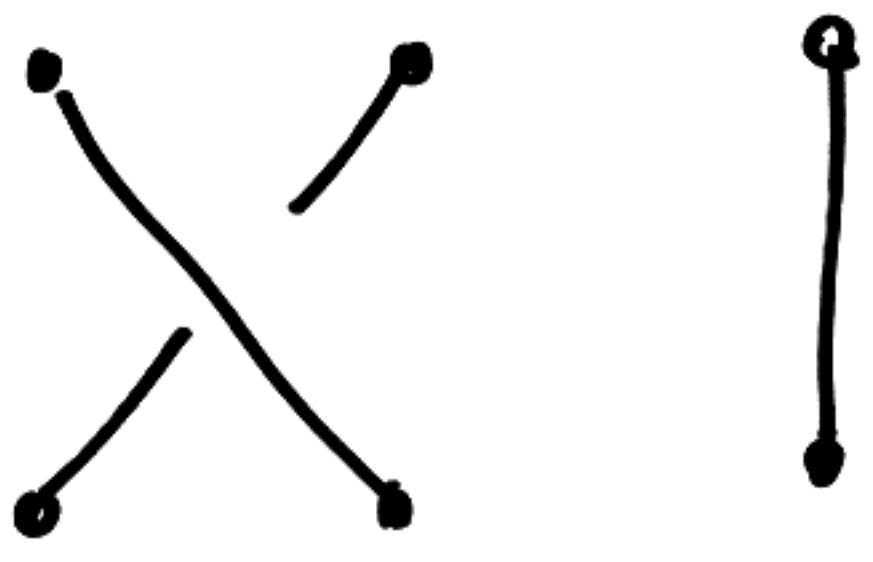
greedy algorithm will only get 21 H's.

H, T

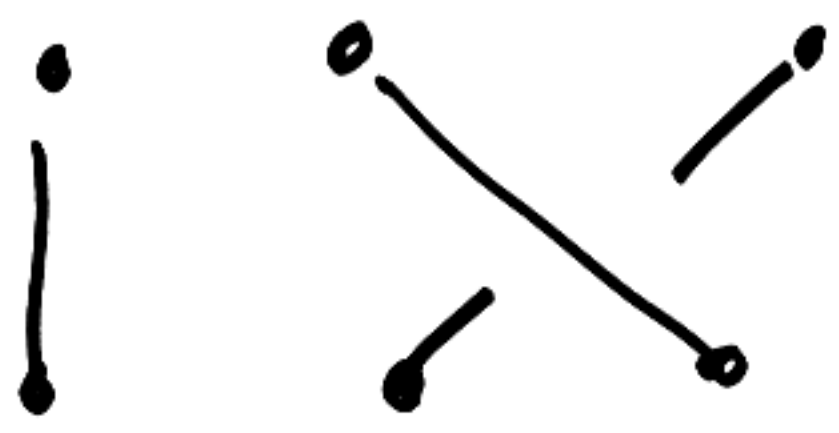
Braid group



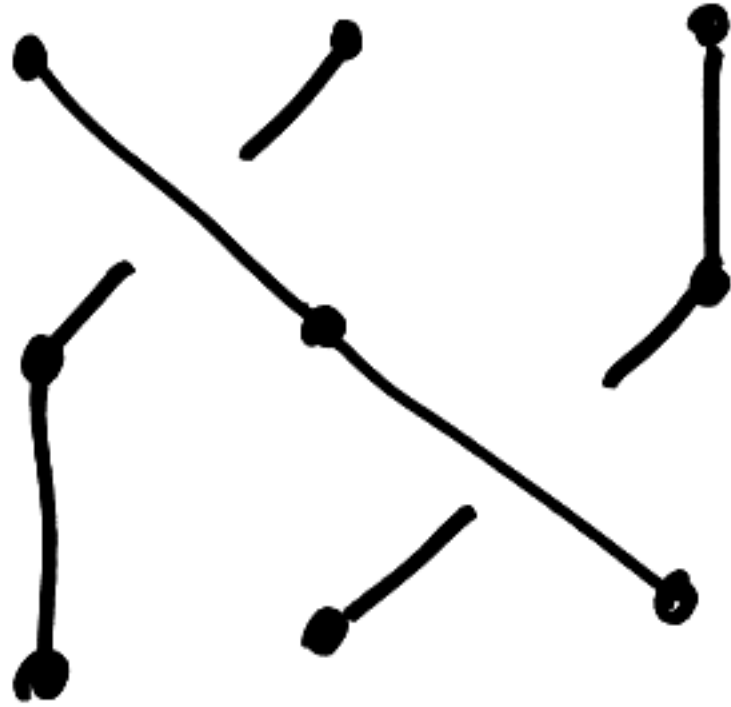
H



T



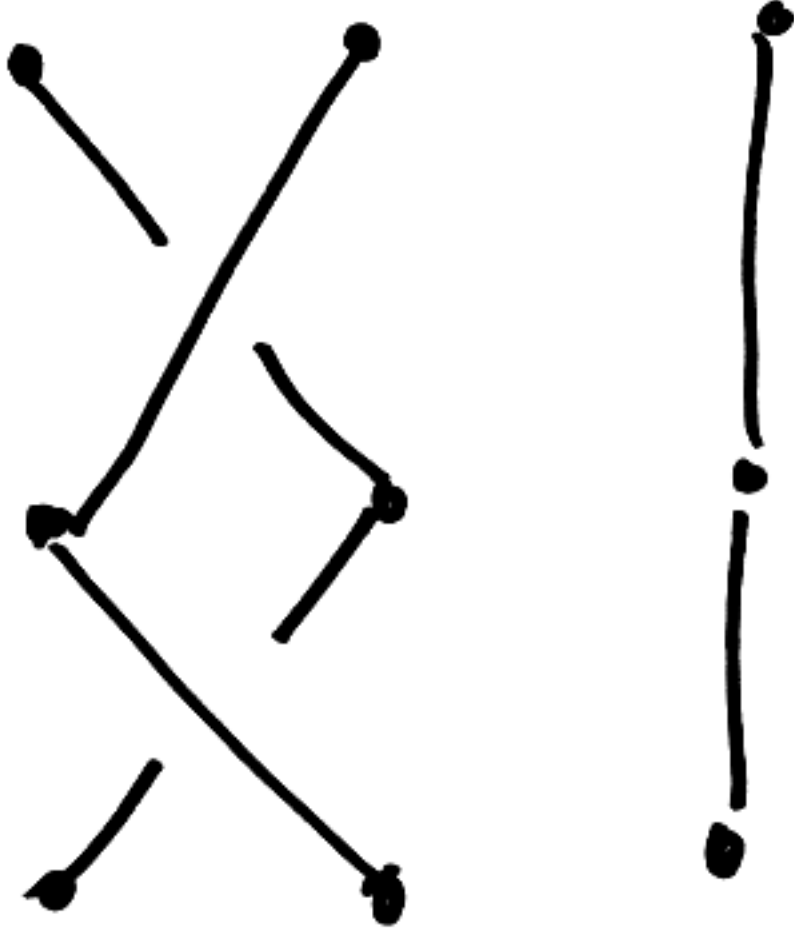
T H



H⁻¹

H⁻¹

H



$$HTH = THH$$

Blet

①

H T H
T H T
H T H
T H T

Rule: H T H \longleftrightarrow T H T

Goal: Maximize the number of H's.
(Minimize # of T's)

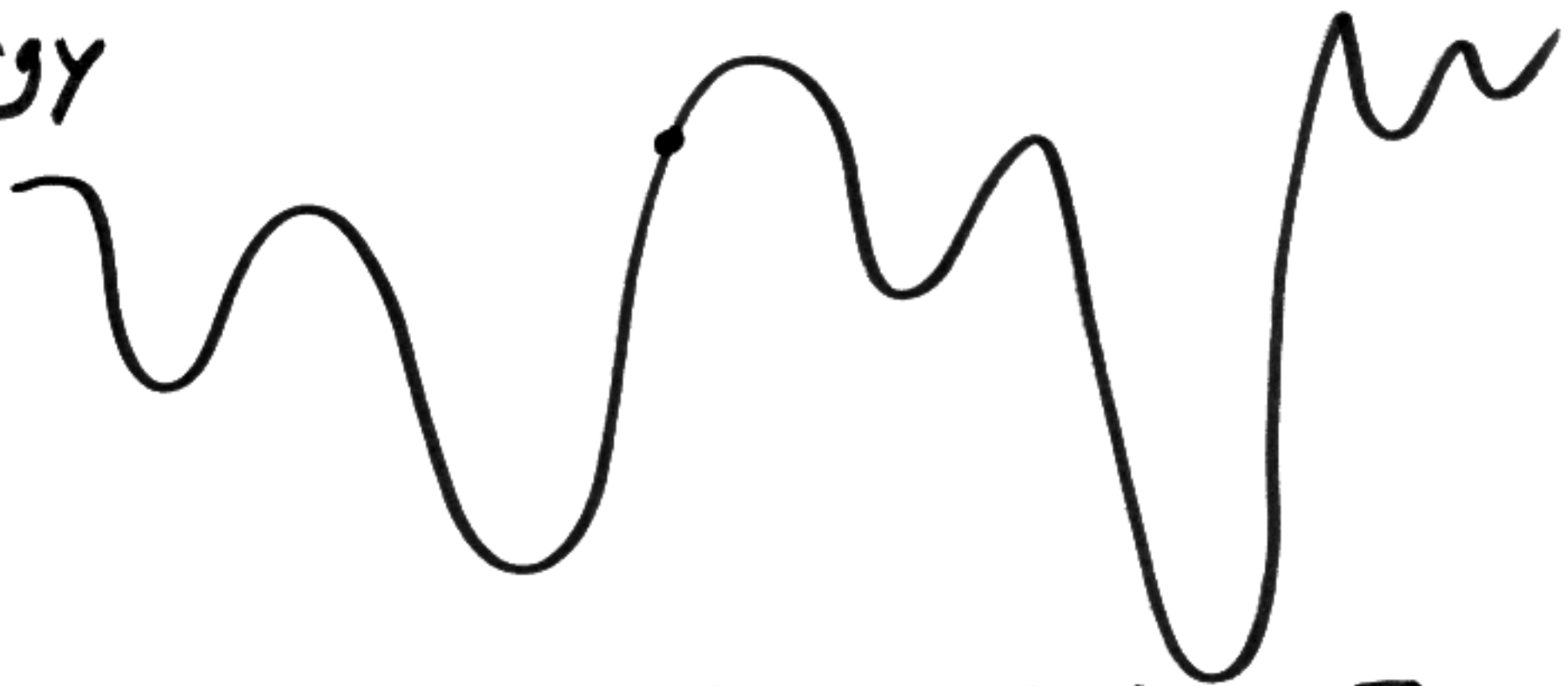
(F. Voloch , L. Sadun) (2)

Simulated annealing.

N. Metropolis algorithm
travelling sales man pblm.

Minimize a function on
a discrete ^{huge} space.

Energy



start at random state E
Pick a neighbor state E'

If $E' < E$

then move to that state

If $E' > E$ then

compute

$$- (E' - E) / kT$$

$$p = e$$

$k =$ Boltzmann

$T =$ temperature

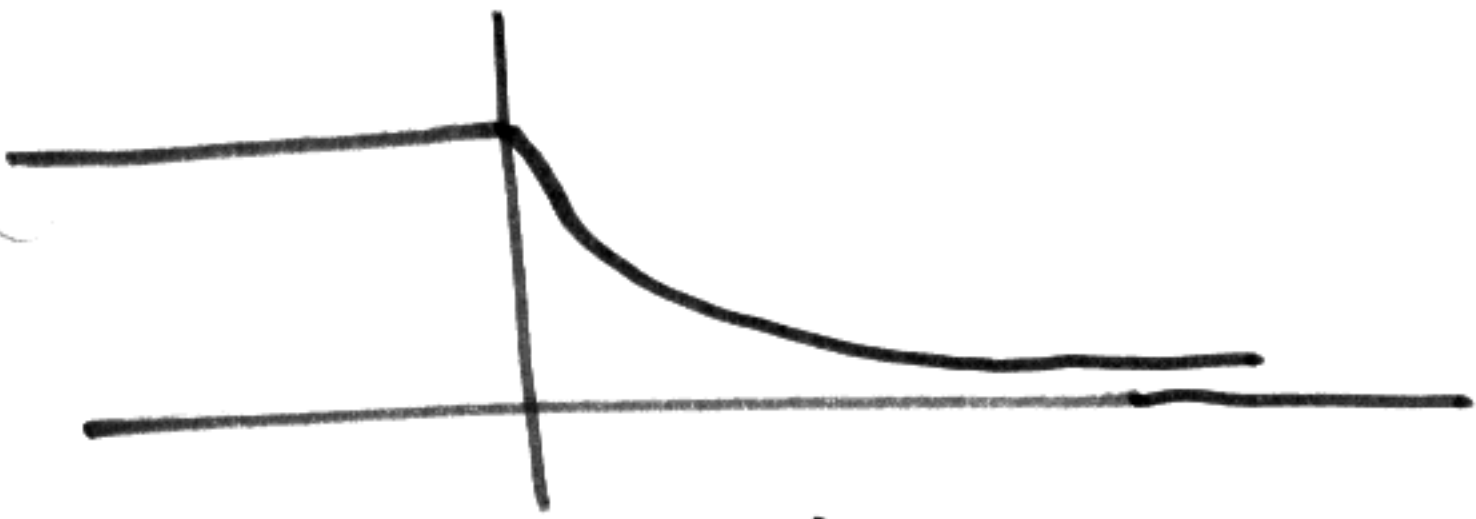
compare with a random

sample $[0, 1]$

$$0 \leq q \leq 1$$

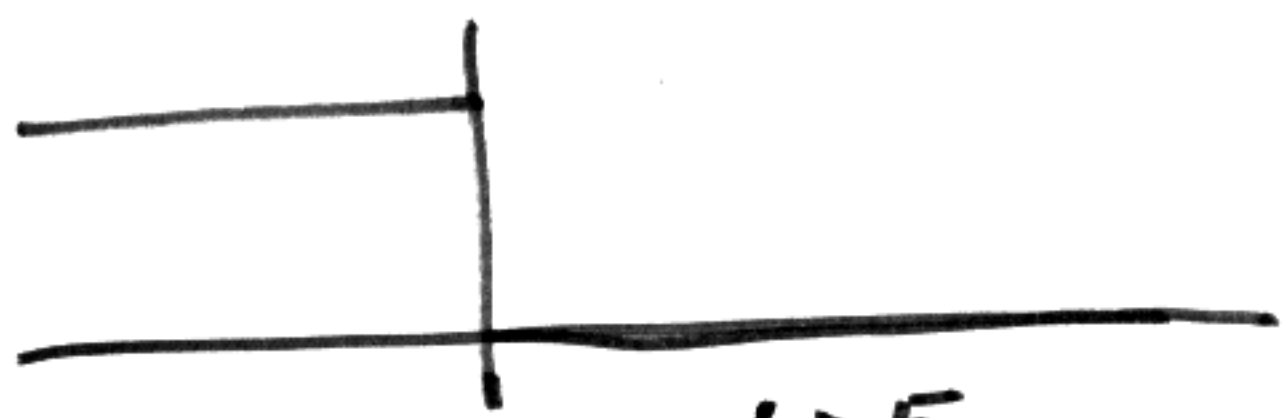
If $q \leq p$ then

move to new state.



$E' < E$ $E' > E$

Greedy algorithm

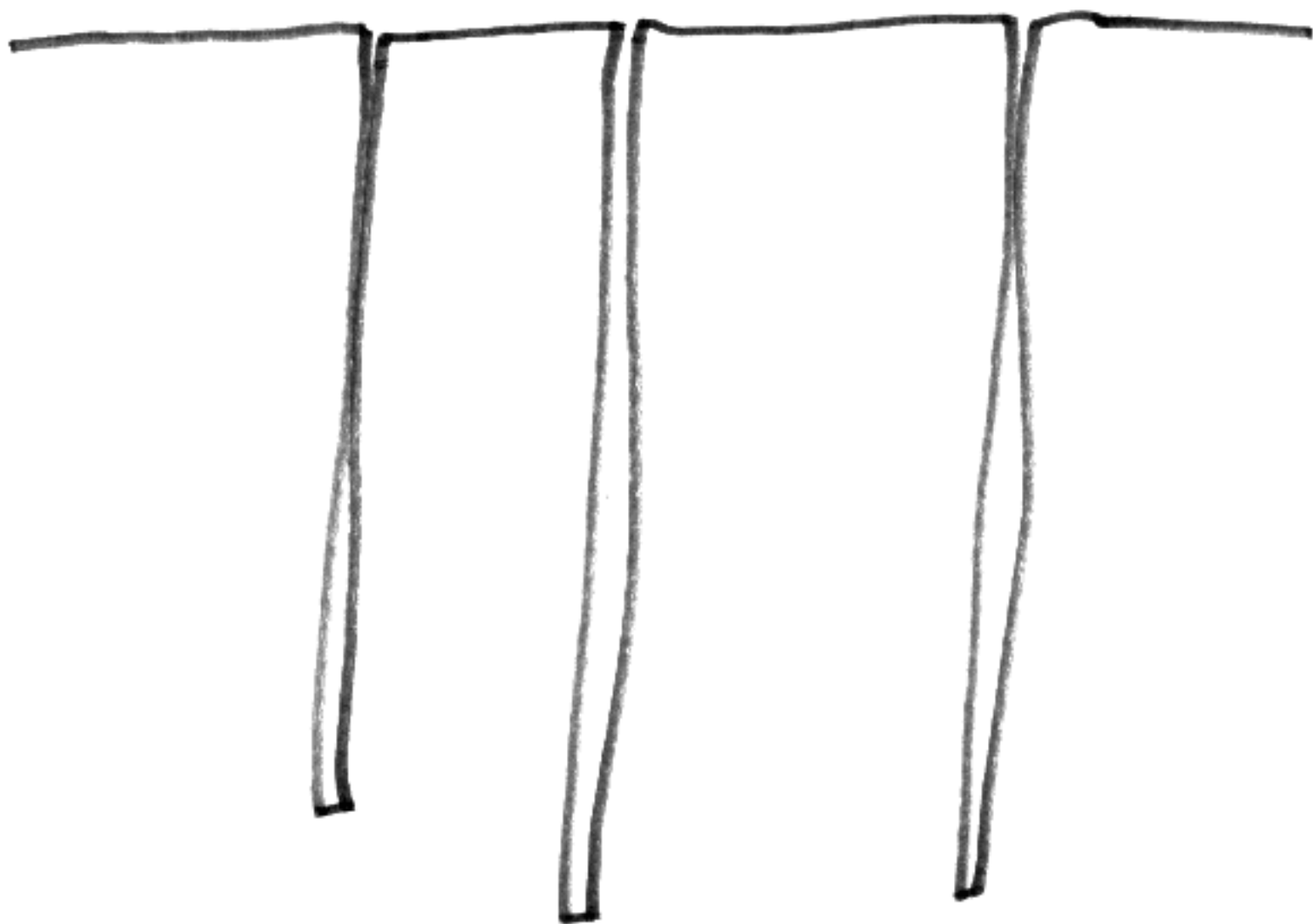


$T = 0$

$E' < E$ $E' > E$

High T gives a basically random behaviour.
Typically take small T
 T decreases with time.

Algorithm fails if graph ⑤
of Energy golf course



Scientific American
Algorithm of the gods.

6

Associate to

$$H = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$T = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$$

Key property

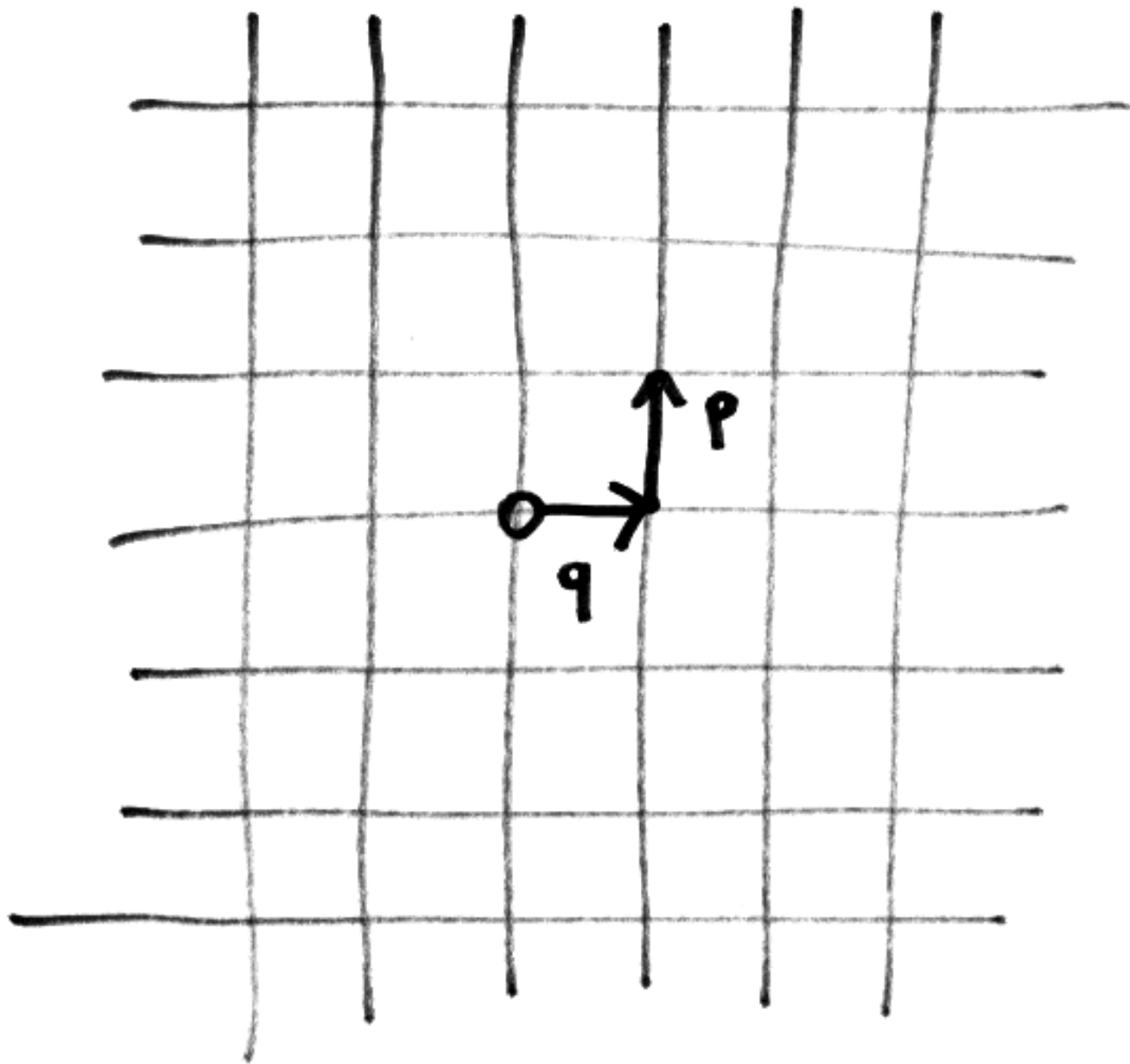
$$HTH = THT$$

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix} \\ = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix} \\ = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

Associate to a sequence
of H's & T's a path
in the lattice \mathbb{Z}^2 .

7



T H T H T H

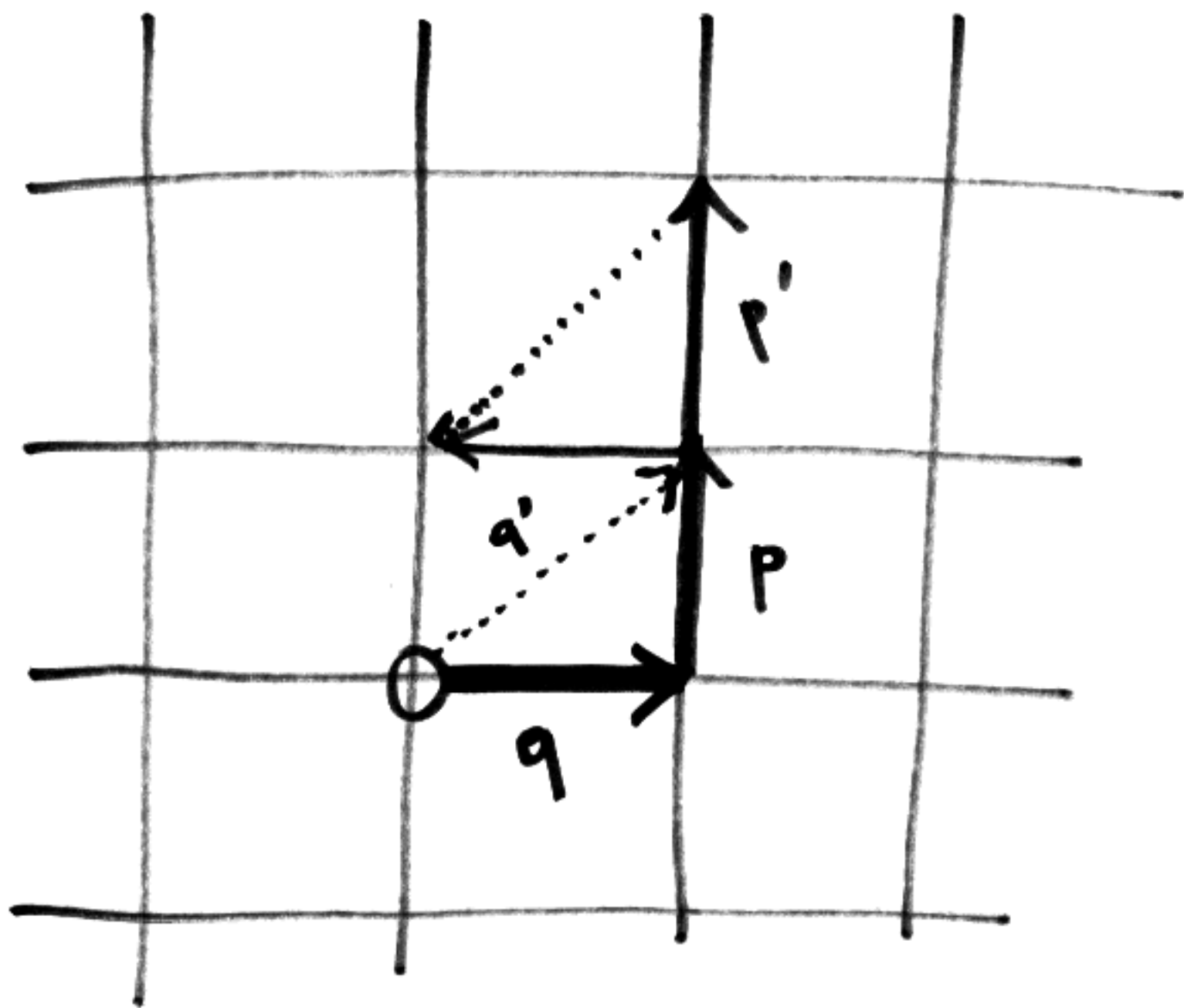
$$H = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

⑧

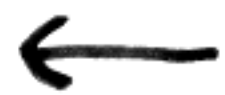
$$\begin{cases} q \mapsto q + p \\ p \mapsto p \end{cases}$$

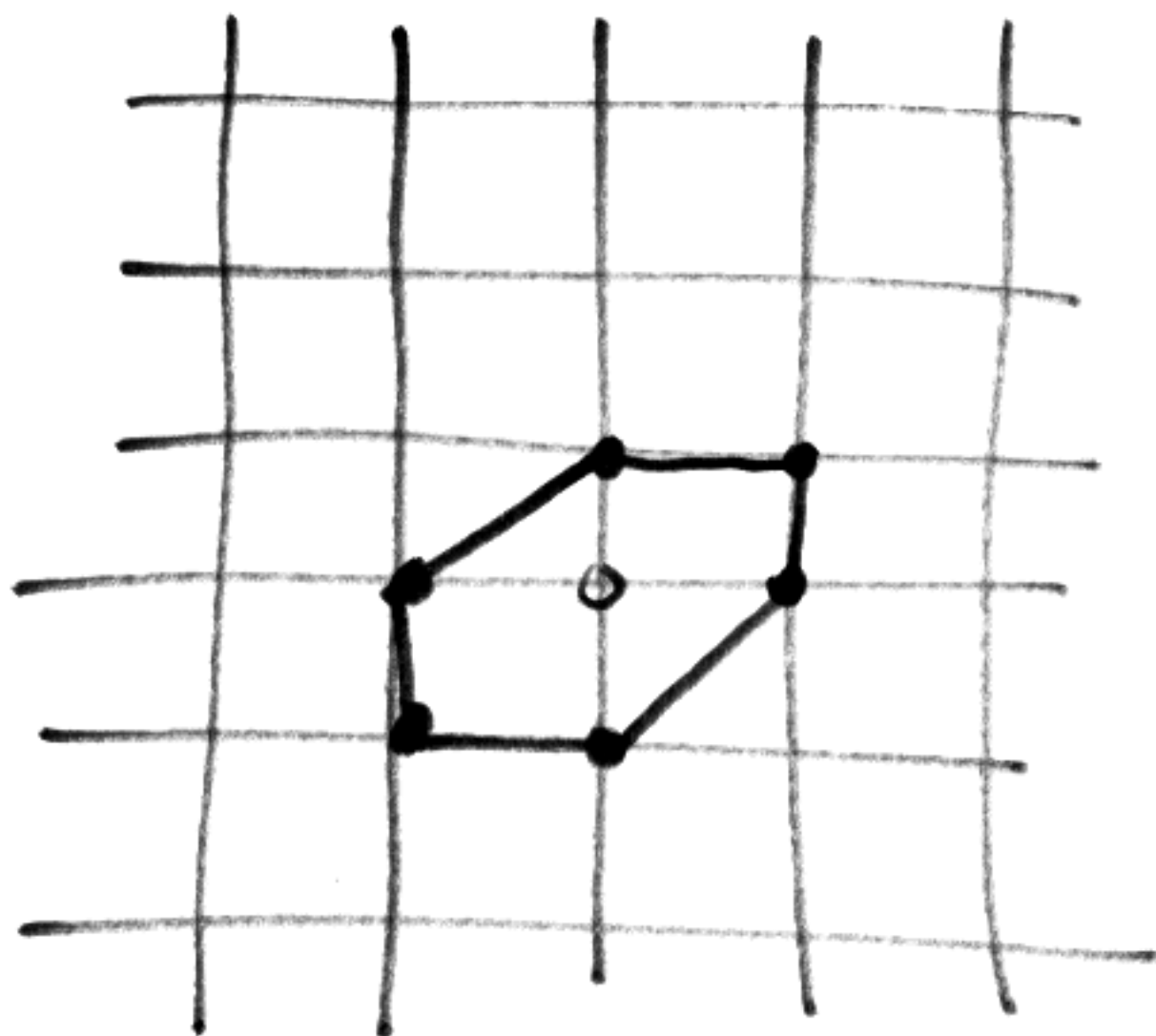
$$T = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$$

$$\begin{cases} q \mapsto q \\ p \mapsto p - q \end{cases}$$



... T H T H





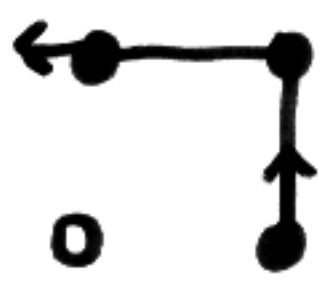
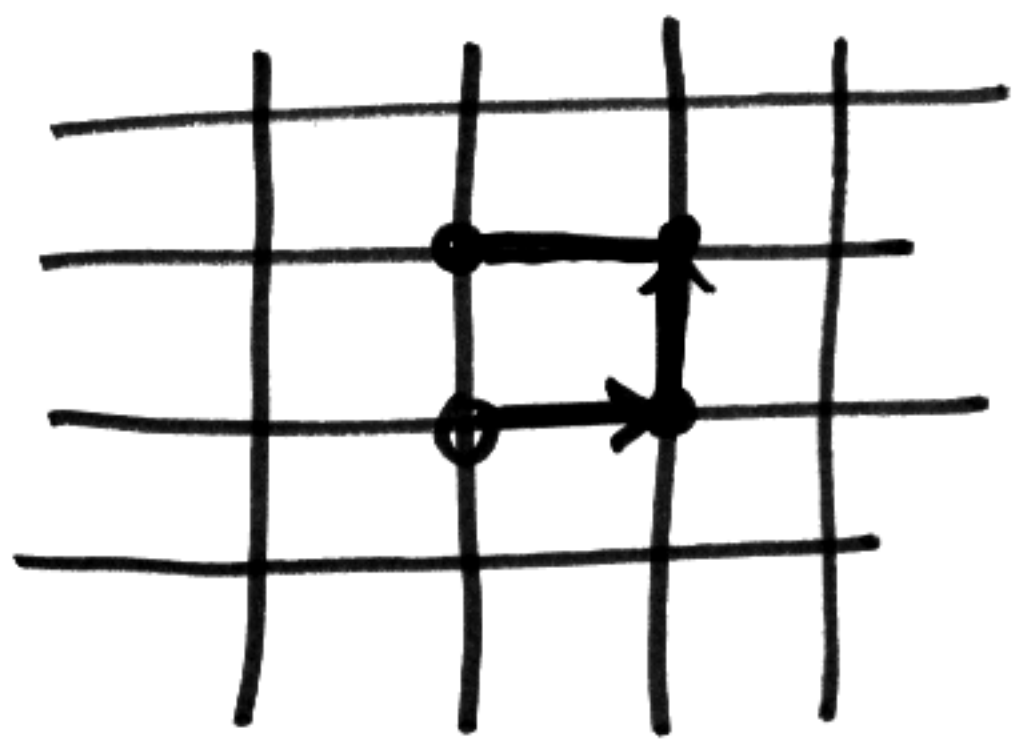
T H T H T H T H T H

Come back to the exact same q , and p .

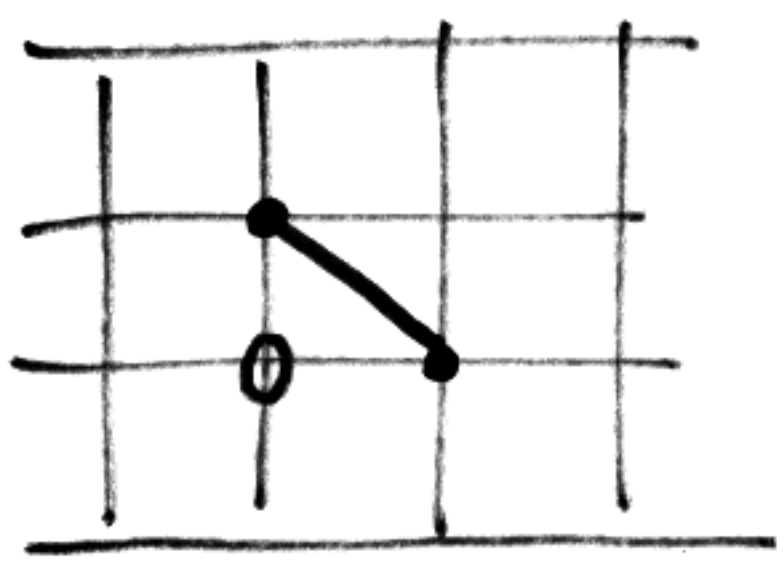
Notice that a T in the sequence corresponds to a vertex on this path.

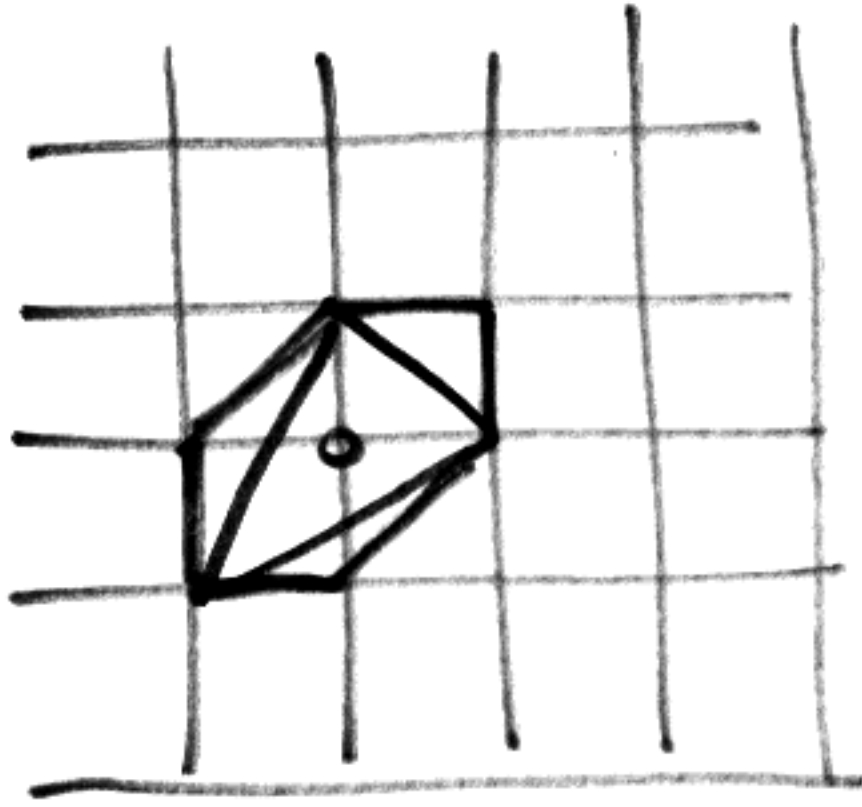
What happens to this picture when we apply the rule of the game?

H T H

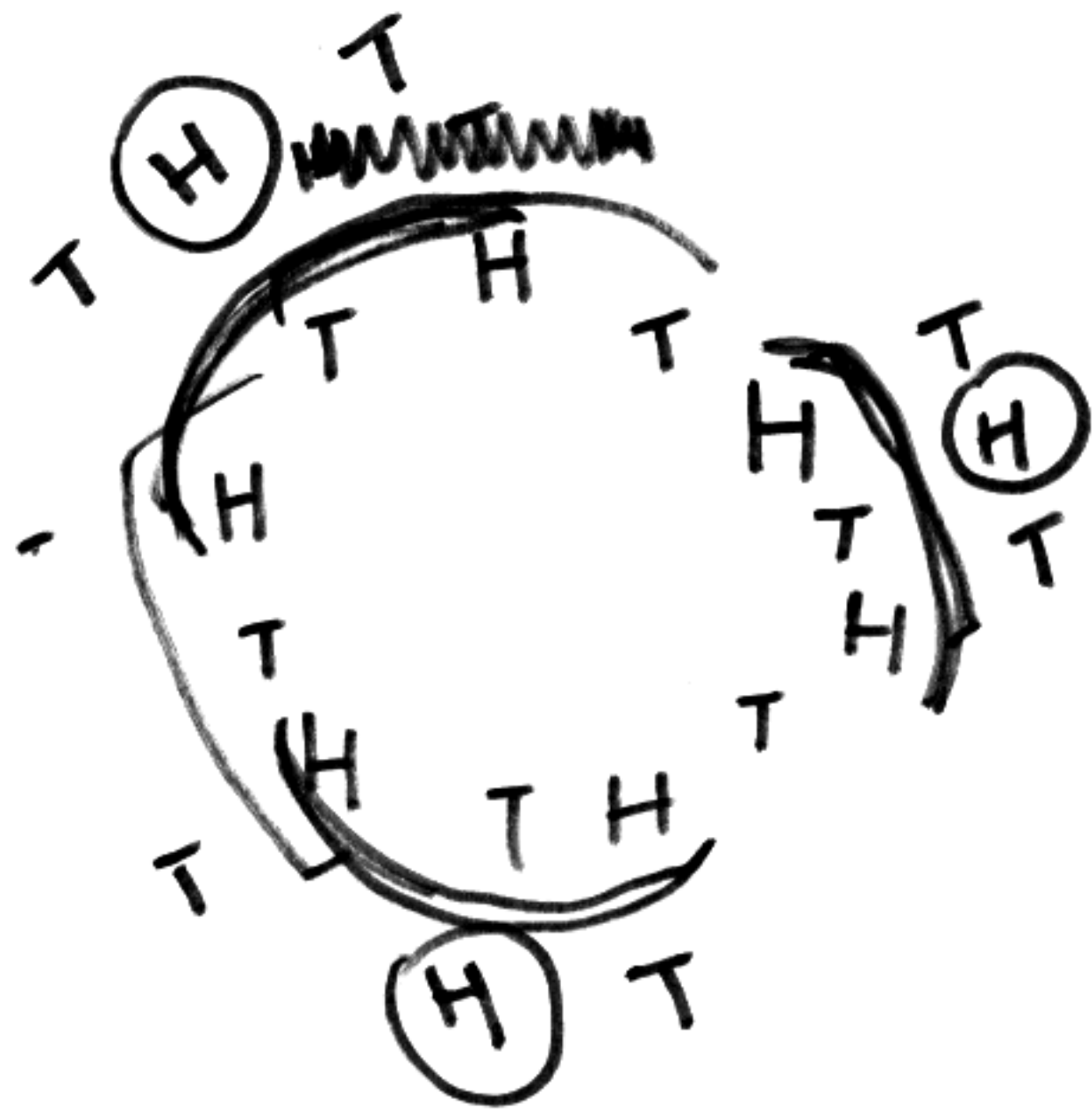


T H T

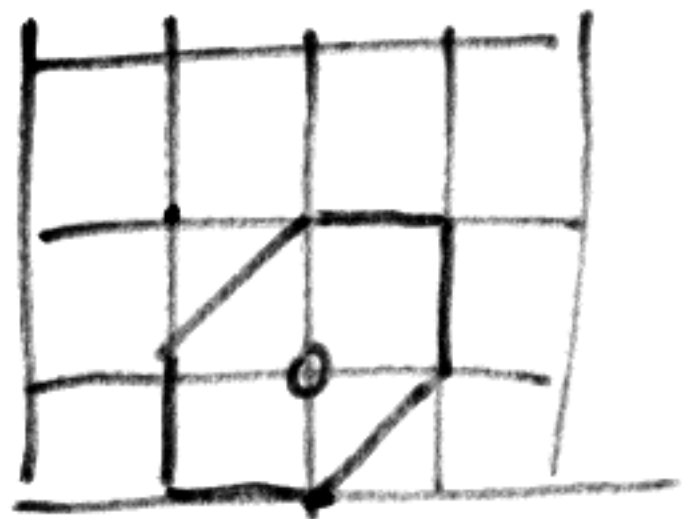
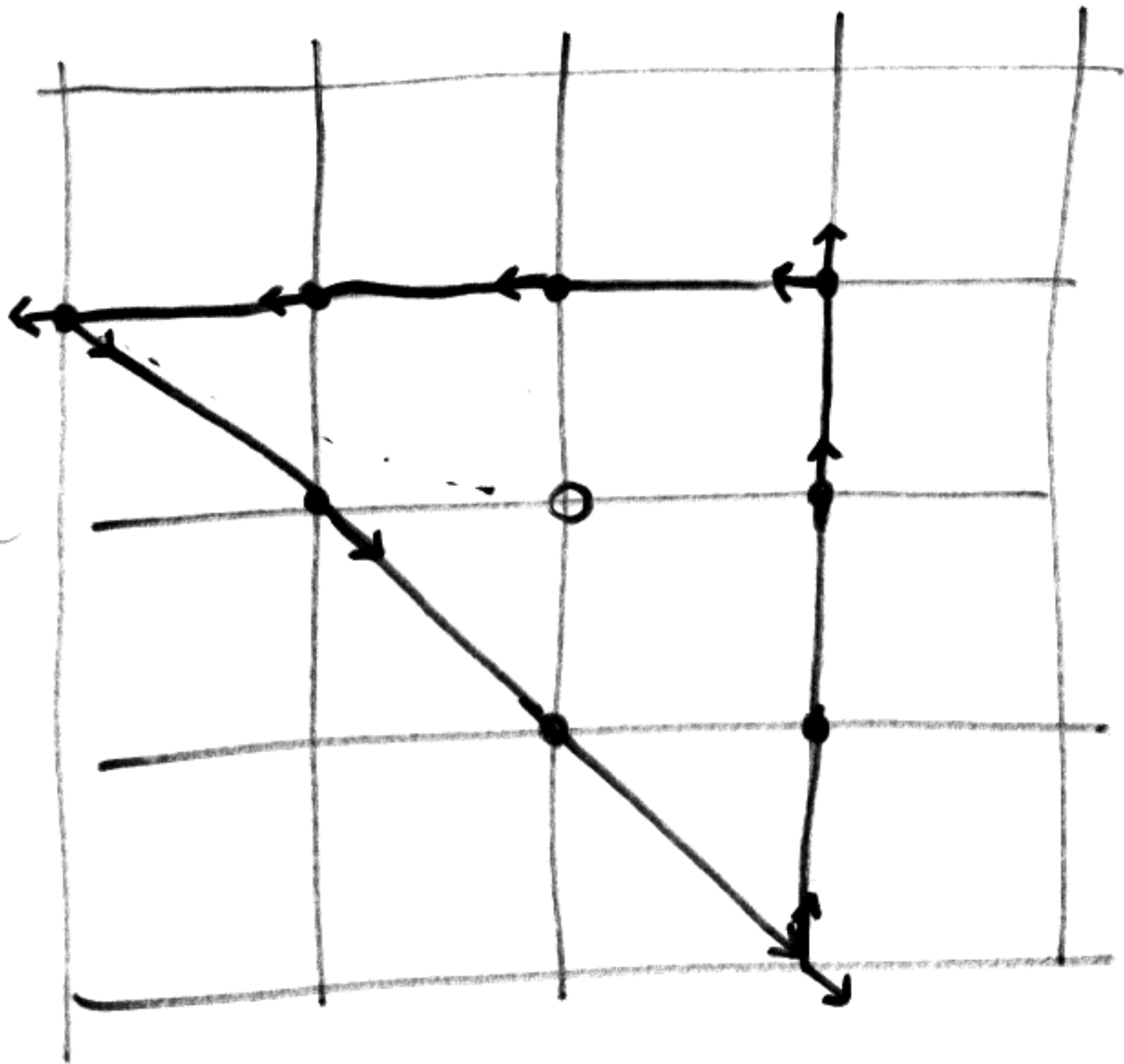




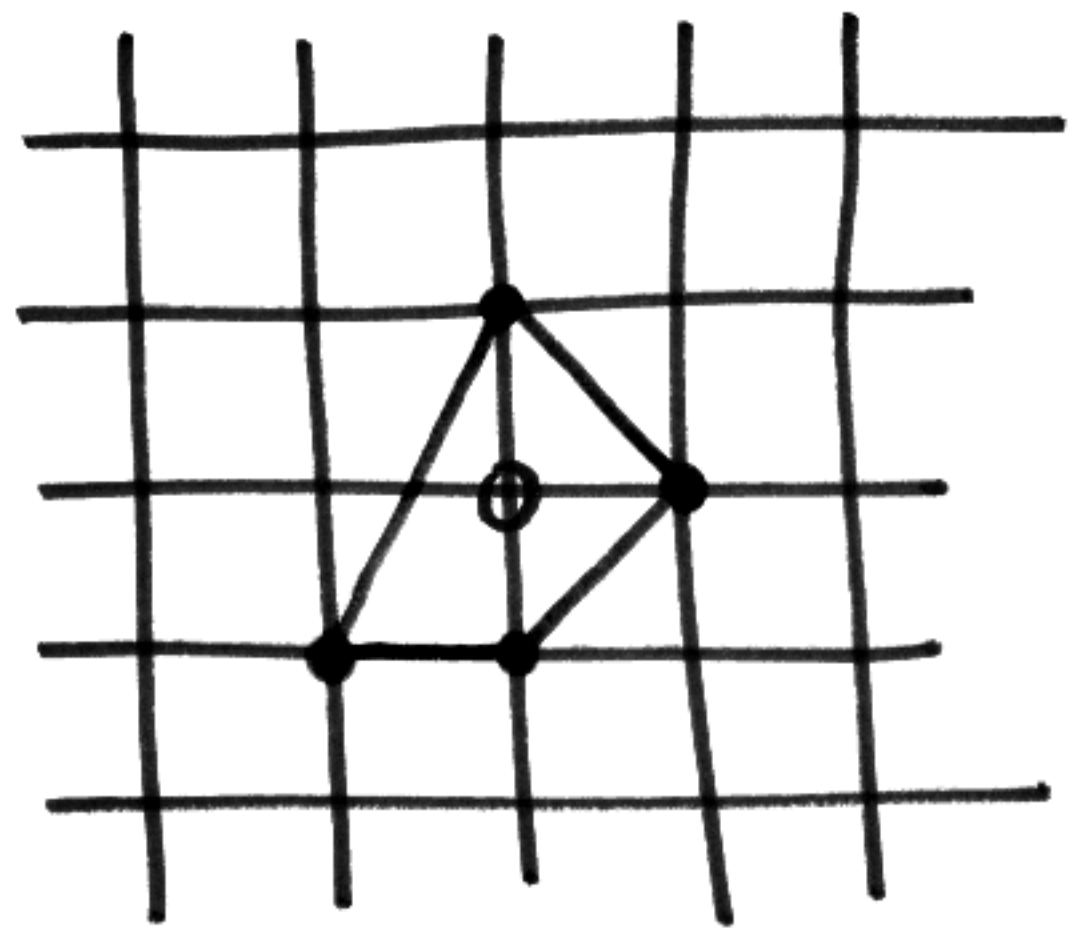
minimum possible
H's!



ННТ НННТ НННТН

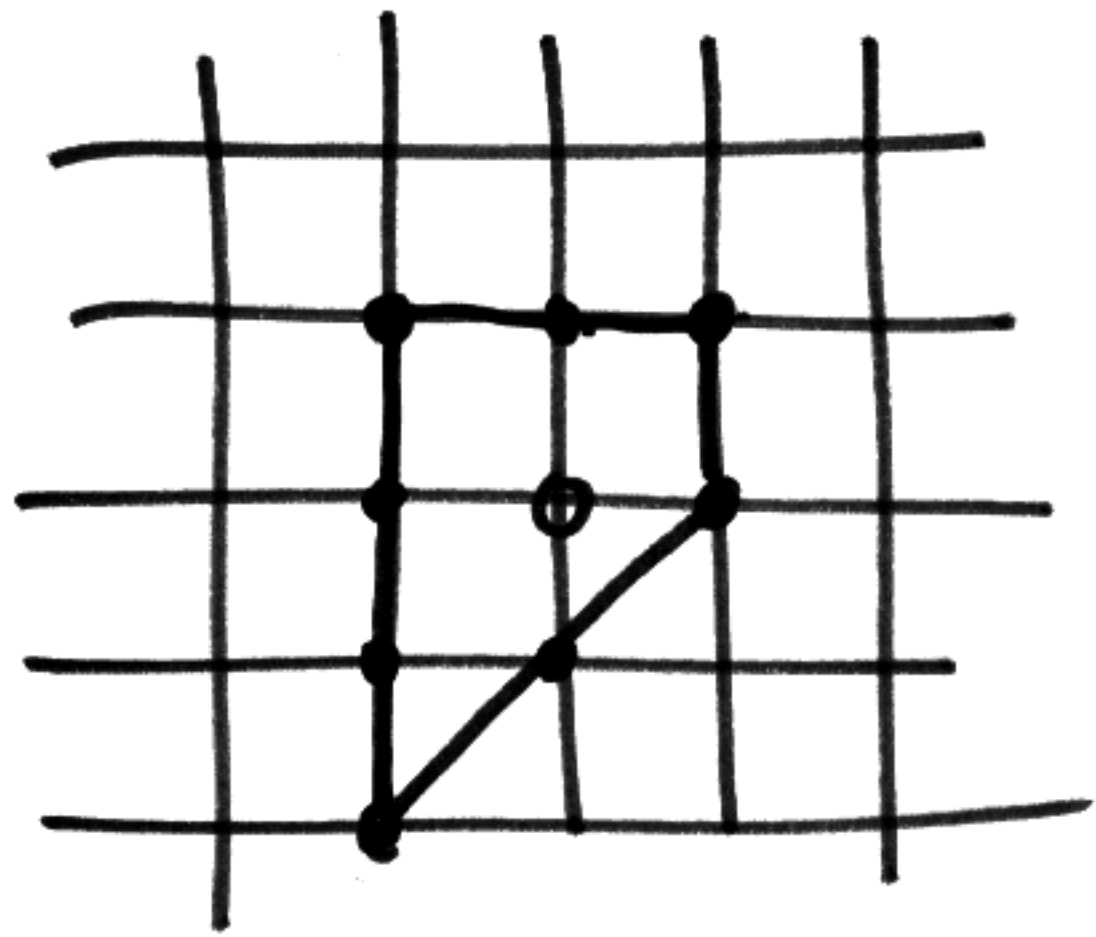


4



no other
lattice
points.

8



$$8 + 4 = 12$$

goal: maximize H's

③

L : largest number of dots possible

\hat{L} : smallest number of dots possible

THEOREM

l = length of sequence

l_H = # of H's

l_T = # of T's

$$l = l_H + l_T$$

$$\frac{1}{6} < \frac{l_H}{l} < \frac{5}{6}$$

$$\frac{1}{6} < \frac{l_T}{l} < \frac{5}{6}$$

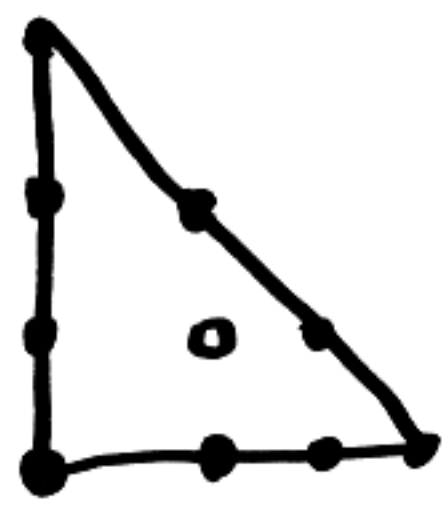
Conclusion

$$\text{max \# H's} < \frac{5}{6} l$$

e.g. $l = 12$

$$\frac{5}{6} \cdot 12 = 10$$

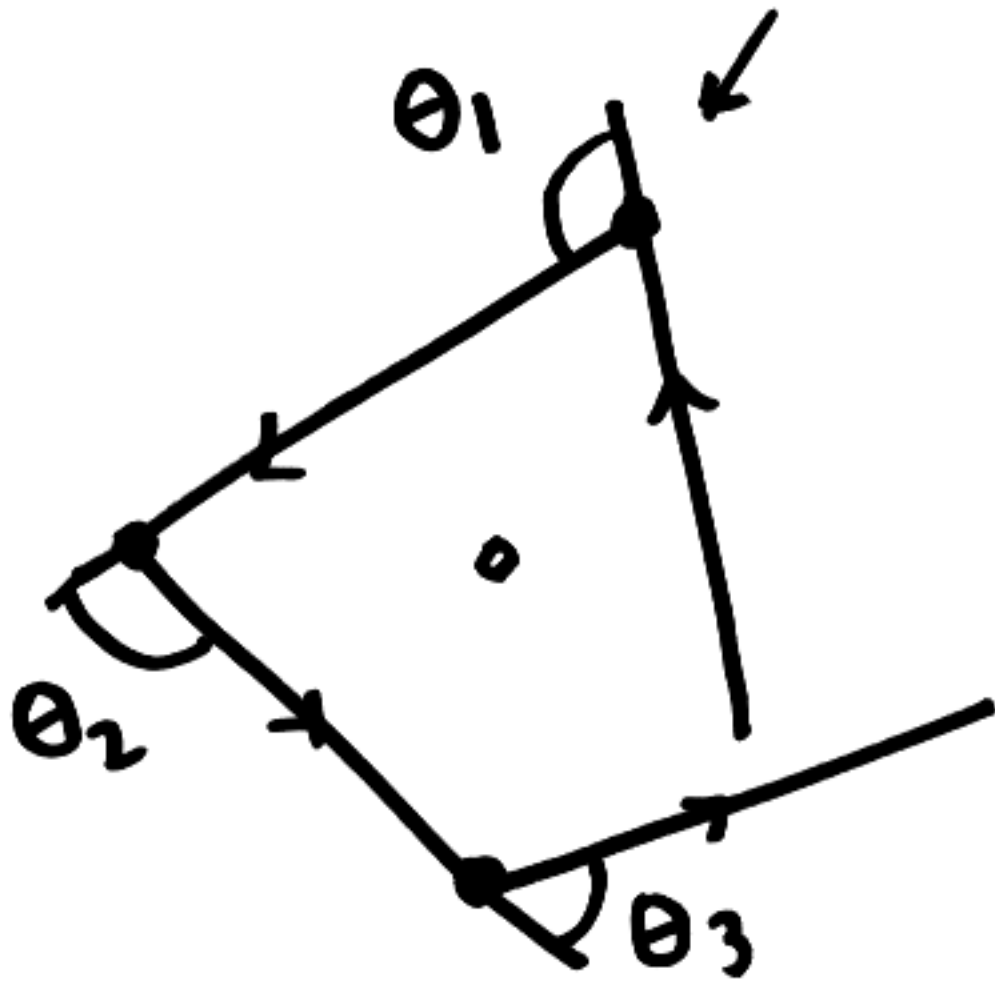
$$\text{max \# H's} = 9$$



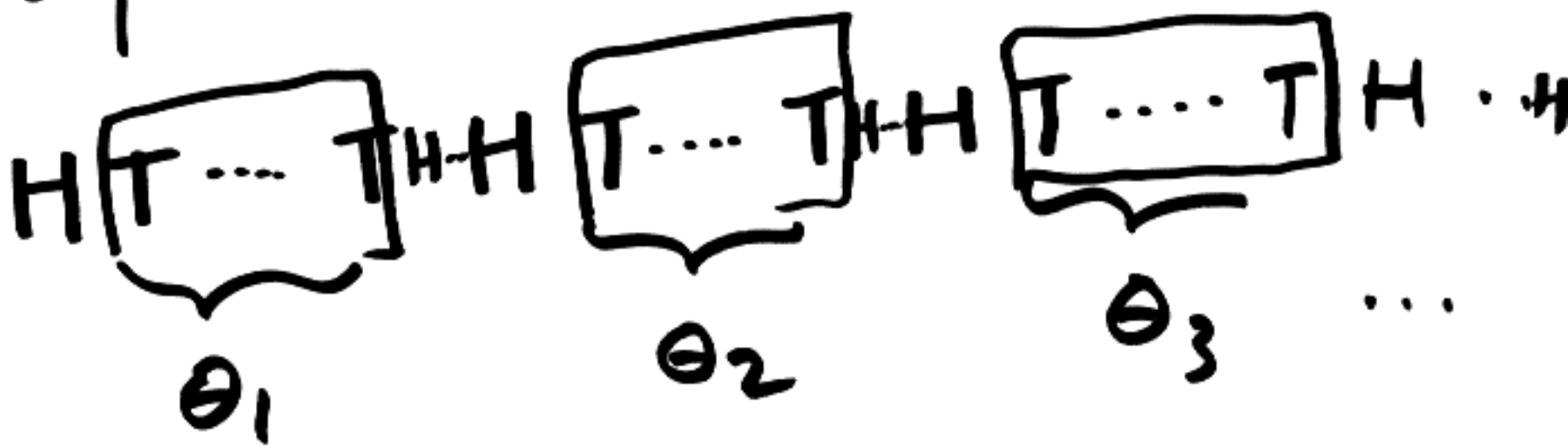
\mathcal{L}

HT...TH

⑤



Say r corners in \mathcal{L}



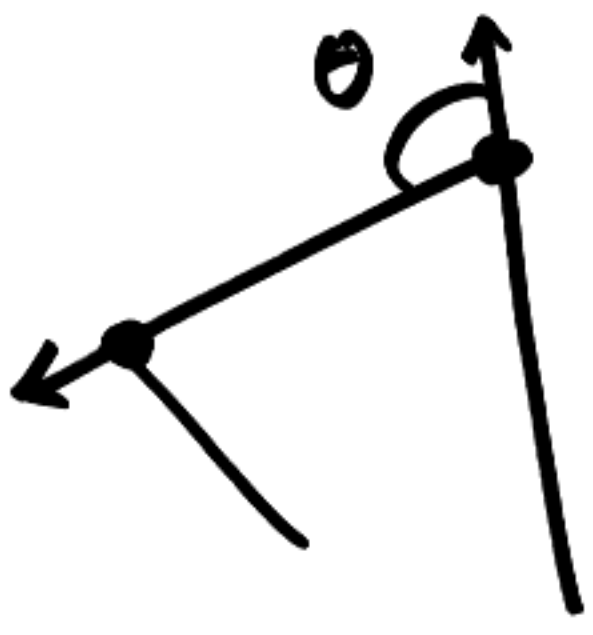
$m :=$ winding number of \mathcal{L}

$\theta_1, \theta_2, \dots, \theta_r$

$$\theta_1 + \dots + \theta_r = 2\pi m$$

6

$$\rho = 12 \text{ m}$$



$$0 < \theta < \pi$$

$$r\pi > \theta_1 + \dots + \theta_r = 2\pi m$$

$$= \frac{\pi \rho}{6}$$

$$r > \frac{\rho}{6}$$

$$\rho_T \geq r$$

$$\rho_T > \frac{\rho}{6}$$

$$\frac{\rho_T}{\rho} > \frac{1}{6}$$

$$\frac{r_T}{e} > \frac{1}{6}$$

$$\Rightarrow \frac{r_H}{e} > \frac{1}{6}$$

$$\frac{r_T}{e} + \frac{r_H}{e} = 1$$

$$\Rightarrow \frac{r_T}{e} < \frac{5}{6} \quad \frac{r_H}{e} < \frac{5}{6}$$

□

Can this Theoretical bound 8
be obtained?

Yes.

Winning strategy

$b(n) =$ # max # of H's
achievable with an
open string

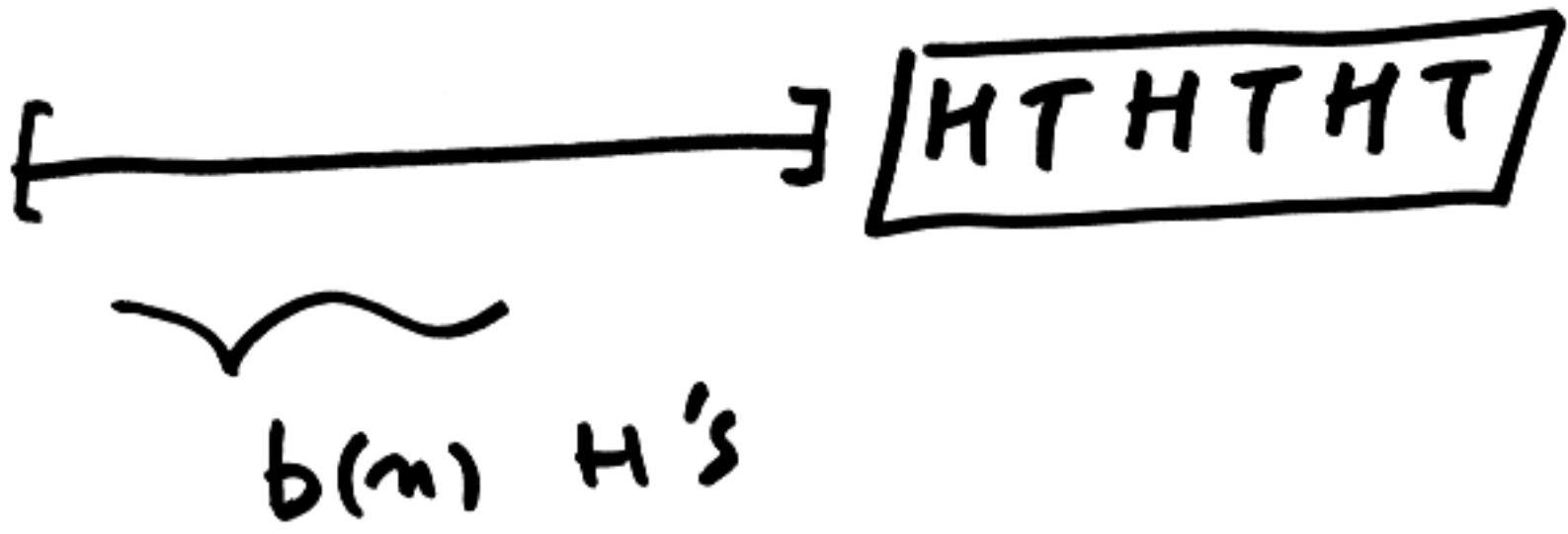
HTHTH HTHT

Thm $b(n+6) \geq b(n)+5$

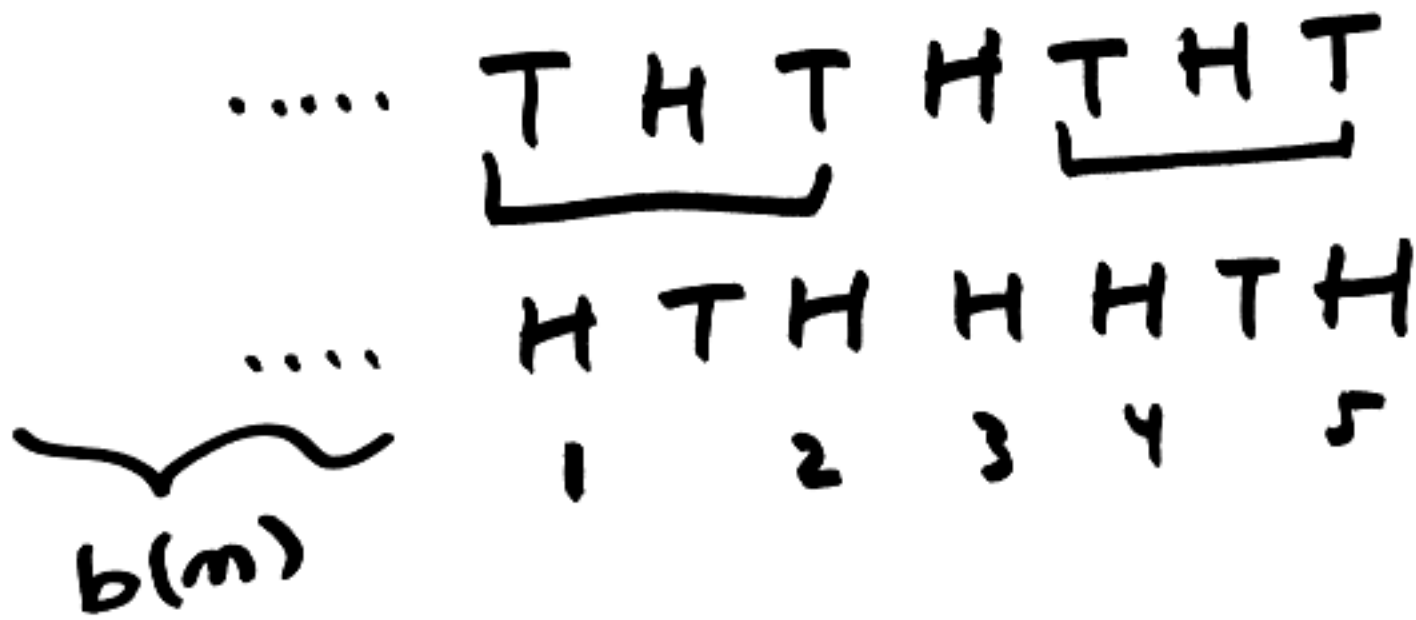
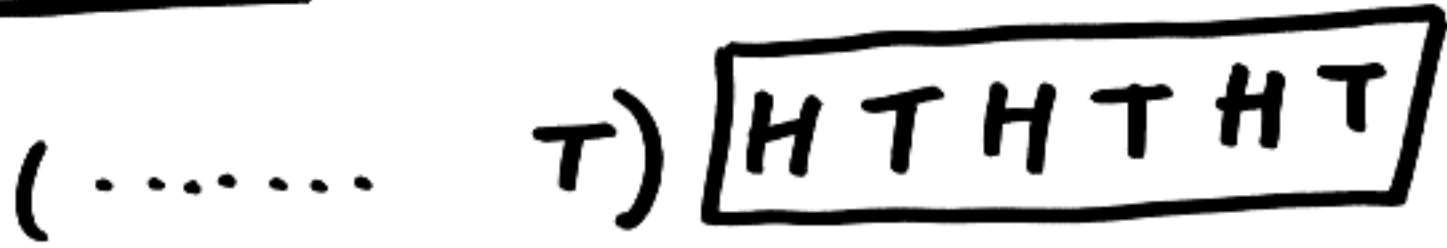
Pf



Play on the first n
to get $b(n)$ H's



Case 1



total = $b(n) + 5$
H's

Case 2

(..... H) HTHTHT

..... H) HTHTHT

... H) HTHTHT
 1 2 3 4

?

.... H) HTHTHT

... H) HTHTHT
 1 2 3 4

?

Fact

slide * HTHTHT

* = H
H HTHTHT
HTHTHT

H T H T H T H

11

* = T

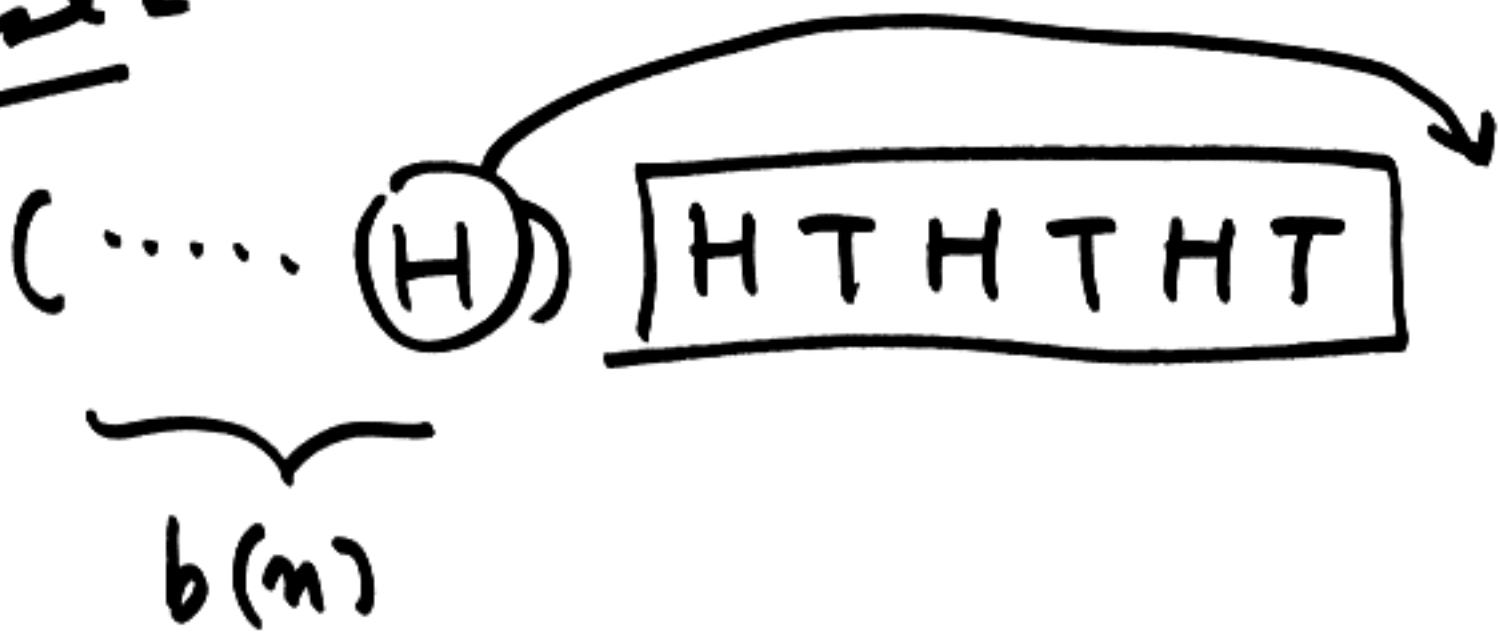
T H T H T H T

H T H H T H T

H T H T H T T

Case 2

(12)



if (*) = T we are back
to case 1

if (*) = H slide again

at some point we'll get a T
and we are done. \square

$$b(n+6) \geq b(n) + 5$$

Largest number H's possible is $\lfloor \frac{5n-1}{6} \rfloor$

$n =$ total length,

which is the theoretical bound.

$$H = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad T = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$$

sequence H's, T's

... H H T T T H

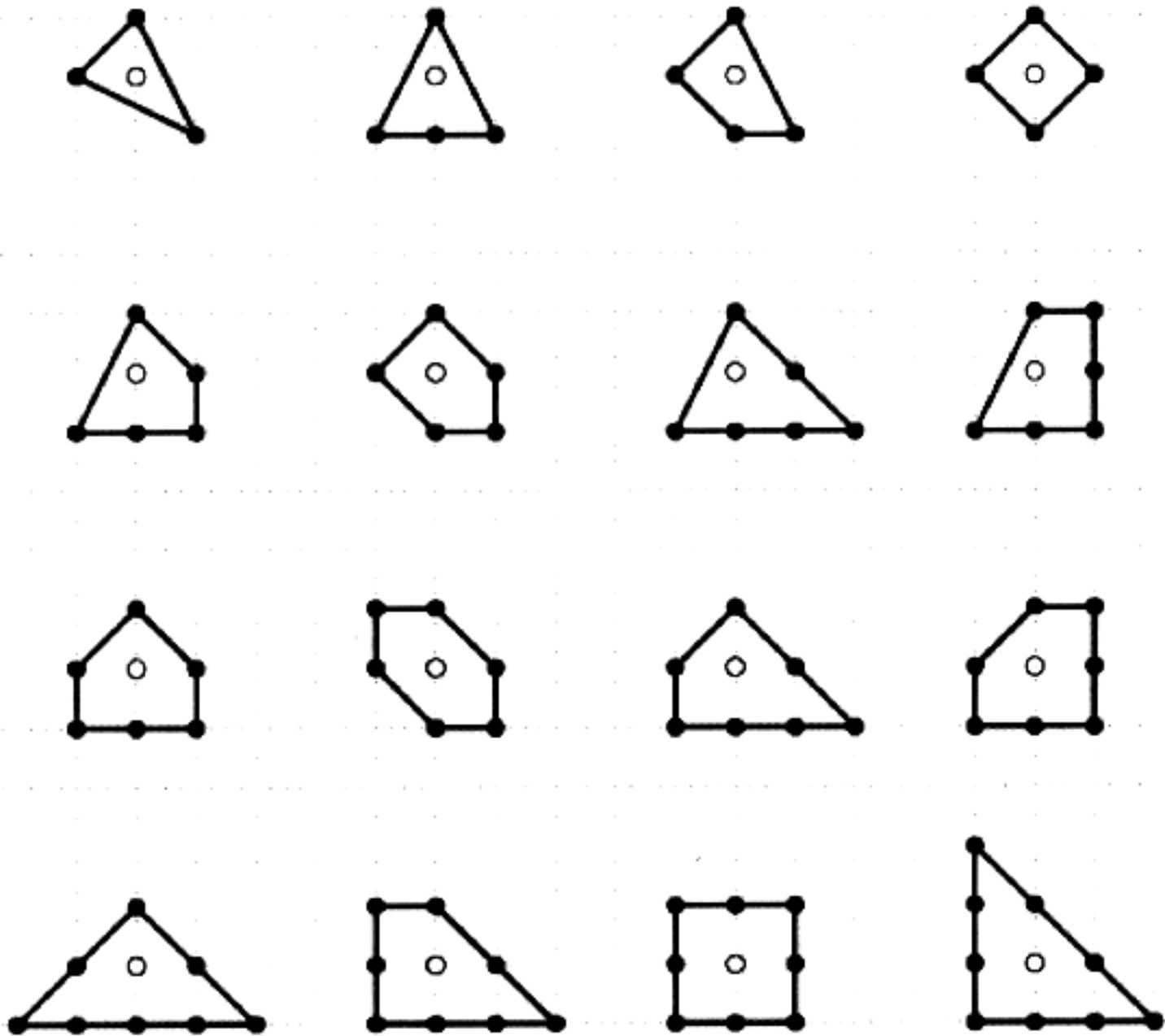
$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

H
TH
TTH
⋮

sequence of matrices

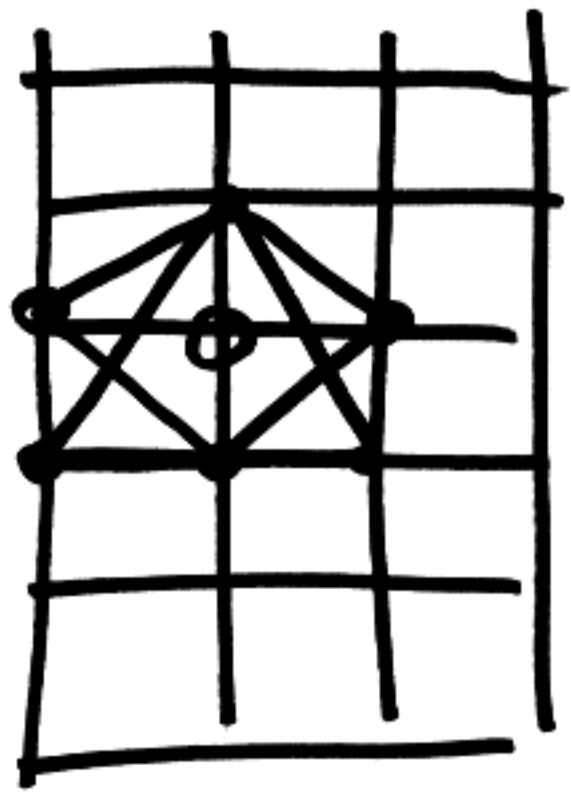
$$\det = +1$$

integer coefficients



In fact path \mathcal{L} and a dual path

\mathcal{L}



dot \leftrightarrow H

corner \leftrightarrow H T...T H

reverses role of H, T

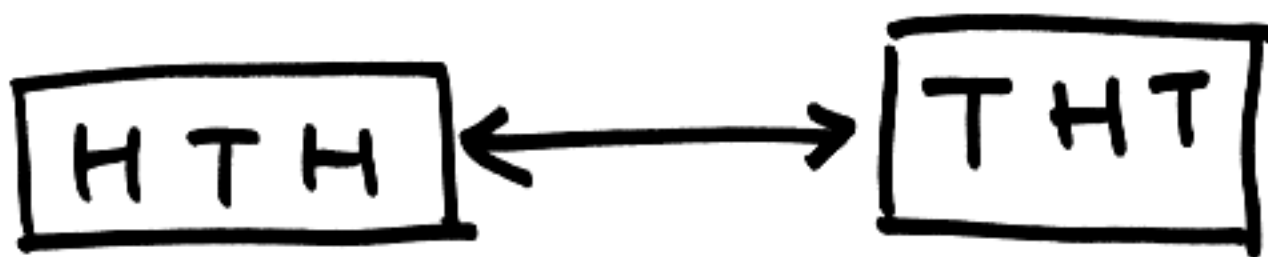
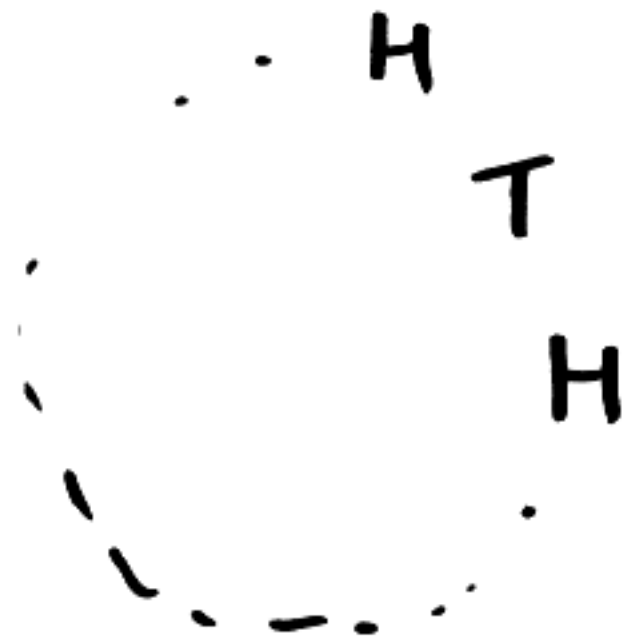
\mathcal{L}

dot \leftrightarrow T

corner \leftrightarrow T H...HT

Blet

①



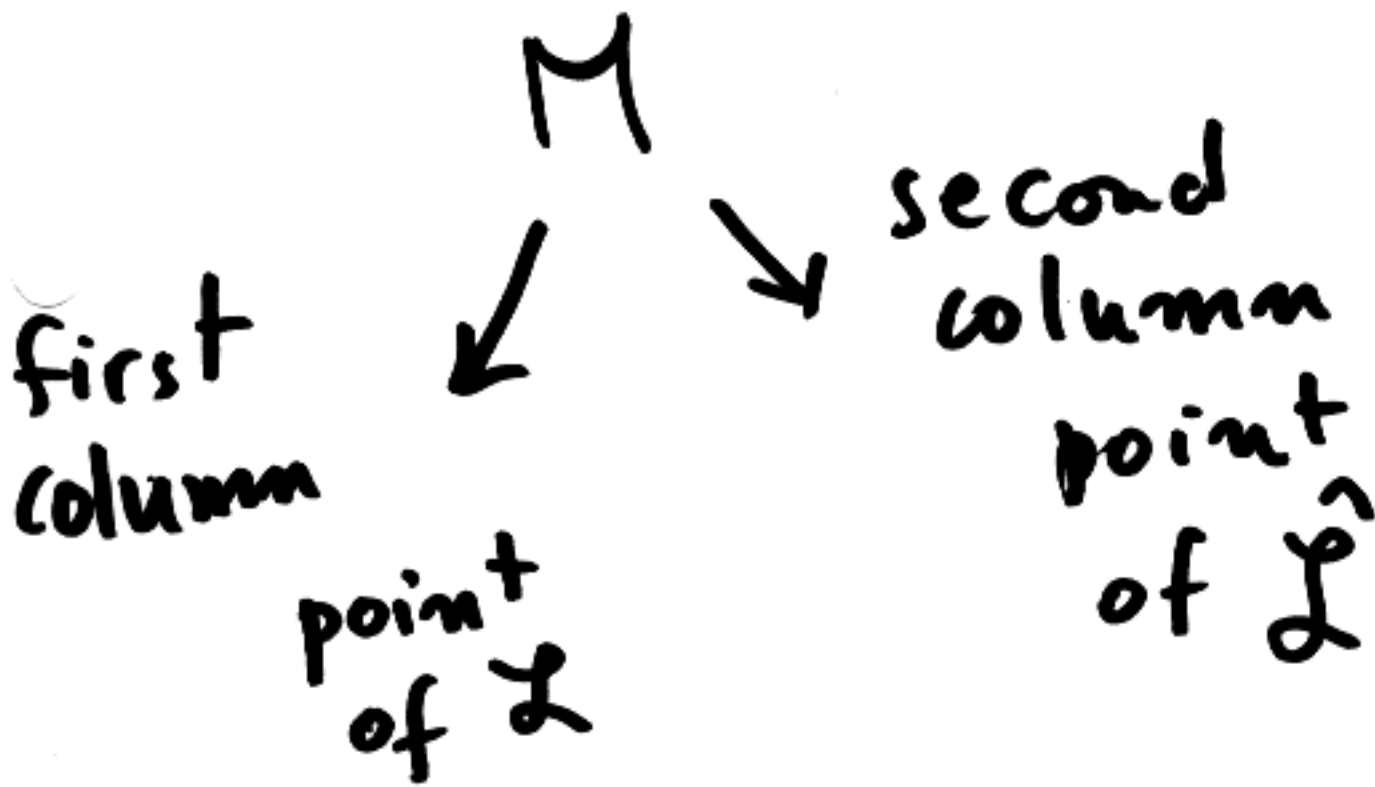
- Any position of puzzle sequence of H's, T's in a circle.
- position \rightsquigarrow path in the plane

This is a group

$$SL_2(\mathbb{Z})$$

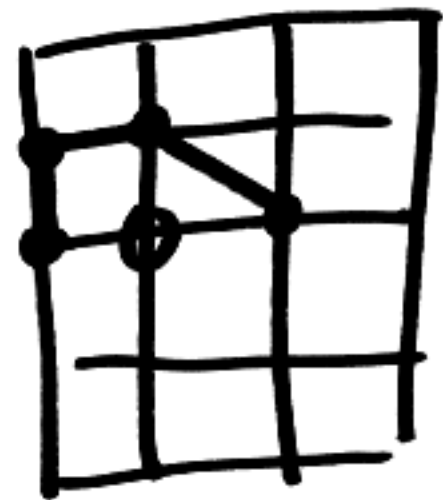
sequence \rightsquigarrow a path in $SL_2(\mathbb{Z})$

encodes both \mathcal{L} , $\hat{\mathcal{L}}$ together



HTHTHT

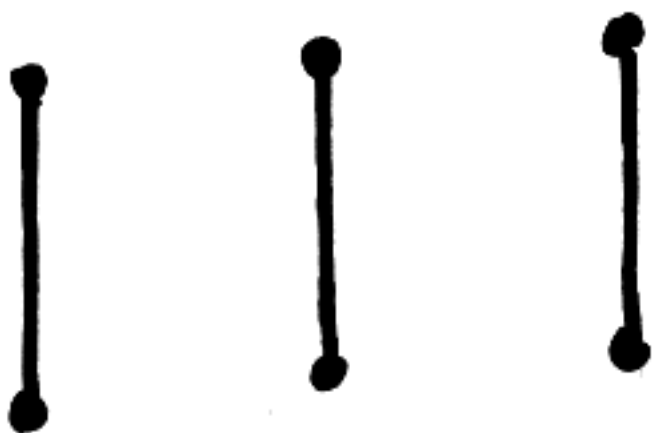
$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$



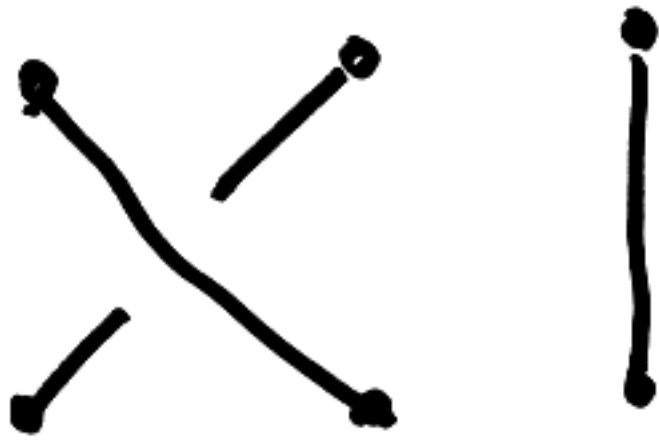
Braid gp on 3 strands

(Artin 20's)

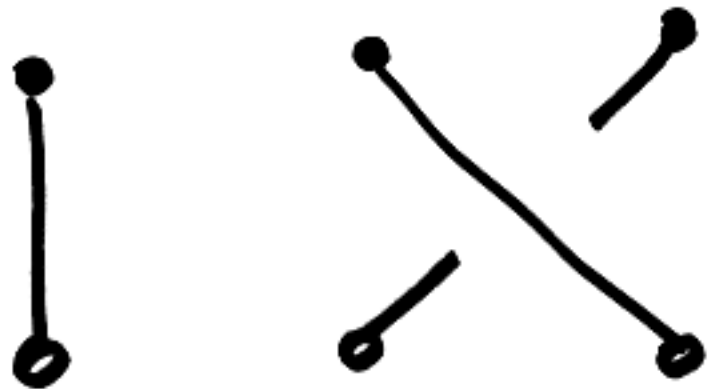
identity



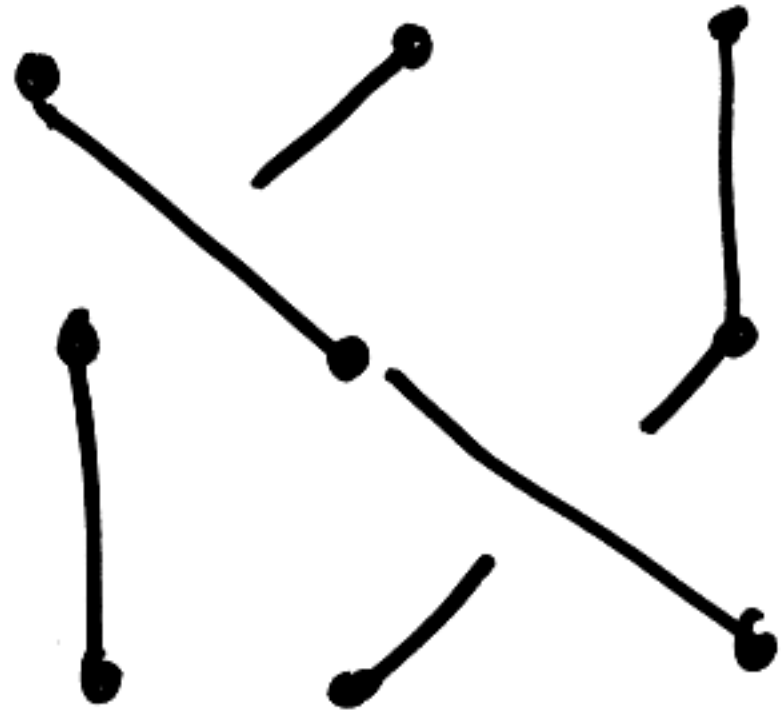
H



T

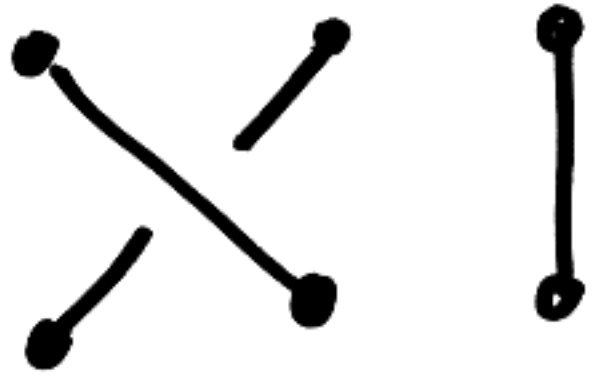


Multiply braids by concatenating

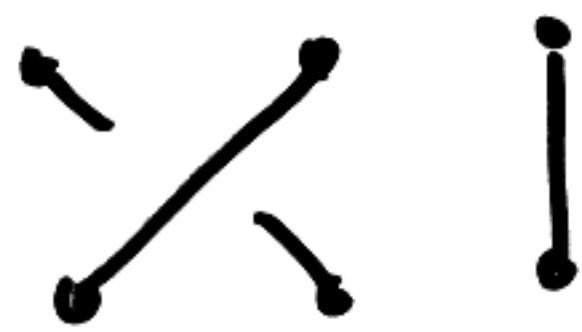


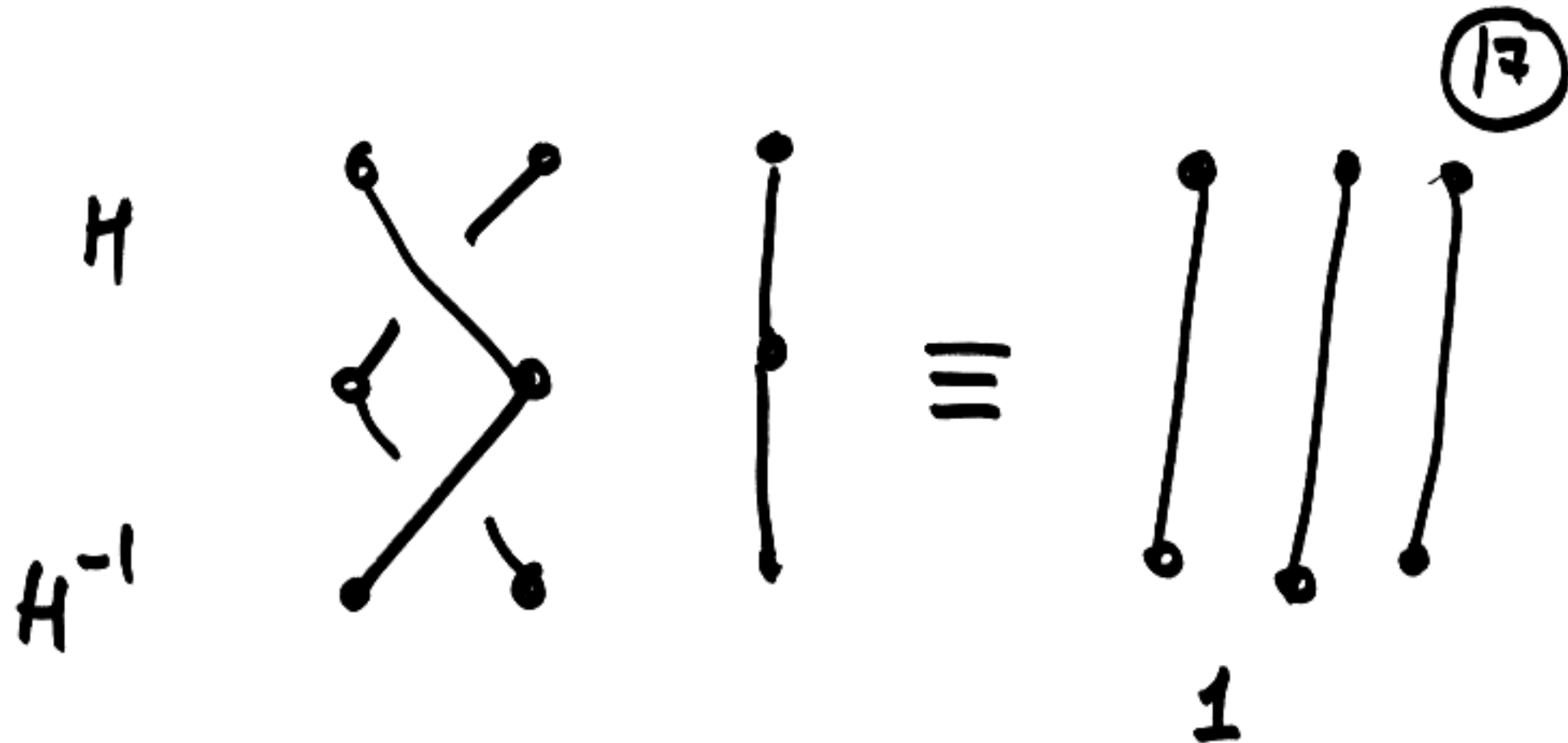
For example

~~AAAAA~~ H



H^{-1}





$$H \cdot H^{-1} = 1$$

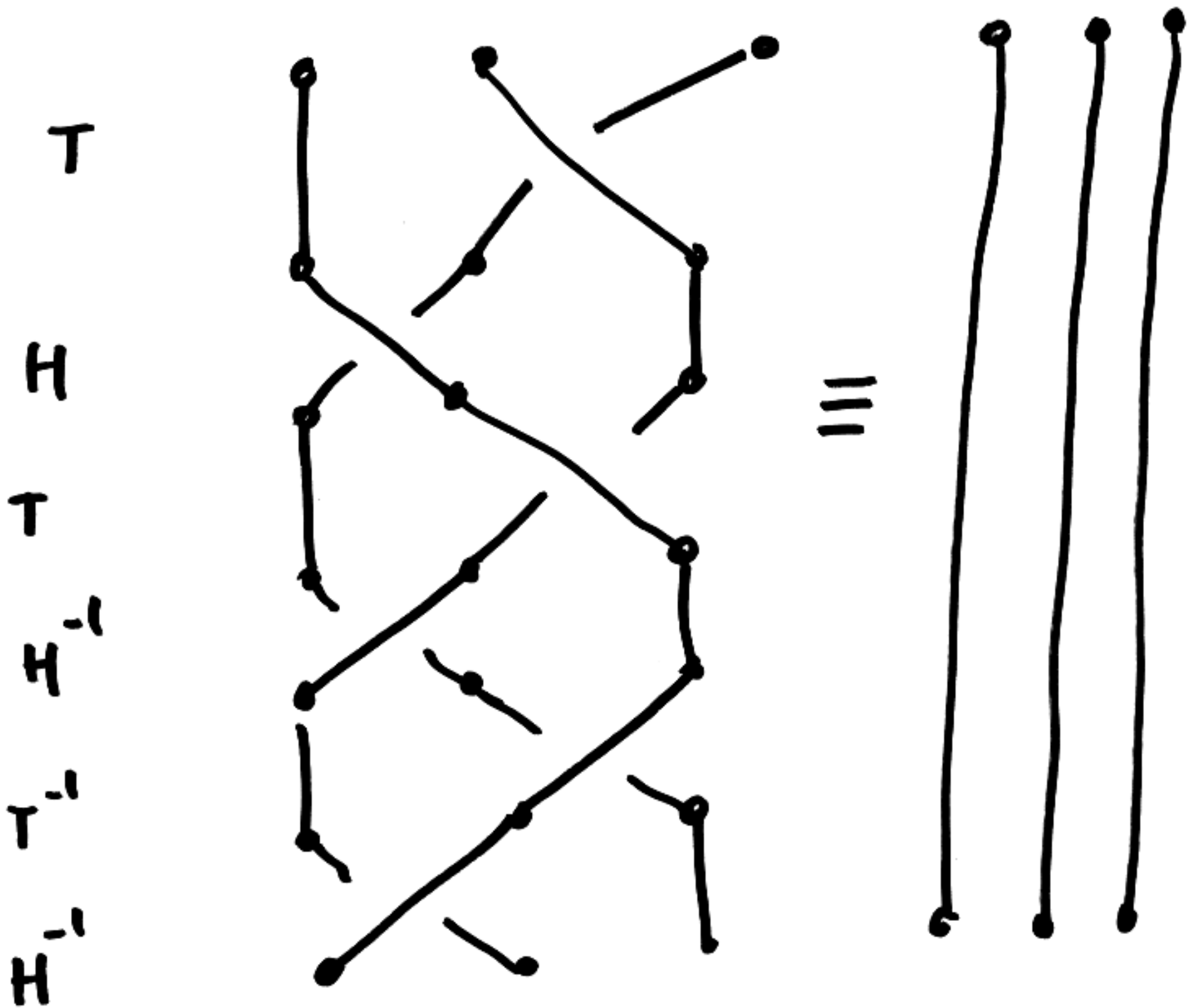
$$HTH = THT$$

Braid gp is generated by
 H , & T .

$HTH = THT$?

~~XXXXXXXXXX~~

$H^{-1}T^{-1}H^{-1}THT = 1$?



Every other relation among H & T arises from this basic one.

$$HTH = THT$$

$$U = \boxed{HTHTHT}$$

Claim: generates the center of the braid group B_3

i.e. any ~~the~~ braid which commutes w/ every other is of the form U^m

$$B_3 \longrightarrow SL_2(\mathbb{Z})$$

$$H \longmapsto \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$T \longmapsto \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}$$

multiplicative
well defined.

$$HTH = THT$$

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

NIMBERS

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	0	3	2	5	4	7	6	9	8	11	10	13	12	15	14
2	3	0	1	6	7	4	5	10	11	8	9	14	15	12	13
3	2	1	0	7	6	5	4	11	10	9	8	15	14	13	12
4	5	6	7	0	1	2	3	12	13	14	15	8	9	10	11
5	4	7	6	1	0	3	2	13	12	15	14	9	8	11	10
6	7	4	5	2	3	0	1	14	15	12	13	10	11	8	9
7	6	5	4	3	2	1	0	15	14	13	12	11	10	9	8
8	9	10	11	12	13	14	15	0	1	2	3	4	5	6	7
9	8	11	10	13	12	15	14	1	0	3	2	5	4	7	6
10	11	8	9	14	15	12	13	2	3	0	1	6	7	4	5
11	10	9	8	15	14	13	12	3	2	1	0	7	6	5	4
12	13	14	15	8	9	10	11	4	5	6	7	0	1	2	3
13	12	15	14	9	8	11	10	5	4	7	6	1	0	3	2
14	15	12	13	10	11	8	9	6	7	4	5	2	3	0	1
15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0

Table 2. A Nim-Addition Table.

(Berlekamp)

Theorem

$$\text{Dots} + \text{Double crosses} \\ = \text{turns.}$$

Theorem implies chain rule.

Suppose Dots is odd

turns opposite parity to double crosses

$$\text{double crosses} = \text{long chains} - 1$$

Player 1 \bullet \rightarrow odd number
of long chains

Player 0 \rightarrow even number
of chains

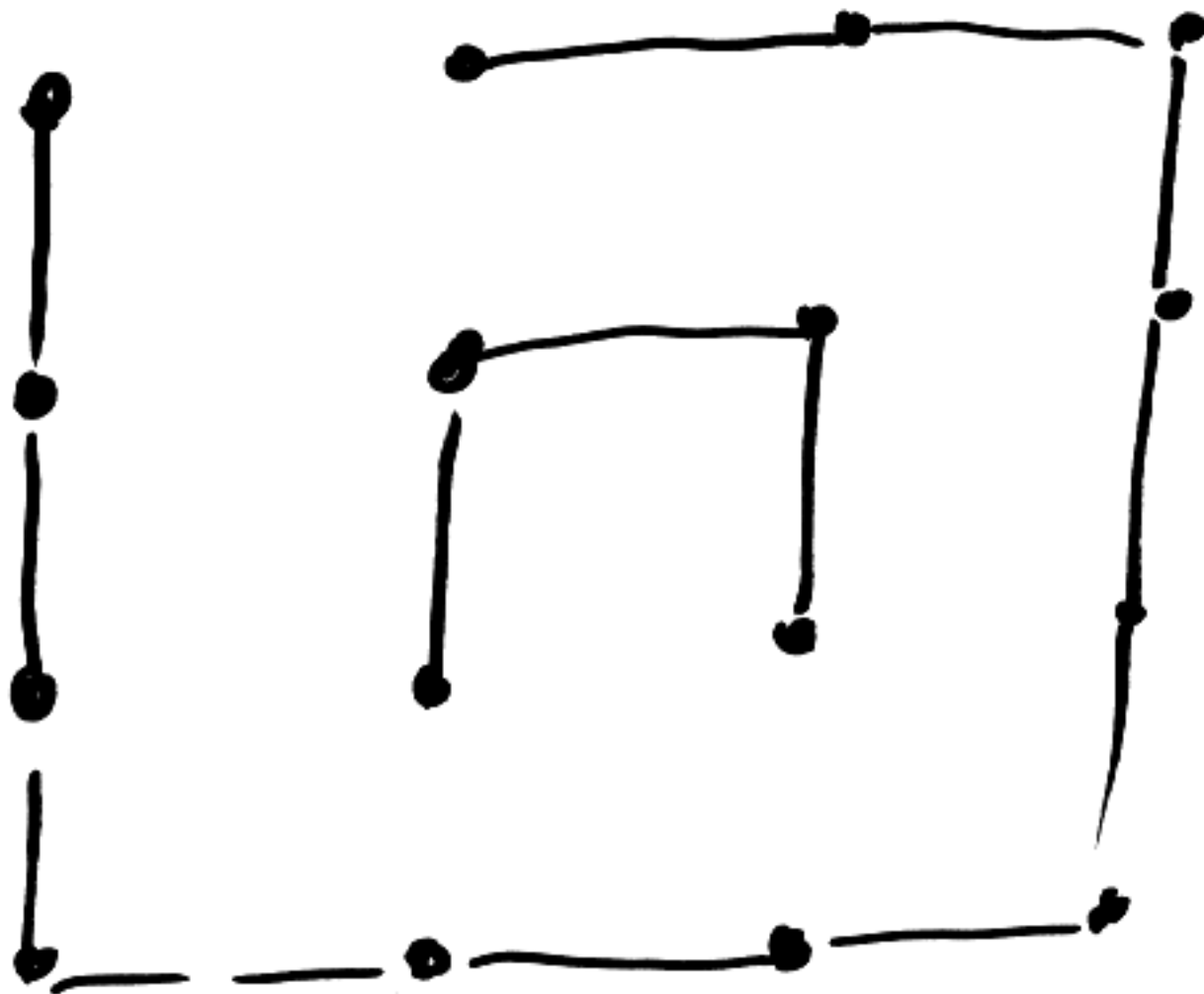
Dots even

Double crosses \equiv turns
mod 2

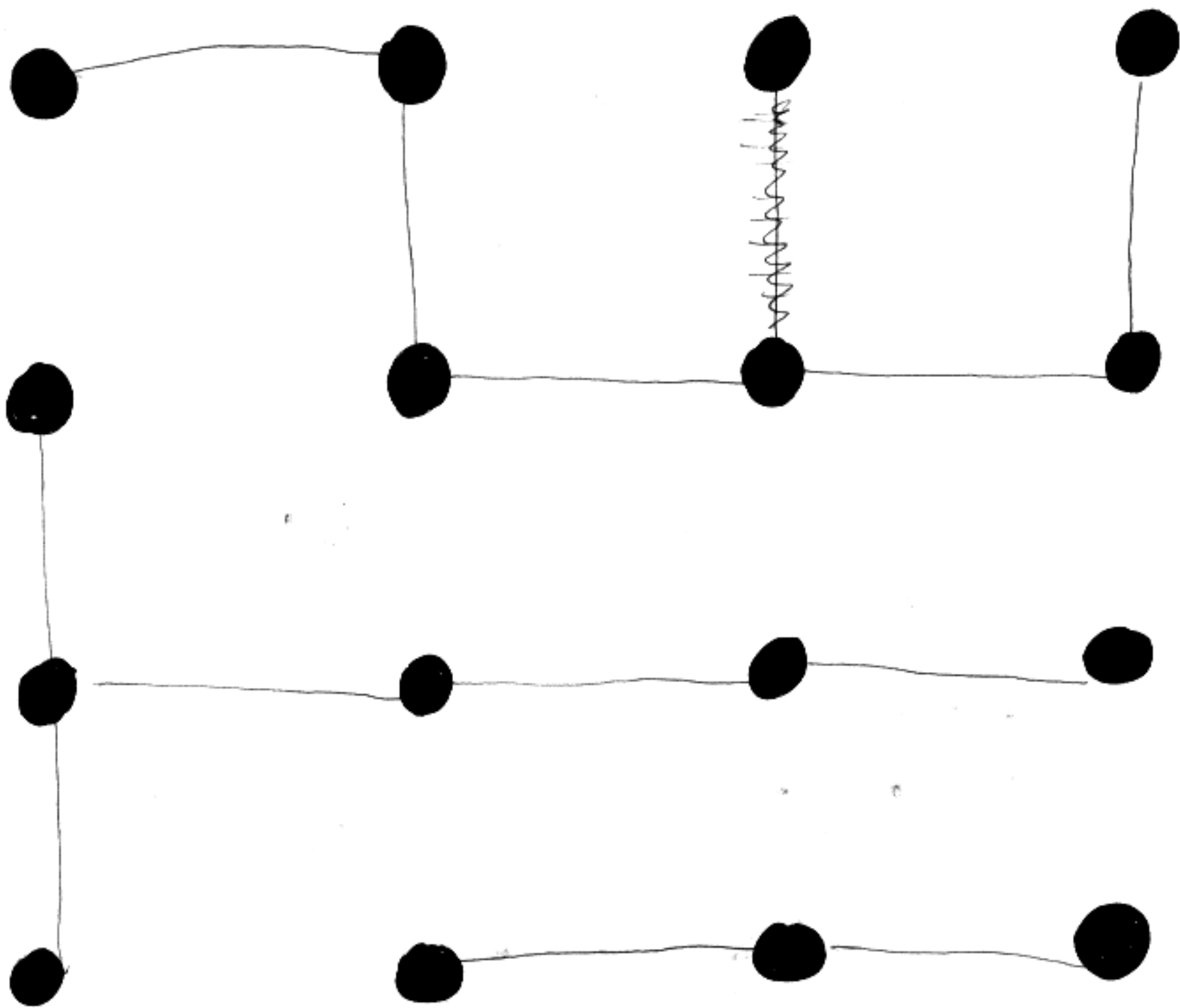
\Rightarrow turns opposite parity
long chains

Player 1 \rightarrow even number
long chains

Player 0 \rightarrow odd number
of chains



Q: What do we do with cycles?



Double dealing

Summary

long chains (≥ 3)

use one double-cross

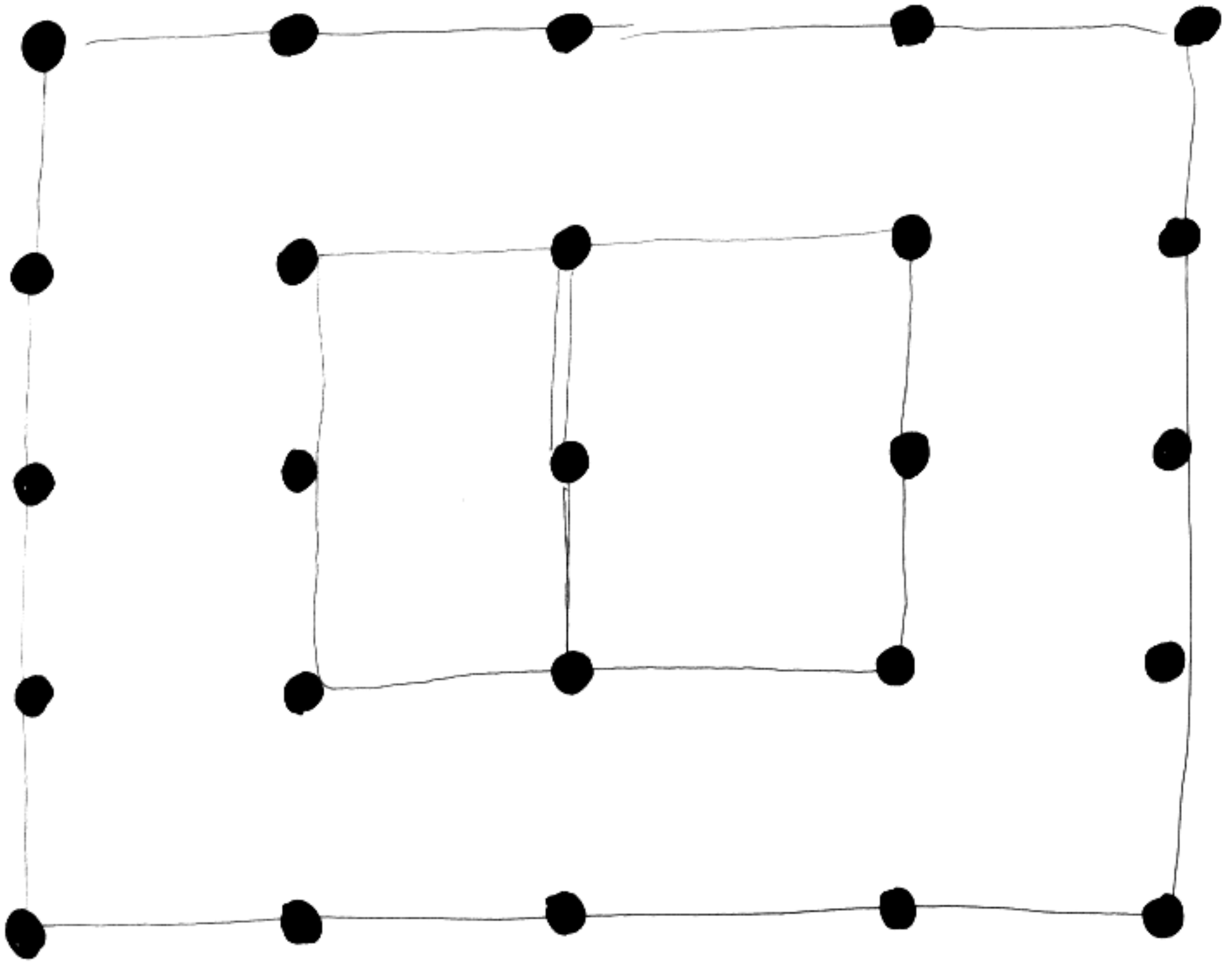
cycles (≥ 4)

use two double-crosses

Chain Rule determines
player forced into long
chain or cycle

18

player 1.



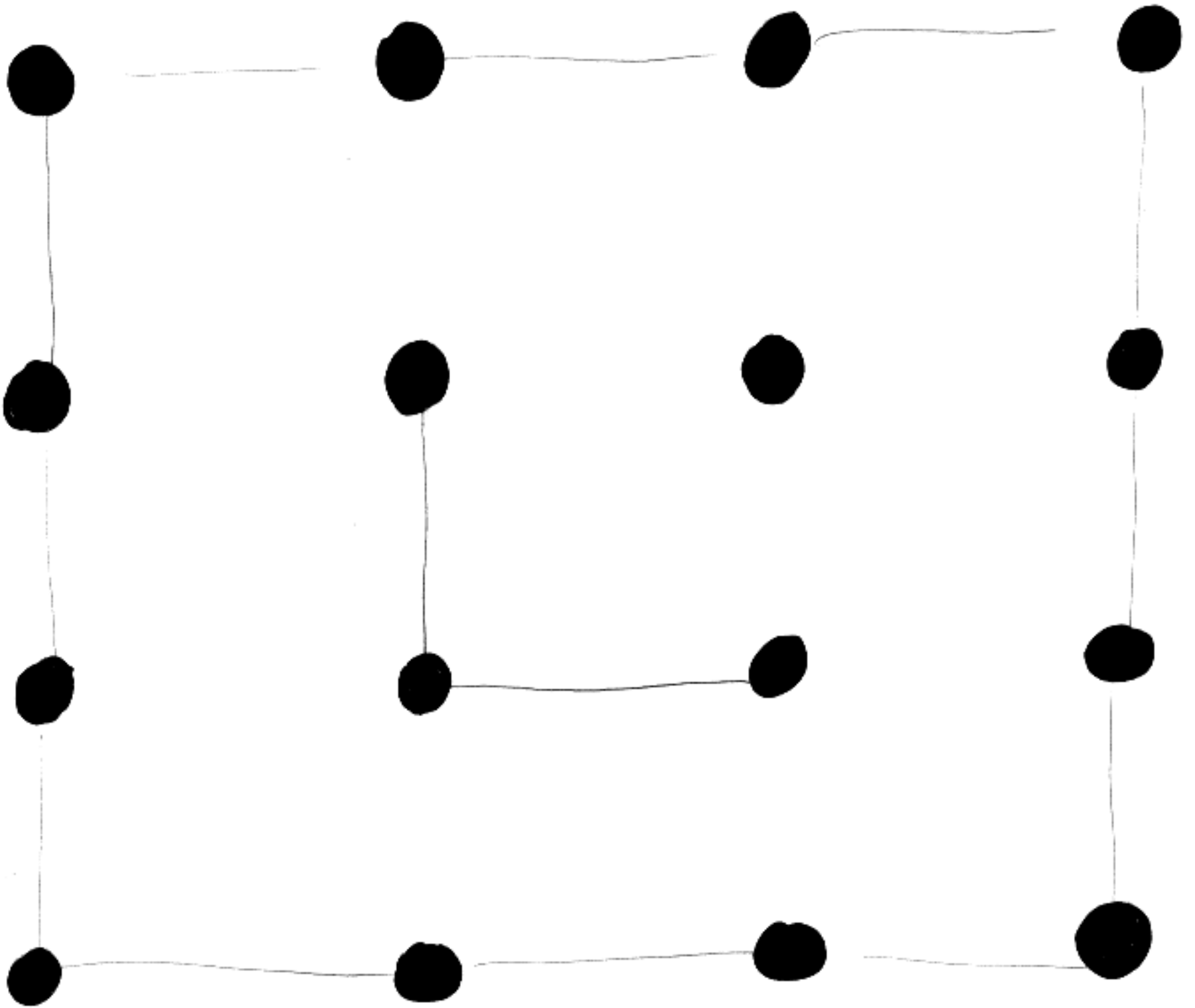
Chain rule

Dots + l. chains = player

player 1 is forced into the cycle

14

Player 1's turn



no long chain

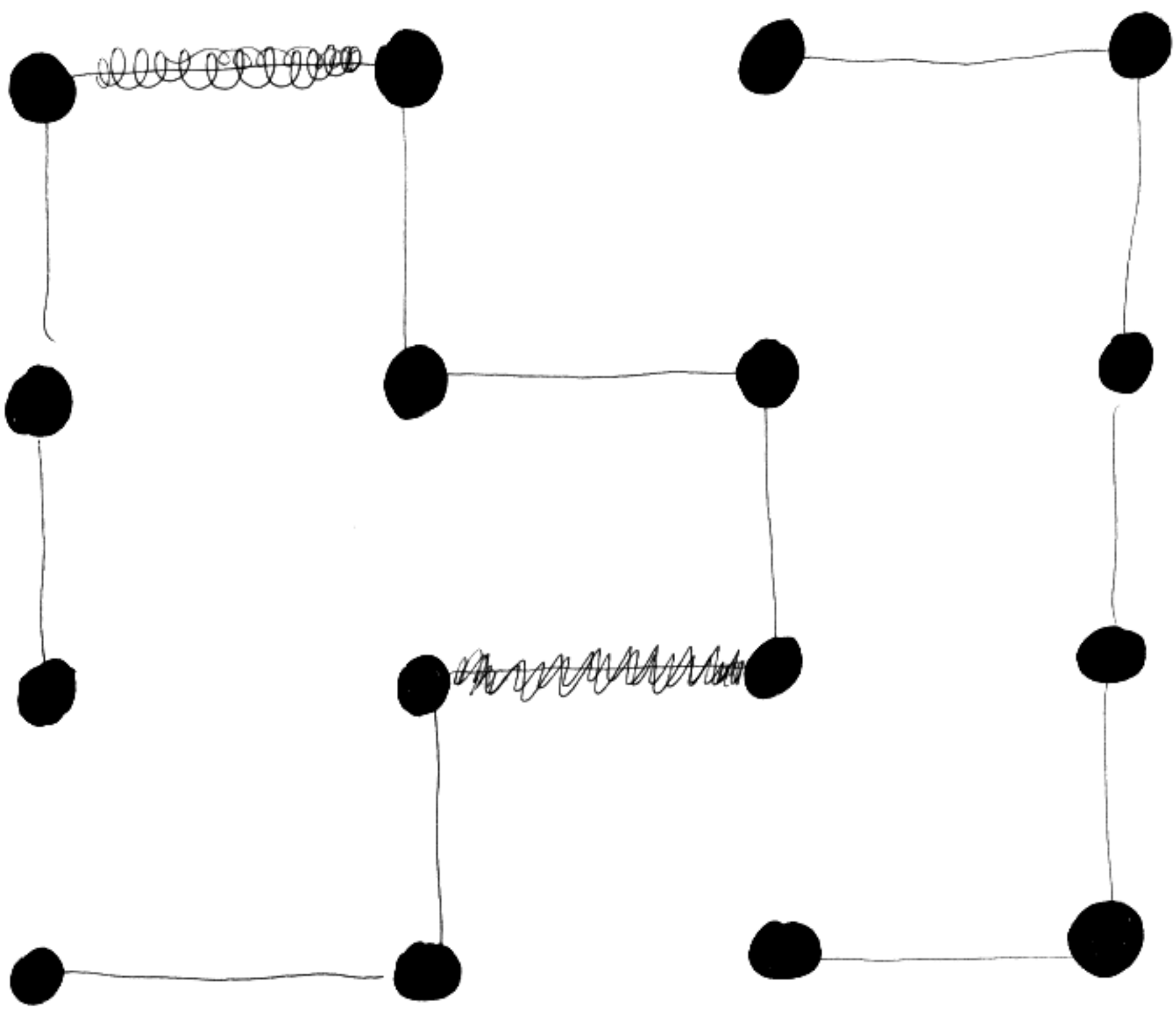
→ player 0

Chain Rule

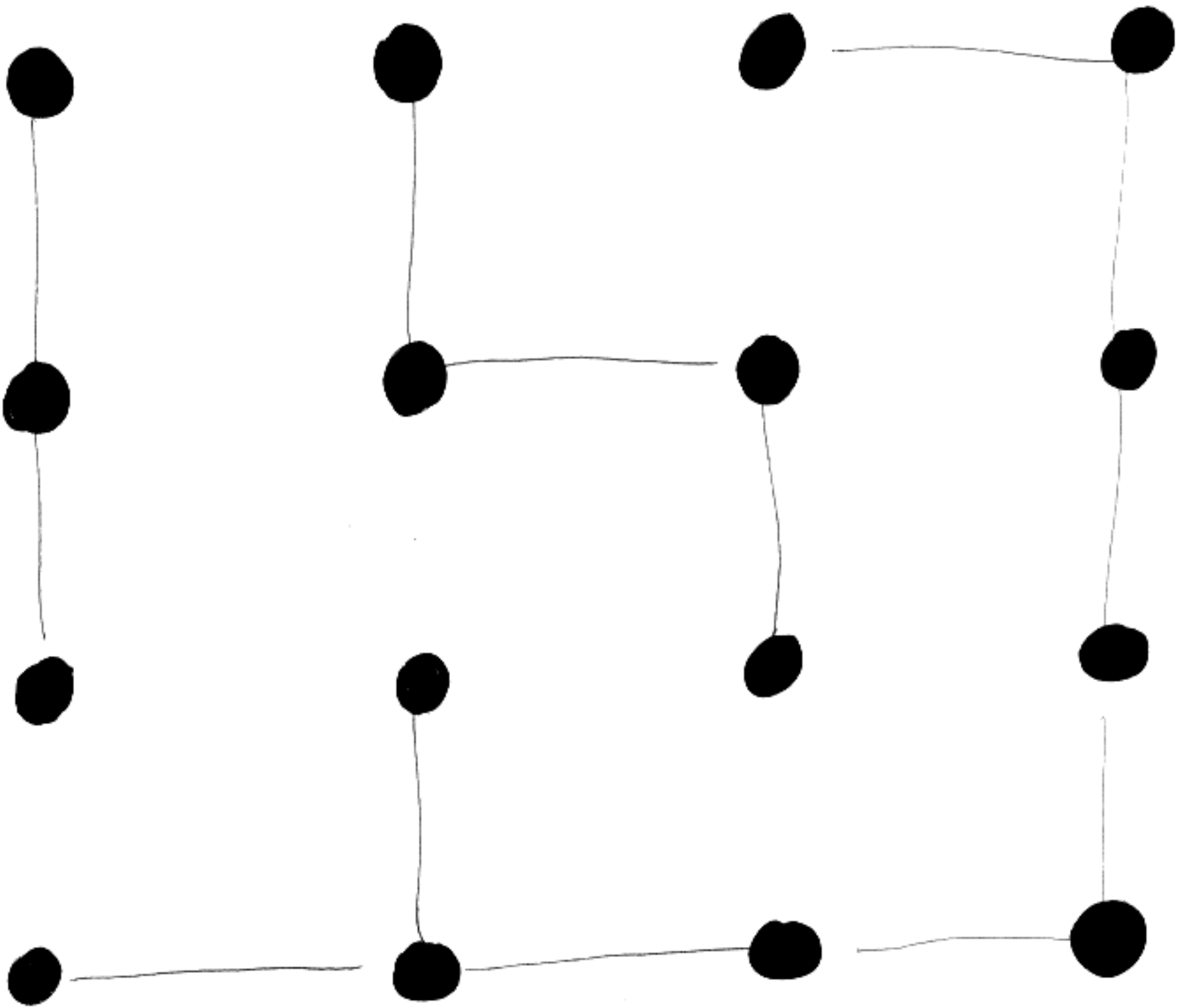
total number
of dots + total number
of long
chains

$\equiv 0$ or 1

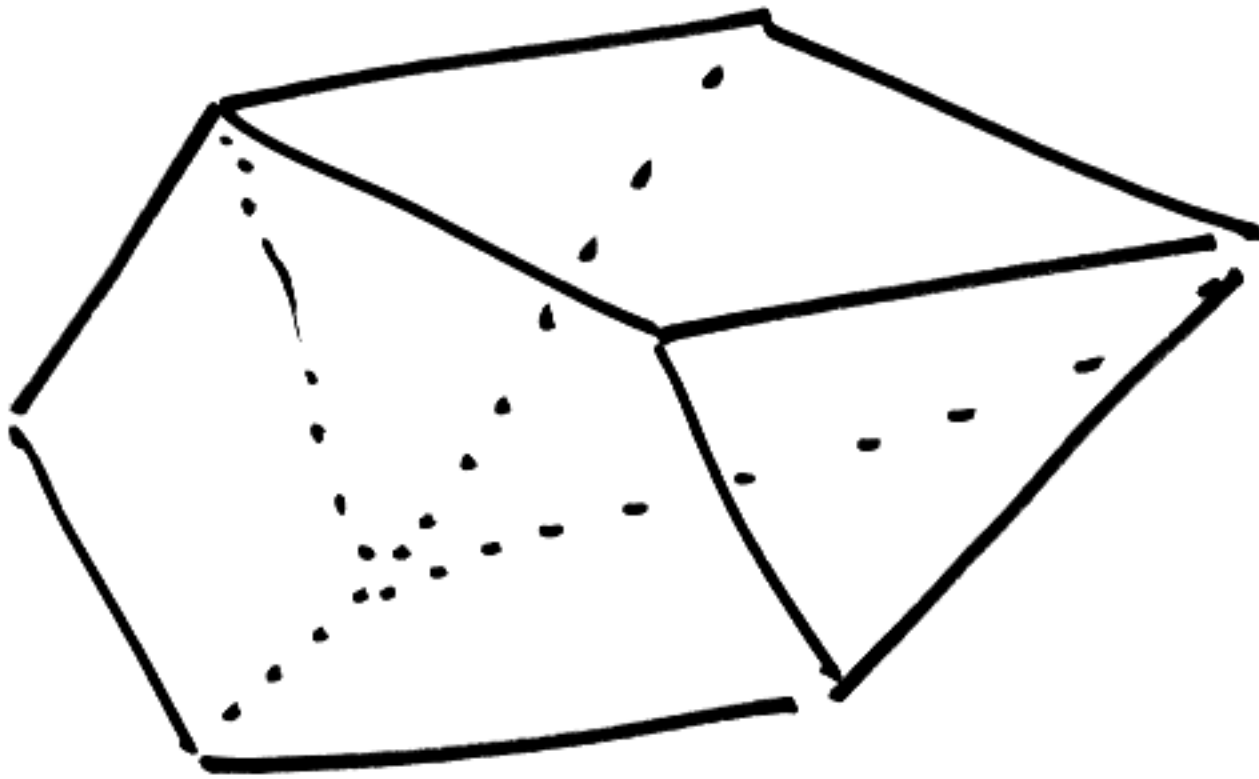
player forced into
chains



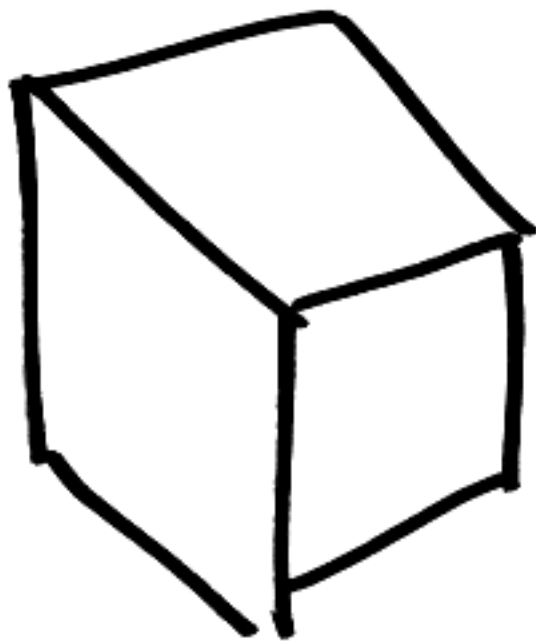
~~1~~ chains



Euler's formula



$$V - E + F = 2$$



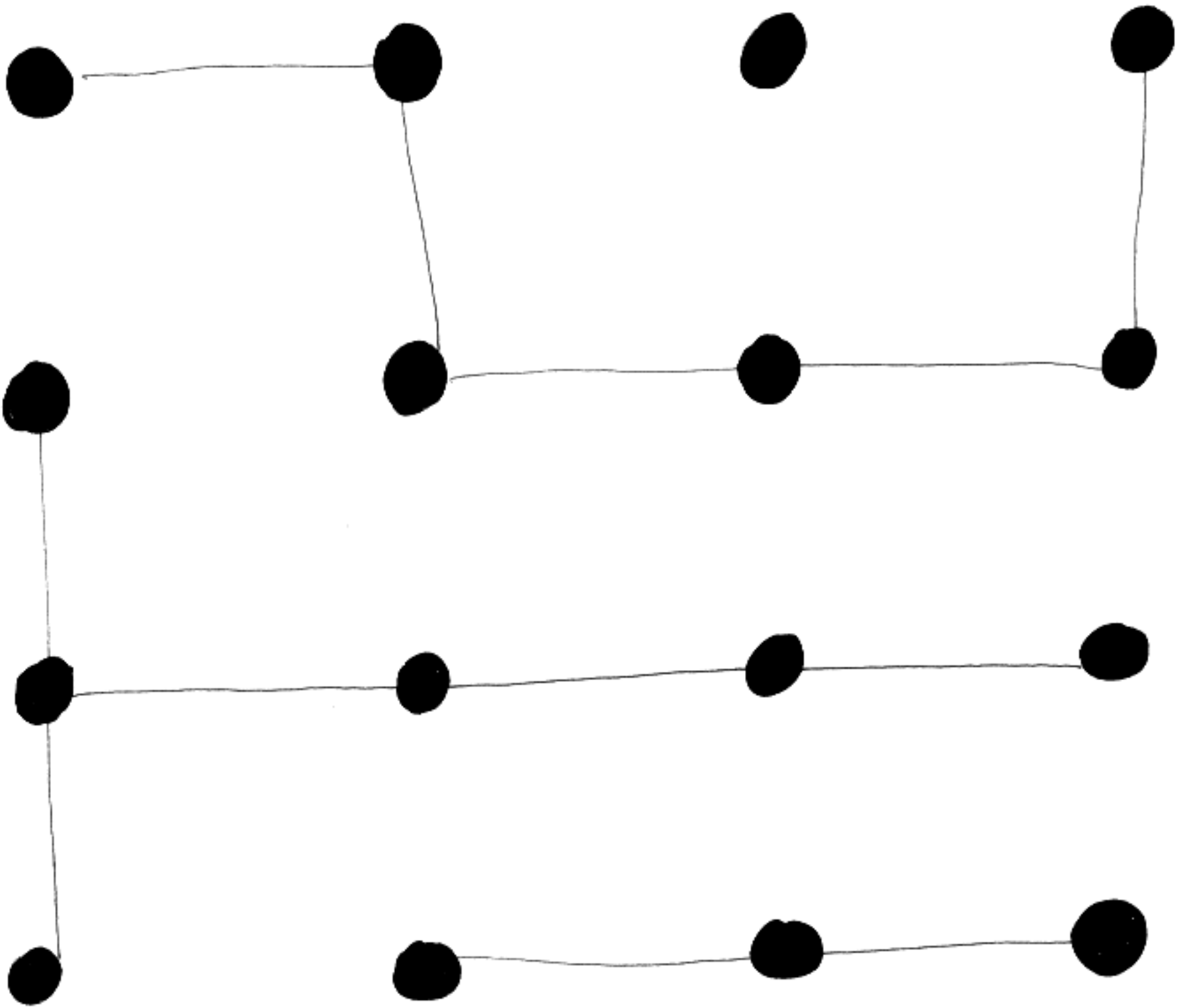
$$V = 8$$

$$E = 12$$

$$F = 6$$

$$8 + 6 - 12 = 2$$

Player 1's turn



2 long chains

16 dots

player 0 forced into chains

Assuming normal play
left with chains

Finally

$$D + DC = T$$

□.

~1700's.

Euler → characteristic

webpage

geometry junkyard

17 proofs of Euler's theorem.

Planar graph

$$E = B + T - 1$$

$$E = B + D - 1$$

$$\Rightarrow T = D$$

If we had DC we

can do same kind of count



let's ~~not~~ count middle
edge or these
two boxes

$$E - DC = B - 2DC + T - 1$$

$$\rightarrow E = B - DC + T - 1$$

I. Vardi

⑤

Proof of Key Fact

No double crosses

$B = \# \text{ boxes}$

$E = \# \text{ edges}$

$T = \# \text{ turns}$

$$E = B + T - 1$$

$\underbrace{BB \dots B^*}$

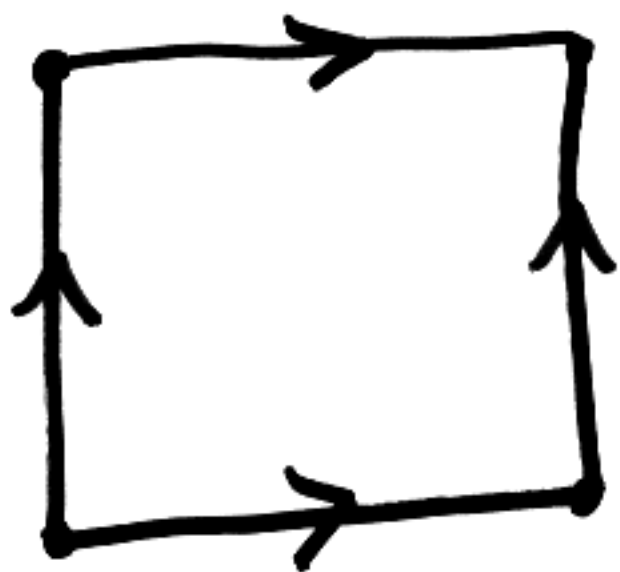
a turn

last
turn:

$\underbrace{BB \dots B}$

Torus $g=1$

genus = "the number of holes"



=

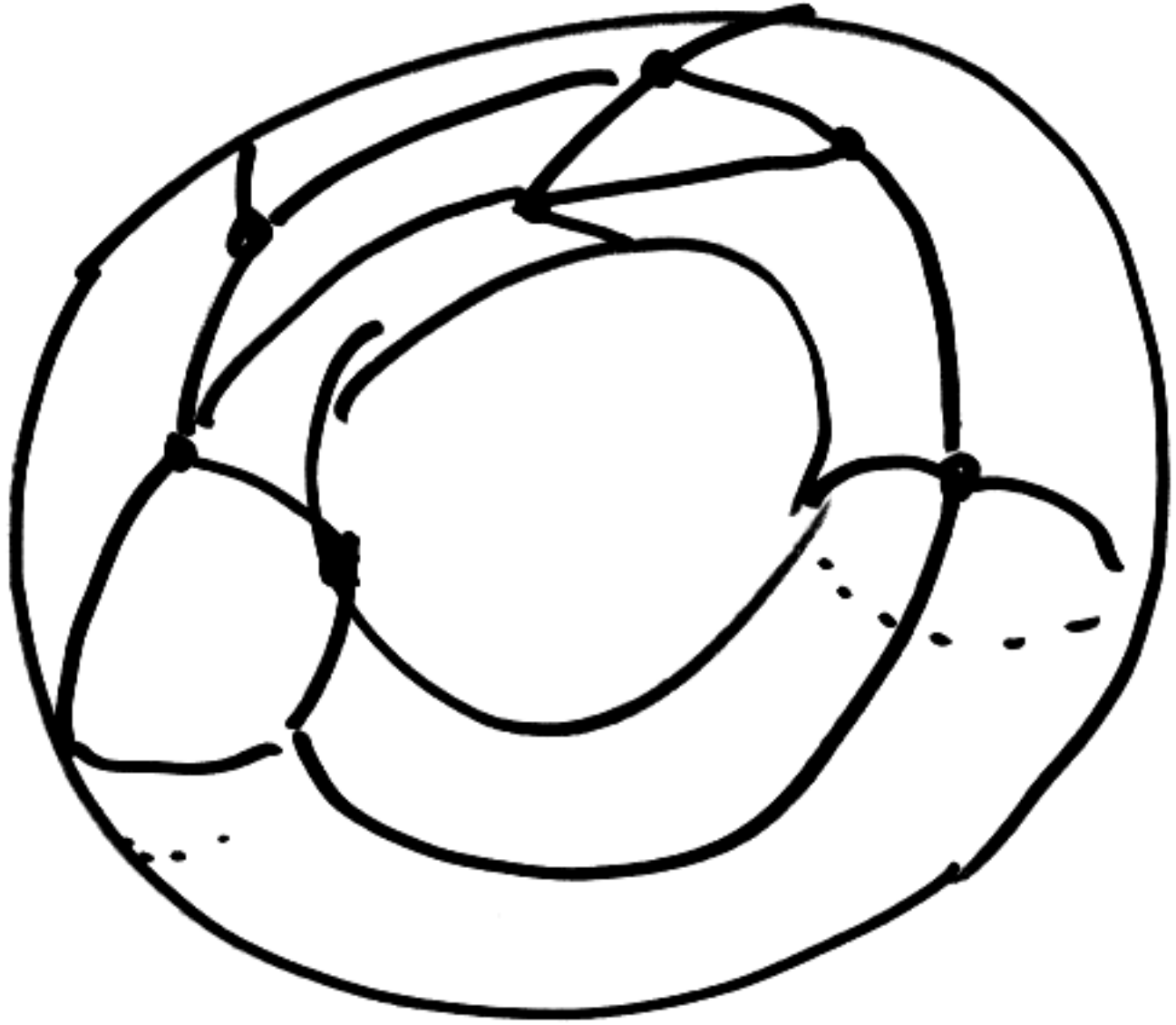


$V=4$
 $F=1$
 $E=4$

$V - E + F = 0$

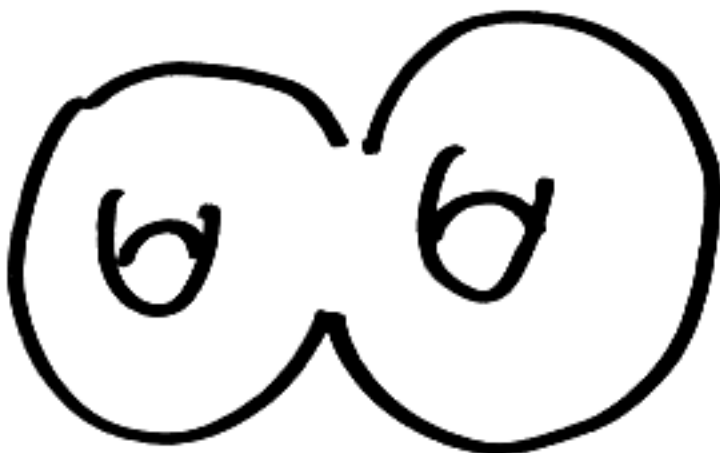


Euler char
 $2 - 2g$

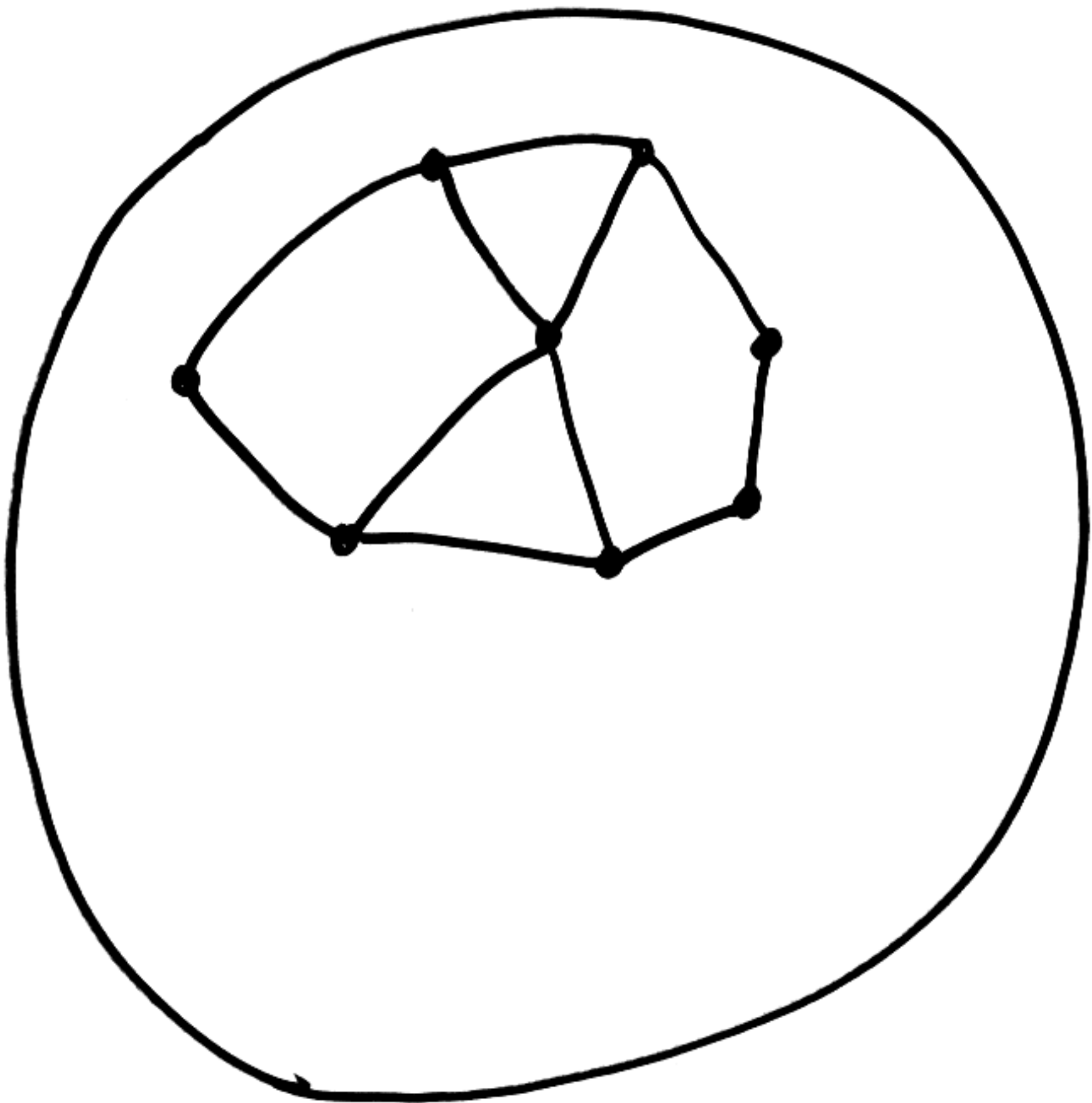


$$V - E + F = 0$$

↑
Euler
Characteristic



$$V - E + F = -2$$



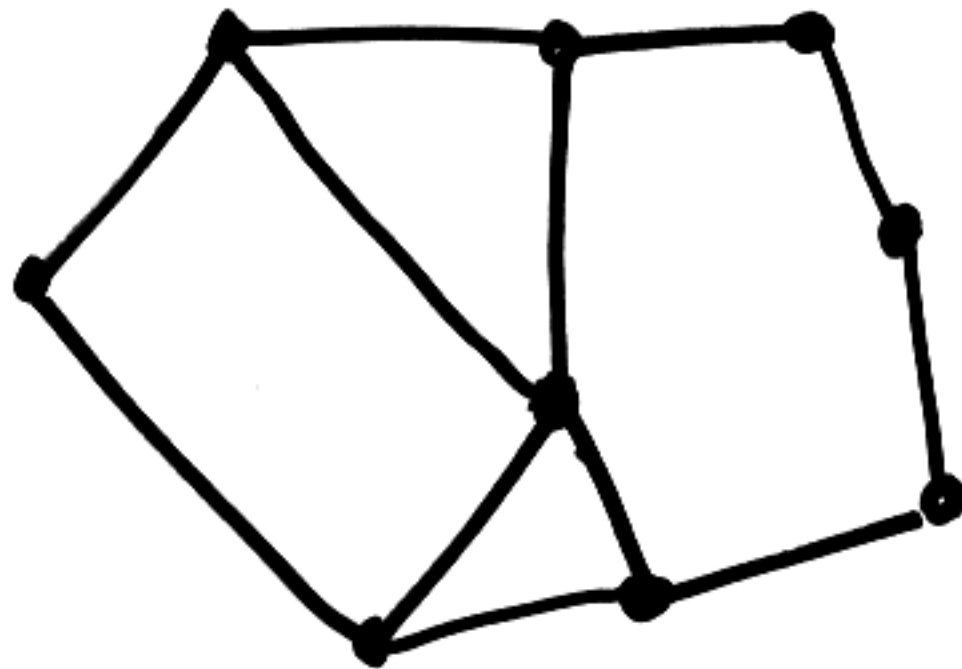
one more face

$$V - E + F = 2$$

6

Euler's Formula

Planar graph



$$\begin{aligned}V &= 9 \\E &= 12 \\F &= 4\end{aligned}$$

$$V - E + F = 1$$



$$T = 5 + 4 = 9 \quad \text{TURNS}$$

$$B = 4$$

$$E = 12$$

$$E = 9 + 4 - 1$$

D dots
 T turns
 E ~~edges~~ edges
 B Boxes total

Turn = complete set
 of consecutive moves
 by one player

Chain Rule

Normal play \rightarrow cycles + chains

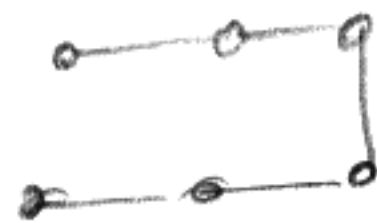
$$D + CH \equiv L \pmod{2}$$

\uparrow loser
 player forced into
 chains

Doubletrap offer opponent a 2-chain



or

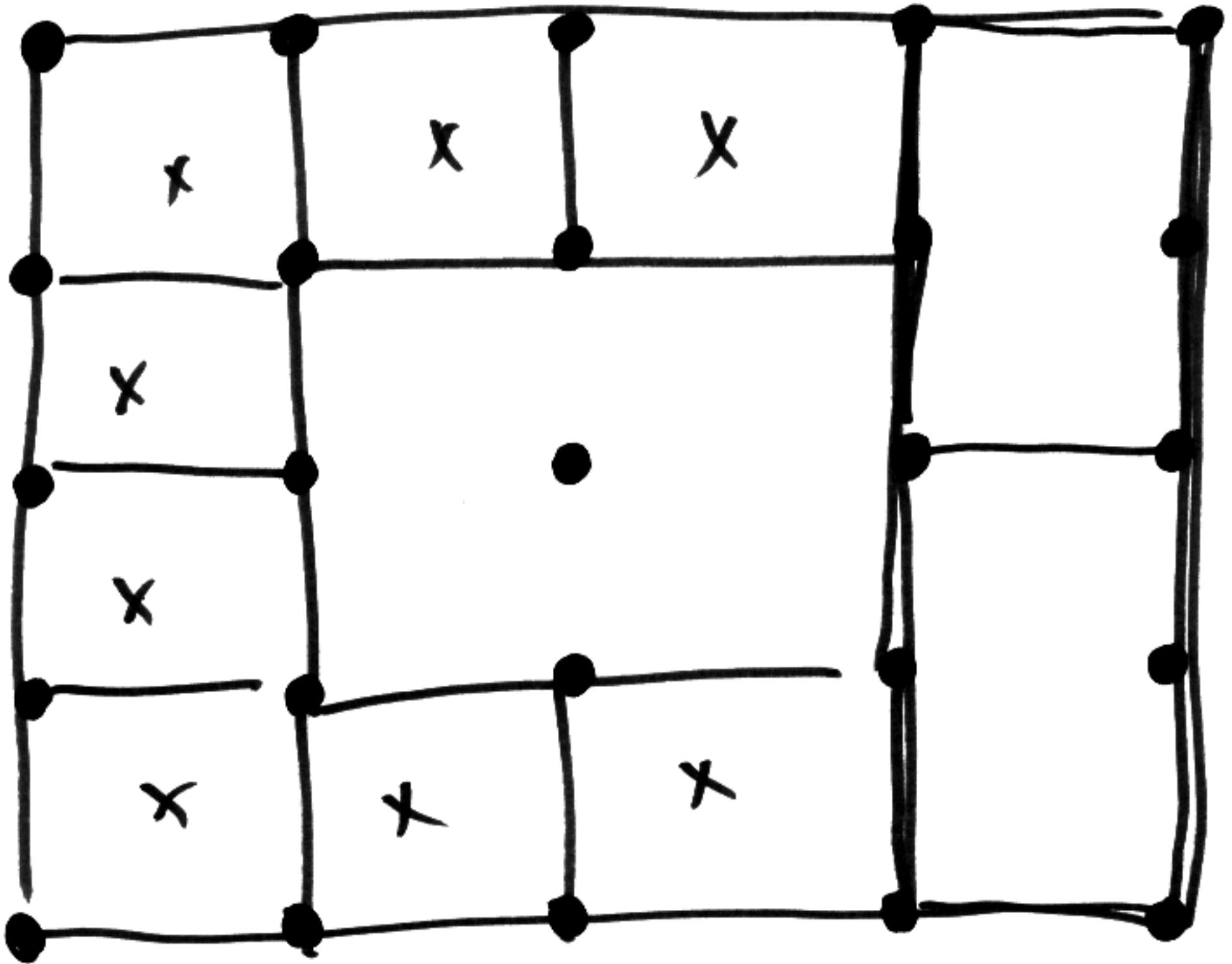


Allows opponent to change parity on chain
 Count

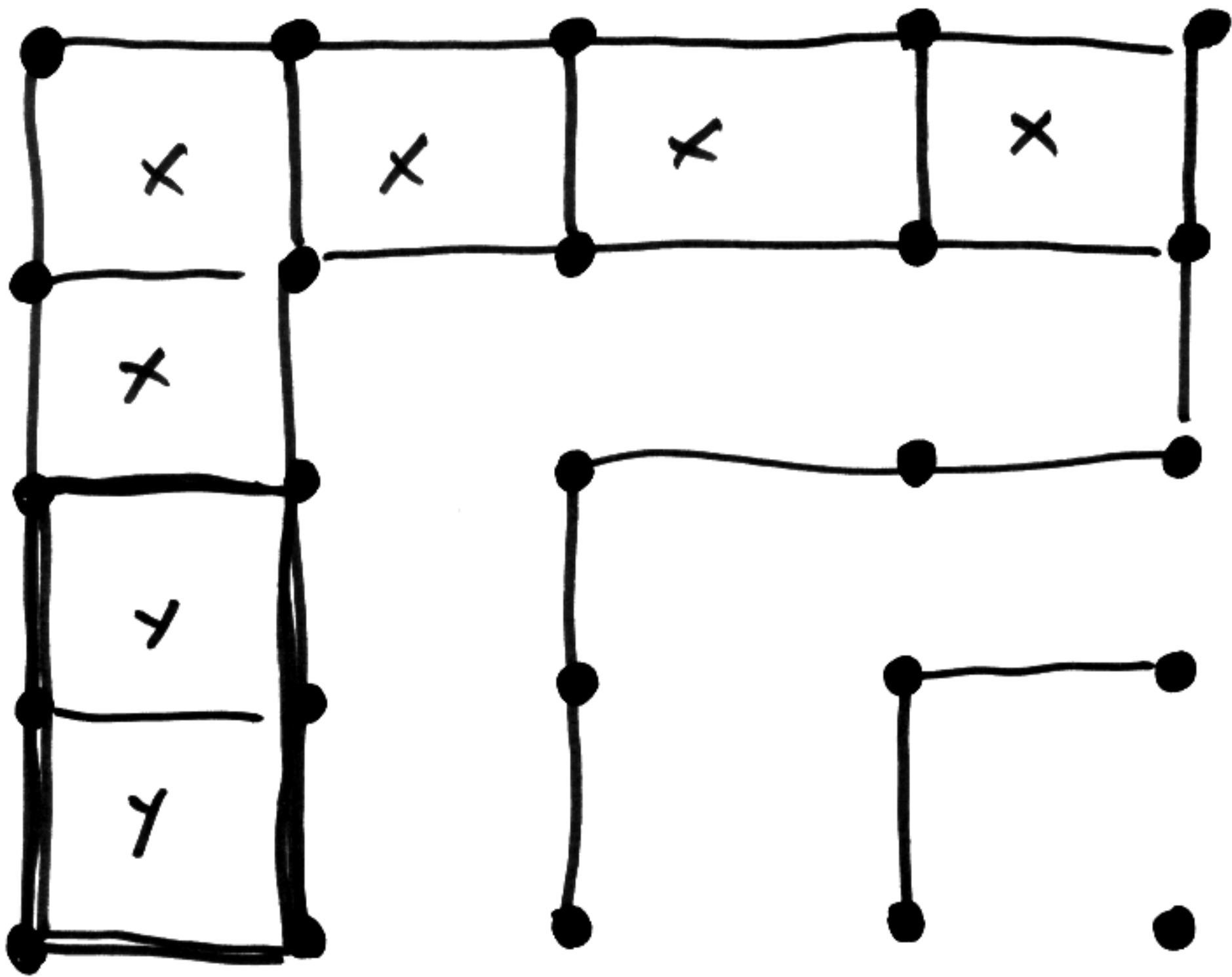
In normal play the last player takes all boxes in last chain in last turn. Winner

If $W = 1$ then Turns is odd
 $W = 0$ " $T \equiv 0 \pmod{2}$

2 DC




You can't just leave one DC on a cycle; for endgame you leave two so you don't open a new chain/cycle



DOUBLE
CROSS

Same country with DC

There are DC edges filling a  DC
get 2 boxes w/o changing turn

$$E - DC = B - 2DC + T - 1$$

$$\rightarrow E = B - DC + T - 1$$

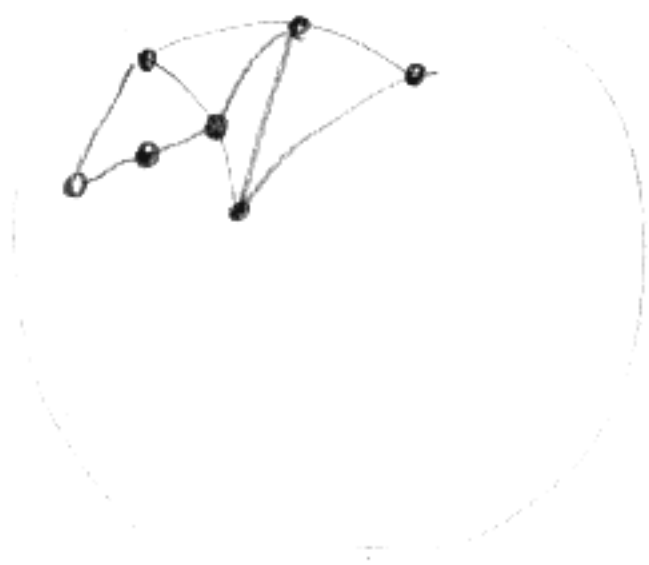
$$\rightarrow \boxed{D + DC = T}$$

Euler's Formula ^{Euler}
(1707 - 1783)

For any planar graph

$$V - E + F = 1$$

on sphere: one more face



geometry junkyard

17 proofs of this formula

face:



$$\sum_{i=1}^k \theta_i = (k-2)\pi$$

interior vertices contribute 2π

exterior vertices $2(\pi - \theta_v)$

Each edge belongs to two faces so total angle = $(2E - 2F)\pi$

Berlekamp

$$D + DC = T$$

⇒ Chain Rule

$$DC = CH + 2CY - 1$$

$$DC \equiv CH + 1 \pmod{2}$$

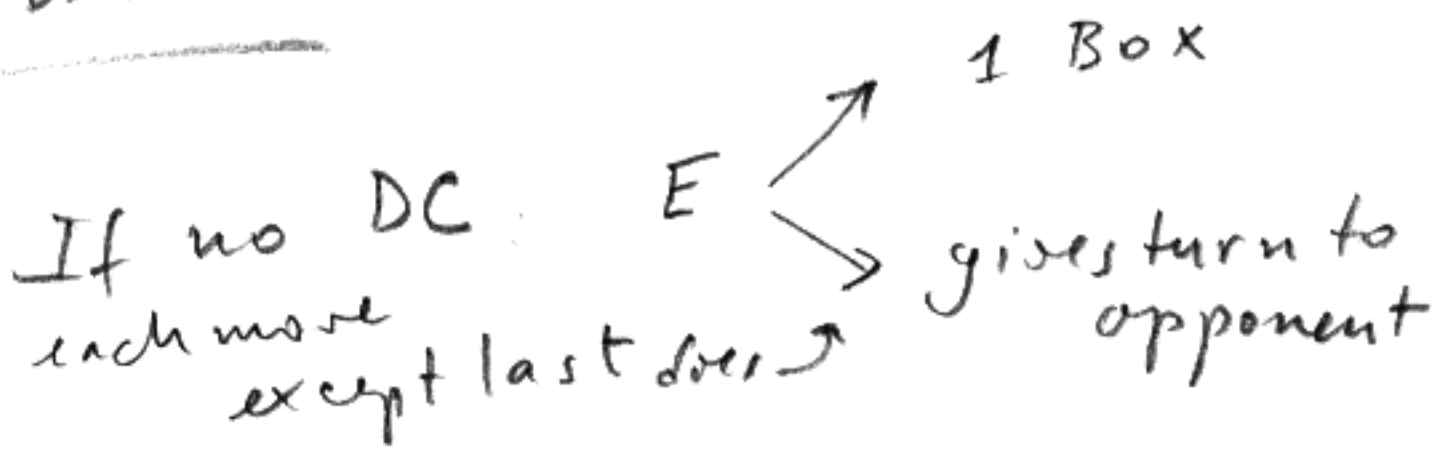
combined w/ theorem

$$W \equiv T \equiv D + CH + 1 \pmod{2}$$

(if $CH > 0$?)
If cycle is last
there is one DC.
So formula is OK in
all cases

(following I. Vardi)

Pf of Berlekamp's thm



$$E = B + T - 1$$

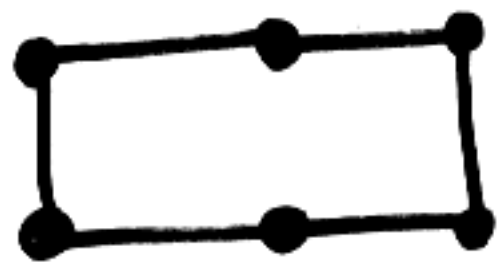
Thm of graph theory: $E = B + D - 1$

$$\Rightarrow T = D$$

Key Fact (Berlekamp) (4)

$$D + DC = T$$

↑
= # double crosses



= Double
Cross

Key Fact \Rightarrow Chain Rule

$$DC = CH + 2CY - 1$$

~~XXXXXXXXXXXX~~

$$W \equiv T \equiv D + CH + 1 \pmod{2}$$

$$L \equiv D + CH \pmod{2}$$

Turn

consecutive sequence moves
by some player.

Normal play

The last turn is by W
takes all boxes in the
last chain.

$T = \#$ total number of
turns in game

$$W \equiv T \pmod{2}$$

Chain Rule

$$D + CH \equiv L \pmod{2}$$

D = # Dots

CH = # (long) chains

L = parity of player forced to move into chains/cycles

Sketch of proof

Dots and Boxes

①

Endgame strategy:

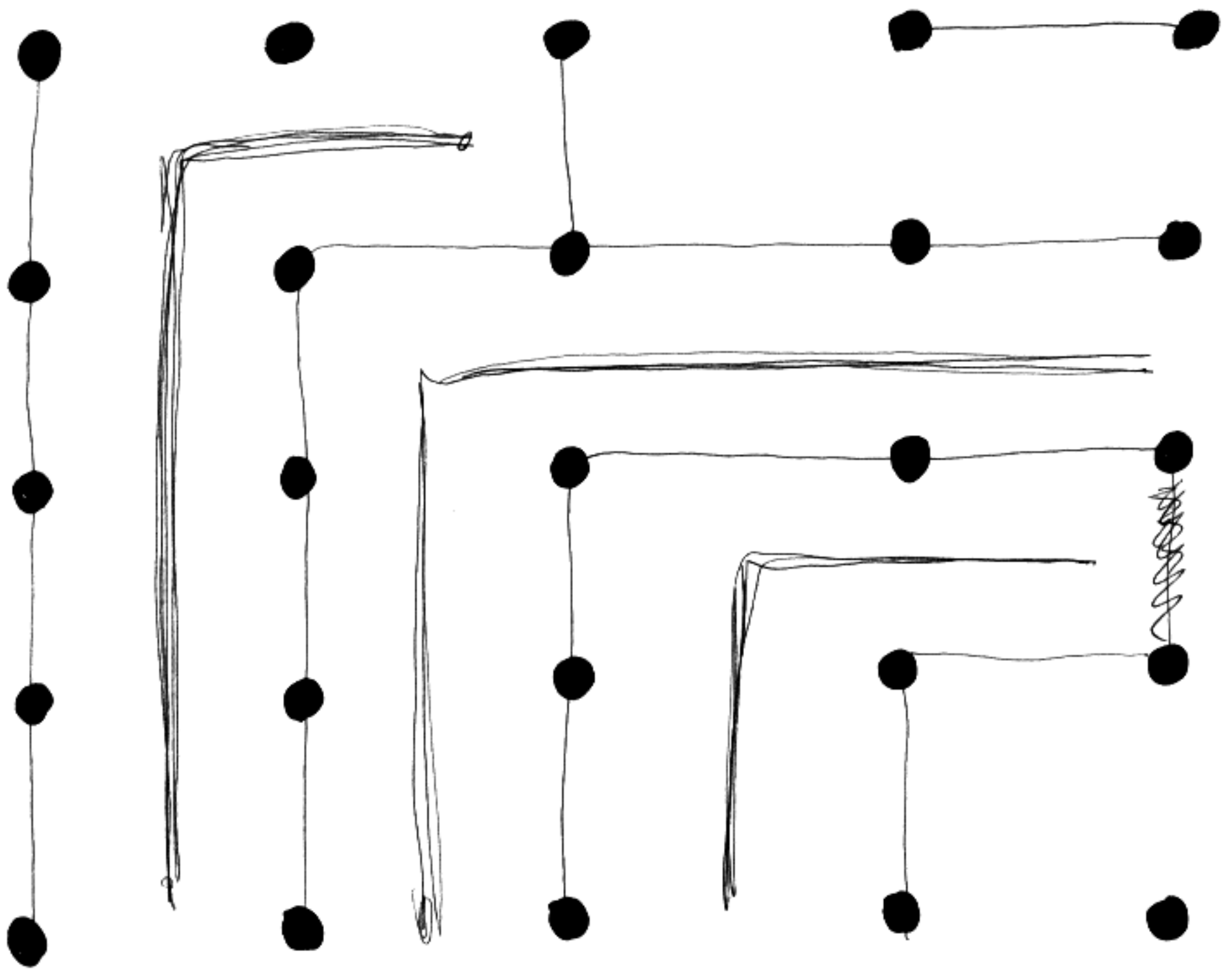
- Force opponent to open chains/cycles

long chain: at least 3 boxes

long cycle: at " 4 "

- Fill boxes in chain/cycle

leave: 1 double cross / 2 double crosses



long chain : at least 3 boxes